**Work programme of the Committee Administering the Mechanism for Promoting Implementation and Compliance for the biennium 2020–2021 *(September 2018 draft for consultation with Parties)***

**I. Review of general issues of compliance and implementation under the Convention**

| *Objective* | *Activity* |
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| **1. National reporting**  Improve timely and complete national reporting under paragraph 3 of Article 13 of the Convention. | 1. Classify and, as appropriate, publish information on Parties’ compliance with their annual national reporting obligations for 2016 and 2017 based on the assumptions, criteria, categories and targets adopted by the Conference of the Parties at its fourteenth meeting;[[1]](#footnote-1) 2. Develop recommendations on the revision of targets referred to in paragraph [ ] of decision BC-14/[ ] for the reports due for 2018 and subsequent years; 3. With a view to increasing the completeness and timeliness of national reporting under paragraph 3 of Article 13, explore how to integrate national reporting in the United Nations Development Assistance Framework; 4. Monitor the activities undertaken by or with the support of the of the Basel Convention regional and coordinating centres, the United Nations Environment Programme and other entities aimed at assisting Parties to transmit national reports and develop recommendations to improve implementation and compliance with paragraph 3 of Article 13 of the Convention; 5. Develop recommendations on how best to make use of the information contained in the national reports as a means of improving timeliness and completeness of national reporting under paragraph 3 of Article 13 of the Basel Convention. |
| **2. Illegal traffic**  Prevent and combat illegal traffic. | 1. Based on the information provided by Parties in table 9 of their national reports, the forms for confirmed cases of illegal traffic notified to the Secretariat and information provided by relevant international organizations and entities, undertake a scoping exercise of the extent of illegal traffic with a view to estimating: (i) how many cases of illegal traffic there are; (ii) with respect to which wastes; (iii) in which regions; and (iv) how they were resolved; 2. In order to better assist Parties to enhance coordination between their Competent Authorities and enforcement entities, develop a better understanding of the reasons for: (i) any shortcomings there are in establishing coordination mechanisms; (ii) the limited amount of information on illegal traffic shared with the Secretariat; and (iii) what can be done to enhance coordination and increase the flow of information; 3. Establish a [dialogue](http://basel.int/Implementation/LegalMatters/Compliance/Activities/NationalReportingDialogue/tabid/3144/Default.aspx) with other multilateral environmental agreements with international trade control regimes in order to learn from their experience; 4. Review the information provided by Parties in response to question 1c) of the reporting format and make recommendations to improve the implementation of paragraph 3 of Article 4 and paragraph 4 of Article 4 of the Convention; 5. Review existing cooperative arrangements with international organizations or entities with a mandate regarding preventing and combating illegal traffic (including the International Criminal Police Organization, the World Customs Organization, the Basel Convention regional and coordinating centres, the United Nations Environment Programme, the European Union Network for the Implementation and Enforcement of Environmental Law and the United Nations University), including the terms of reference of the Environmental Network for Optimizing Regulatory Compliance on Illegal Traffic (ENFORCE), with a view to strengthening such arrangements; 6. Explore modalities for disseminating, especially to enforcement entities, existing guidance and technical assistance tools developed under the Convention to assist Parties prevent and combat illegal traffic; 7. Oversee the activities undertaken under the Convention to prevent and combat illegal traffic more effectively and develop recommendations to improve implementation and compliance with Article 9 of the Convention. |
| **3. National legislation**  Improve implementation of and compliance with paragraph 4 of Article 4 and paragraph 5 of Article 9 of the Convention. | (a) Invite Parties to undertake a self-review of their legislation for implementing the Convention using the legislator’s checklist[[2]](#footnote-2) and consider general trends;  (b) Monitor progress achieved by Parties in transmitting to the Secretariat texts of national legislation and other measures adopted by them to implement and enforce the Convention;  (c) Monitor requests for information received by the Secretariat from Parties aimed at facilitating the development and review of national legal frameworks as well as the technical assistance activities of the Secretariat aimed at promoting the implementation of paragraph 4 of Article 4 and paragraph 5 of Article 9 of the Convention;  (d) Monitor activities undertaken by or with the support of the Basel Convention regional and coordinating centres, the United Nations Environment Programme and other entities aimed at assisting Parties to develop legal frameworks for the implementation of the Basel Convention;  (e) Develop recommendations on how to improve implementation of and compliance with paragraph 4 of Article 4 and paragraph 5 of Article 9 of the Convention. |
| **4. Control system**  Improve the implementation of and compliance with Article 6 of the Convention. | 1. Review the information provided by Parties in response to questions 3 g) and 3 (h) of the reporting format;   (b) Finalize, taking into account comments from Parties and others, including the World Customs Organization and the United Nations Division for Ocean Affairs and the Law of the Sea, and through consultation with the Open‑ended Working Group, guidance on the implementation of paragraph 4 of Article 6 of the Convention[[3]](#footnote-3) for consideration and possible adoption by the Conference of the Parties at its fifteenth meeting. |
| **5. Implementation of and compliance with the Convention**  Improve the implementation of and compliance with the Convention. | Regularly review the guidance on legal matters developed by the Committee (e.g., the manual for the implementation of the Basel Convention, the guide to the control system and the guidance on illegal traffic), based on periodic feedback from users and suggestions from stakeholders and in the light of decisions adopted by the Conference of the Parties, and make recommendations to the Conference of the Parties on their updating. |

**II. Specific submissions regarding Party implementation and compliance**

1. The Committee shall accord priority to dealing with specific submissions regarding Party implementation and compliance received or initiated in accordance with paragraph 9 of the terms of reference of the mechanism for promoting implementation and compliance of the Basel Convention.
2. In relation to the implementation fund, the Committee shall make recommendations to the Executive Secretary on the allocation of the available resources from the implementation fund during the period between the fourteenth and fifteenth meetings of the Conference of the Parties to assist Parties in the context of the facilitation procedure set out in paragraphs 19 and 20 of the terms of reference of the Mechanism for Promoting Implementation and Compliance of the Convention. The resources are intended to fund activities listed in the compliance action plans presented by Parties in relation to specific submissions and approved by the Committee.

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1. Decision BC-14/[ ] [↑](#footnote-ref-1)
2. See Annex I to the Manual for the Implementation of the Basel Convention [↑](#footnote-ref-2)
3. UNEP/CHW.13/INF/[ ] [↑](#footnote-ref-3)