

## BASEL CONVENTION

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2 June 2017

**Subject: Call for information and follow-up to the decisions adopted by the Conference of the Parties to the Basel Convention at its thirteenth meeting (Geneva, Switzerland from 24 April to 5 May 2017)**

Dear Sir, Dear Madam,

At its thirteenth meeting, the Conference of the Parties to the Basel Convention adopted a number of decisions that invited Parties and others to provide information. The full set of decisions adopted at the meeting are contained in Annex I to the meeting report, which will be made available on the Basel Convention website: [www.basel.int](http://www.basel.int). To facilitate your response to the various invitations for information please find enclosed a summary of the individual decisions.

This letter and relevant forms for submitting the solicited information are also available on the Convention website in the section "[Call for information and follow-up to the thirteenth meeting of the Conference of the Parties to the Basel Convention](#)".

Please note that similar letters have also been sent to Parties and observers for the decisions adopted by the conferences of the Parties to the Rotterdam and Stockholm conventions. The invitations for information contained in three of the joint decisions adopted by the three conferences of the Parties have been included in each of the individual letters.

Should you have any queries, please contact Mr. Alain Wittig, Secretariat of the Basel, Rotterdam and Stockholm Conventions (Email: [alain.wittig@brsmeas.org](mailto:alain.wittig@brsmeas.org); Tel: +41 22 917 82 27; Fax: +41 22 917 80 98).

We look forward to receiving your submissions.

Yours sincerely,



Rolph Payet  
Executive Secretary

Enc: Follow-up to the decisions adopted by the Conference of the Parties to the Basel Convention at its thirteenth meeting

To: Basel Convention focal points  
Basel Convention competent authorities  
Members of the Bureau of the fourteenth meeting of the Conference of the Parties to the Basel Convention  
Observers admitted to the meetings of the Conference of the Parties to the Basel Convention

cc: Representatives of the Permanent Missions to the United Nations Office at Geneva

## Follow-up to the decisions adopted by the Conference of the Parties to the Basel Convention at its thirteenth meeting

### Basel Convention specific decisions

1. Strategic Framework .....	3
2. Follow-up to the Indonesian-Swiss country-led initiative to improve the effectiveness of the Basel Convention: Part II on Developing guidelines for environmentally sound management .....	4
3. Follow-up to the Indonesian-Swiss country-led initiative to improve the effectiveness of the Basel Convention: Part III on Providing further legal clarity .....	5
4. Cartagena Declaration on the Prevention, Minimization and Recovery of Hazardous Wastes and Other Wastes .....	6
5. Technical guidelines on the environmentally sound management of wastes consisting of, containing or contaminated with persistent organic pollutants .....	7
6. Technical guidelines on transboundary movements of electrical and electronic waste and used electrical and electronic equipment, in particular regarding the distinction between waste and non-waste under the Basel Convention .....	9
7. Technical guidelines on incineration on land (D10) and on specially engineered landfill (D5) .....	10
8. National reporting .....	11
9. Committee Administering the Mechanism for Promoting Implementation and Compliance .....	12
10. National legislation, notifications, enforcement of the Convention and efforts to combat illegal traffic .....	13
11. Creating innovative solutions through the Basel Convention for the environmentally sound management of household waste .....	15
12. Providing further legal clarity: review of Annexes II, VIII and IX to the Convention; and waste containing nanomaterials .....	16

### Joint decisions relating to the Basel, Rotterdam and Stockholm conventions

13. Enhancing cooperation and coordination among the Basel, Rotterdam and Stockholm Conventions .....	17
14. Synergies in preventing and combating illegal traffic and trade in hazardous chemicals and wastes .....	18
15. From science to action .....	19

## 1. Strategic Framework

Decision: BC-13/1: Strategic Framework

Background:

In decision BC-13/1, the Conference of the Parties, among other things, decided to forgo the midterm evaluation and adopt a new approach for the preparation of the final evaluation of the strategic framework in time for the fifteenth meeting of the Conference of the Parties, in 2021.

The Conference of the Parties established a small intersessional working group, which is open in nature, to advise the Secretariat on identifying all relevant sources of information related to the indicators to be used for the preparation of the final evaluation of the strategic framework. The Conference of the Parties decided that the group shall consist of ten members nominated by Parties based on equitable geographical representation of the five regional groups of the United Nations, and will be open to observers, and requested each regional group to nominate through its Bureau representative, by 31 July 2017, two members from Parties with specific knowledge and expertise in the field of evaluation of strategic plans, programmes or treaties, or national implementation of the Basel Convention. The small intersessional working group is to advise the Secretariat on identifying and gathering the information relevant to the indicators to be used for the preparation of the final evaluation of the strategic framework, and to prepare a draft compilation of this information for the attention of the eleventh meeting of the Open-ended Working Group and a final compilation for the consideration of the fourteenth Conference of the Parties to the Basel Convention.

The Conference of the Parties urged Parties to provide to the Secretariat, no later than 31 January 2020, information for the year 2019 relevant to the indicators listed in section V of the strategic framework, as requested in paragraph 17 of the strategic framework, using the format for reporting developed by the Secretariat.

Follow up:

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Each regional group is requested to nominate through its Bureau representative two members from Parties with specific knowledge and expertise in the field of evaluation of strategic plans programmes or treaties, or national implementation of the Basel Convention, to become members of the small intersessional working group.	Parties through their Bureau representative	Please make submissions to the Secretariat via the contact point provided below.	<b>31 July 2017</b>
(b)	Parties are urged to provide to the Secretariat information for the year 2019 relevant to the indicators listed in section V of the strategic framework, as requested in paragraph 17 of the strategic framework, using the format for reporting developed by the Secretariat.	Parties	Please make submissions, using the format for reporting developed by the Secretariat which will be made available to Parties.	<b>31 January 2020</b>

Contact point:

Ms. Juliette Voinov Kohler (Email: [juliette.kohler@brsmeas.org](mailto:juliette.kohler@brsmeas.org), Tel: + 41 22 917 82 19, Fax: +41 22 917 80 98).

## **2. Follow-up to the Indonesian-Swiss country-led initiative to improve the effectiveness of the Basel Convention: Part II on Developing guidelines for environmentally sound management**

**Decision:** BC-13/2: Follow-up to the Indonesian-Swiss country-led initiative to improve the effectiveness of the Basel Convention

### **Background:**

In part II of decision BC-13/2, the Conference of the Parties, among other things, adopted the set of practical manuals for the promotion of the environmentally sound management of wastes prepared by the expert working group on environmentally sound management, welcomed the revised fact sheets on specific waste streams also developed by the group and encouraged their dissemination and use by Parties and others. The Conference of the Parties also welcomed the draft practical manuals on extended producer responsibility and financing systems for environmentally sound management<sup>1</sup> prepared by the expert working group and invited Parties and others to submit comments thereon to the Secretariat by 30 November 2017.

The Conference of the Parties furthermore decided to extend the mandate of the expert working group and adopted its work programme, set out in Annex I of decision BC-13/2. The Conference of the Parties requested the expert working group to further assess proposals for further pilot projects and invited those Parties and other stakeholders in a position to do so to provide support towards such pilot projects.

The Conference of the Parties invited Parties, regional and coordinating centres and other stakeholders to provide the Secretariat with information on activities undertaken to ensure the environmentally sound management of hazardous wastes and other wastes and requested the Secretariat to make that information available on the Basel Convention website.

### **Follow up:**

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties and others are invited to submit comments on the draft practical manuals on extended producer responsibility and financing systems for environmentally sound management prepared by the expert working group.	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Others</li> </ul>	Please make submissions to the Secretariat via the contact point provided below.	<b>30 November 2017</b>
(b)	Parties, regional and coordinating centres and other stakeholders are invited to provide the Secretariat with information on activities undertaken to ensure the environmentally sound management of hazardous wastes and other wastes.	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Regional and coordinating centres</li> <li>• Other stakeholders</li> </ul>	Please make submissions to the Secretariat via the contact point provided below.	No deadline specified; information to be submitted on an ongoing basis and made available by the Secretariat on the Basel Convention website.

### **Contact point:**

Ms. Susan Wingfield (Email: [susan.wingfield@brsmeas.org](mailto:susan.wingfield@brsmeas.org), Tel: +41 22 917 84 06, Fax: +41 22 917 80 98).

<sup>1</sup> UNEP/CHW.13/INF/8.

### **3. Follow-up to the Indonesian-Swiss country-led initiative to improve the effectiveness of the Basel Convention: Part III on Providing further legal clarity**

**Decision:** BC-13/2: Follow-up to the Indonesian-Swiss country-led initiative to improve the effectiveness of the Basel Convention

#### **Background:**

In part III of decision BC-13/2 on the voluntary options for providing further legal clarity, the Conference of the Parties, among other things, adopted the glossary of terms as a piece of guidance and agreed that it is without prejudice to the review of Annexes I, III and IV and related aspects of Annex IX of the Convention, and the legislation and guidance developed at the national level. The Conference of the Parties further agreed to consider the revision of the glossary of terms at the fourteenth meeting of the Conference of the Parties on the basis of the feedback submitted. To this end, the Conference invited Parties and others to use the glossary of terms, as appropriate, with a view to submitting any comments on it, if any, to the Secretariat not later than 2 months prior to the fourteenth meeting of the Conference of the Parties.

In part III of decision BC-13/2 on the legally binding options for providing further legal clarity, the Conference of the Parties, among other things, decided to establish an expert working group, that is open in nature, to review Annexes I, III, and IV and related aspects of Annex IX of the Basel Convention. The expert working group will have 50 members nominated from Parties on the basis of equitable geographical representation of the five regional groups, and will be open to observers. The Conference requested each regional group to nominate through its Bureau representative 10 experts in the field, and invited Parties to serve as lead countries for the review of Annex IV and related aspects of Annex IX and/or Annexes I and III. Finally, the Conference of the Parties invited Parties and observers involved in the expert working group to submit, taking into account the report from Canada, comments on the review of Annexes I, III, IV and related aspects of Annex IX to the Convention to the Secretariat by 30 September 2017 for consideration of the expert working group.

#### **Follow up:**

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties and others are invited to use the glossary of terms, as appropriate, with a view to submitting any comments on it, if any, to the Secretariat.	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Others</li> </ul>	Please make submissions to the Secretariat via the contact point provided	No later than two months before COP-14, i.e. <b>28 February 2019</b>
(b)	Each regional group is requested to nominate through its Bureau representative 10 experts in the field to become members of the expert working group on the review of the Annexes I, III, IV and related aspects of Annex IX.	Parties through their Bureau representative	Please make submissions to the Secretariat via the contact point provided below.	<b>15 July 2017</b>
(c)	Parties and observers involved in the expert working group are invited to submit, taking into account the report from Canada, comments on the review of Annexes I, III, IV and related aspects of Annex IX to the Convention for consideration of the expert working group.	<ul style="list-style-type: none"> <li>• Parties involved in the expert working group</li> <li>• Observers involved in the expert working group</li> </ul>	Please make submissions to the Secretariat via the contact point provided below.	<b>30 September 2017</b>

#### **Contact point:**

Ms. Juliette Voinov Kohler (Email: [juliette.kohler@brsmeas.org](mailto:juliette.kohler@brsmeas.org), Tel: + 41 22 917 82 19, Fax: +41 22 917 80 98).

#### **4. Cartagena Declaration on the Prevention, Minimization and Recovery of Hazardous Wastes and Other Wastes**

**Decision:** BC-13/3: Cartagena Declaration on the Prevention, Minimization and Recovery of Hazardous Wastes and Other Wastes

**Background:**

In decision BC-13/3, the Conference of the Parties, among other things, encouraged Parties and other stakeholders to continue to undertake activities to implement the road map for action on the implementation of the Cartagena Declaration on the Prevention, Minimization and Recovery of Hazardous Wastes and Other Wastes and to provide information on such activities to the Secretariat. The Conference of the Parties also adopted draft guidance to assist Parties in developing efficient strategies for achieving the prevention and minimization of the generation of hazardous and other wastes and their disposal that was developed by the expert working group on environmentally sound management and invited Parties and others to submit good practices and examples with regard to waste prevention and minimization to the Secretariat by 30 June 2018 for consideration of the expert working group on environmentally sound management.

**Follow up:**

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties and other stakeholders are encouraged to provide information on activities undertaken to implement the road map for action on the implementation of the Cartagena Declaration on the Prevention, Minimization and Recovery of Hazardous Wastes and Other Wastes.	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Other stakeholders</li> </ul>	Please make submissions to the Secretariat via the contact point provided below.	No deadline specified; please submit information by <b>31 August 2018</b> in order for it to be included in the report to be prepared by the Secretariat on this issue for the fourteenth meeting of the Conference of the Parties.
(b)	Parties and others are invited to submit good practices and examples with regard to waste prevention and minimization.	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Others</li> </ul>	Please make submissions to the Secretariat via the contact point provided below.	<b>30 June 2018</b>

**Contact point:**

Ms. Susan Wingfield (Email: [susan.wingfield@brsmeas.org](mailto:susan.wingfield@brsmeas.org), Tel: +41 22 917 84 06, Fax: +41 22 917 80 98).

## **5. Technical guidelines on the environmentally sound management of wastes consisting of, containing or contaminated with persistent organic pollutants**

**Decision:** BC-13/4: Technical guidelines on the environmentally sound management of wastes consisting of, containing or contaminated with persistent organic pollutants

### **Background:**

In decision BC-13/4, the Conference of the Parties, among other things, adopted the non-legally binding technical guidelines listed below, and invited Parties and others to use the technical guidelines, and to submit comments on their experience in doing so:

- Updated general technical guidelines on the environmentally sound management of wastes consisting of, containing or contaminated with persistent organic pollutants;<sup>1</sup>
- Technical guidelines on the environmentally sound management of wastes consisting of, containing or contaminated with hexachlorobutadiene;<sup>2</sup>
- Technical guidelines on the environmentally sound management of wastes consisting of, containing or contaminated with pentachlorophenol and its salts and esters;<sup>3</sup>
- Updated technical guidelines on the environmentally sound management of wastes consisting of, containing or contaminated with polychlorinated biphenyls, polychlorinated terphenyls, polychlorinated naphthalenes or polybrominated biphenyls, including hexabromobiphenyl;<sup>4</sup>
- Updated technical guidelines on the environmentally sound management of wastes containing or contaminated with unintentionally produced polychlorinated dibenzo-*p*-dioxins, polychlorinated dibenzofurans, hexachlorobenzene, polychlorinated biphenyls, pentachlorobenzene or polychlorinated naphthalenes;<sup>5</sup>
- Updated technical guidelines on the environmentally sound management of wastes consisting of, containing or contaminated with the pesticides aldrin, alpha hexachlorocyclohexane, beta hexachlorocyclohexane, chlordane, chlordecone, dieldrin, endrin, heptachlor, hexachlorobenzene, hexachlorobutadiene, lindane, mirex, pentachlorobenzene, pentachlorophenol and its salts, perfluorooctane sulfonic acid, technical endosulfan and its related isomers or toxaphene, or with hexachlorobenzene as an industrial chemical;<sup>6</sup>

The Conference of the Parties also extended the mandate of the small intersessional working group to provide that the group shall monitor and assist in the review, updating and preparation, as appropriate, of technical guidelines regarding persistent organic pollutants, working in particular by electronic means.

Furthermore, the Conference of the Parties decided to continue working towards a review of provisional low persistent organic pollutant content values in the technical guidelines before its fourteenth meeting, and invited Parties and others to submit comments on the low persistent organic pollutant content values included in the technical guidelines, as well as the low persistent organic pollutant content values applied at the national level at least three months prior to the eleventh meeting of the Open-ended Working Group.

Finally, the Conference of the Parties invited Parties and organizations to consider taking the lead in the updating of the general technical guidelines and the preparation or updating of specific technical guidelines with regard to the chemicals listed in Annexes A and C to the Stockholm Convention by decisions SC-8/10–SC-8/12 of the Conference of the Parties to the Stockholm Convention.

### **Follow up:**

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties and others are invited to use the technical guidelines referred to in paragraph 2 of decision BC-13/4, and to submit	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Others</li> </ul>	Please make submissions to the Secretariat	No later than two months before COP-14, i.e.

<sup>1</sup> UNEP/CHW.13/6/Add.1/Rev.1.

<sup>2</sup> UNEP/CHW.13/6/Add.2/Rev.1.

<sup>3</sup> UNEP/CHW.13/6/Add.3/Rev.1.

<sup>4</sup> UNEP/CHW.13/6/Add.4/Rev.1.

<sup>5</sup> UNEP/CHW.13/6/Add.5/Rev.1.

<sup>6</sup> UNEP/CHW.13/6/Add.6/Rev.1.

	through the Secretariat comments of their experience in doing so.		via the contact point provided below.	<b>28 February 2019</b>
(b)	Parties and others are invited to submit to the Secretariat comments on the low persistent organic pollutant content values included in the updated general technical guidelines on the environmentally sound management of wastes consisting of, containing or contaminated with persistent organic pollutants and other technical guidelines, as appropriate, and related information, including on studies, taking into account relevant information available under the Stockholm Convention.	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Others</li> </ul>	Please make submissions to the Secretariat via the contact point provided below.	No later than three months in advance of OEWG-11, i.e. <b>4 June 2018</b>
(c)	Parties and others are invited to submit the low persistent organic pollutant content values applied at the national level.	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Others</li> </ul>	Please make submissions to the Secretariat via the contact point provided below.	No later than three months in advance of OEWG-11, i.e. <b>4 June 2018</b>
(d)	Parties and organizations are invited to indicate to the Secretariat their willingness to take the lead in updating or preparing the technical guidelines referred to in paragraph 13 of decision BC-13/4.	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Organizations</li> </ul>	Please make submissions to the Secretariat via the contact point provided below.	<b>31 August 2017</b>

Contact point:

Ms. Carla Valle-Klann (Email: [carla.valle@brsmeas.org](mailto:carla.valle@brsmeas.org), Tel: +41 22 917 86 86, Fax: +41 22 917 80 98).



## **6. Technical guidelines on transboundary movements of electrical and electronic waste and used electrical and electronic equipment, in particular regarding the distinction between waste and non-waste under the Basel Convention**

**Decision:** BC-13/5: Technical guidelines on transboundary movements of electrical and electronic waste and used electrical and electronic equipment, in particular regarding the distinction between waste and non-waste under the Basel Convention

### Background:

In decision BC-13/5, the Conference of the Parties, among other things, invited Parties and others to submit to the Secretariat comments on the technical guidelines on transboundary movements of electrical and electronic waste and used electrical and electronic equipment, in particular regarding the distinction between waste and non waste under the Basel Convention adopted, on an interim basis, by decision BC-12/5.<sup>1</sup>

The Conference of the Parties also decided to establish an expert working group to look into the technical guidelines on transboundary movements of electrical and electronic waste and used electrical and electronic equipment, in particular regarding the distinction between waste and non waste under the Basel Convention, including the guidance on the distinction between waste and non-waste, and the issues referred to in paragraph 5 of decision BC-12/5 and paragraph 8 of decision OEWG-10/5, to advance the work towards finalization of the guidelines.

Finally, the Conference of the Parties requested the Secretariat to develop, as appropriate, and in consultation with the lead country for the work of the expert working group, a revised questionnaire based upon paragraph 3 of decision OEWG-10/5, and to send it out to Parties and others by 30 September 2017. The Conference of the Parties invited Parties and others to provide responses to the revised questionnaire to the Secretariat by 30 November 2017.

### Follow up:

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties and others are invited to provide comments on the technical guidelines on transboundary movements of electrical and electronic waste and used electrical and electronic equipment, in particular regarding the distinction between waste and non waste under the Basel Convention, including suggestions for text on the issues mentioned in paragraph 5 of decision BC-12/5 and/or alternative text to Appendix V of the guidelines.	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Others</li> </ul>	Please make submissions to the Secretariat via the contact point provided below.	<b>30 October 2017</b>
(b)	Each regional group is invited to nominate through its Bureau representative five members with specific knowledge and expertise in the field, to participate in the expert working group to look into the issues referred to in paragraph 2 of decision BC-13/5.	Parties through their Bureau representative	Please make submissions to the Secretariat via the contact point provided below.	<b>30 June 2017</b>
(c)	Parties and others are invited to provide responses to the questionnaire mentioned in paragraph 11 of decision BC-13/5.	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Others</li> </ul>	A questionnaire will be made available and distributed to enable responses to this request.	<b>30 November 2017</b>

### Contact point:

Ms. Carla Valle-Klann (Email: [carla.valle@brsmeas.org](mailto:carla.valle@brsmeas.org), Tel: +41 22 917 86 86, Fax: +41 22 917 80 98).

<sup>1</sup> UNEP/CHW.12/5/Add.1/Rev.1.

## **7. Technical guidelines on incineration on land (D10) and on specially engineered landfill (D5)**

**Decision:** BC-13/6: Technical guidelines on incineration on land (D10) and on specially engineered landfill (D5)

### **Background:**

In decision III/13, the Conference of the Parties, among other things, adopted the technical Guidelines for the environmentally sound management of wastes subject to the Basel Convention, including on incineration on land (D10) and on specially engineered landfill (D5).

In decision BC-13/6, the Conference of the Parties, among other things, took note of the results of the online survey to assess the relevance and utility of the Basel Convention documents related to environmentally sound management, and decided that the technical guidelines on incineration on land (D10) and on specially engineered landfill (D5) should be updated. The Conference of the Parties established a small intersessional working group to work towards this end, and invited Parties to consider serving as a lead country.

### **Follow up:**

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties are invited to consider serving as a lead country for updating the technical guidelines referred to in paragraph 4 of decision BC-13/6.	Parties	Please make submissions to the Secretariat via the contact point provided below.	<b>31 August 2017</b>
(b)	Parties and others are invited to nominate experts to participate in the small intersessional working group, to work on the updating of the technical guidelines on incineration on land (D10) and on specially engineered landfill (D5)	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Others</li> </ul>	Please make submissions to the Secretariat via the contact point provided below.	<b>31 August 2017</b>

### **Contact point:**

Ms. Carla Valle-Klann (Email: [carla.valle@brsmeas.org](mailto:carla.valle@brsmeas.org), Tel: +41 22 917 86 86, Fax: +41 22 917 80 98).

## **8. National reporting**

**Decision:** BC-13/8: National reporting

**Background:**

In decision BC-13/8, the Conference of the Parties, among other things, took note of the practical guidance on the development of inventories of used lead acid batteries, of electrical and electronic waste and of waste oils.<sup>1</sup> The Conference of the Parties encouraged Parties to make use of the practical guidance, and to communicate to the Secretariat their experiences of doing so by 30 March 2018.

Furthermore, the Conference of the Parties invited Parties to submit to the Secretariat, by 28 February 2018, the types of wastes for which additional practical guidance on the development of inventories would be useful.

Finally, the Conference of the Parties urged Parties that have not yet done so to transmit to the Secretariat their completed questionnaires on the transmission of information for the year 2015 and for previous years as soon as possible.

**Follow up:**

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties and others are invited to inform the Secretariat of their experiences in using the practical guidance referred to in paragraph 2 (b) of decision BC-13/8.	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Others</li> </ul>	Please make submissions to the Secretariat via the contact point provided below.	<b>30 March 2018</b>
(b)	Parties are invited to submit the types of wastes for which additional practical guidance on the development of inventories would be useful.	Parties	Please make submissions to the Secretariat via the contact point provided below or the form that will be provided on the website of the Convention	<b>28 February 2018</b>
(c)	Parties that have not yet done so are urged to transmit to the Secretariat their completed questionnaires on the transmission of information for the year 2015 and for previous years as soon as possible.	Parties	Please make submissions via the Electronic Reporting System of the Basel Convention, available on the Basel Convention website. <sup>2</sup>	<b>As soon as possible</b>

**Contact point:**

Ms. Melisa Lim (Email: [melisa.lim@brsmeas.org](mailto:melisa.lim@brsmeas.org), Tel: +41 22 917 82 83, Fax: +41 22 917 80 98).

<sup>1</sup> UNEP/CHW.13/INF/22.

<sup>2</sup> <http://www.basel.int/Countries/NationalReporting/ElectronicReportingSystem/tabid/3356/Default.aspx>.

## **9. Committee Administering the Mechanism for Promoting Implementation and Compliance**

**Decision:** BC-13/9: Committee Administering the Mechanism for Promoting Implementation and Compliance

### **Background:**

In decision BC-13/9, the Conference of the Parties, among other things, adopted the guidance on the implementation of the Basel Convention provisions dealing with illegal traffic (paragraphs 2, 3 and 4 of Article 9)<sup>1</sup> and encouraged Parties to use it and to provide information to the Secretariat on their experiences with the guidance for the consideration of the Committee. The Conference of the Parties also invited Parties, in particular Parties not having submitted their implementing legislation to the Secretariat, to undertake a review of their legislation for implementing the Convention, using the legislator's checklist, and to submit the outcome of their review to the Committee for its consideration. The Conference of the Parties further approved the work programme of the Committee for the biennium 2018–2019 and invited Parties to submit comments on three draft guidance documents under development: the revised version of the Committee's guidance on improving national reporting<sup>2</sup>, the guide for the development of national legal frameworks to implement the Basel Convention<sup>3</sup> and the guidance to improve the implementation of paragraph 11 of Article 6 of the Convention.<sup>4</sup>

### **Follow up:**

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties are encouraged to use the guidance on the implementation of the Basel Convention provisions dealing with illegal traffic (paragraphs 2, 3 and 4 of Article 9) and to provide information to the Secretariat on their experiences with the guidance for the consideration of the Committee (document UNEP/CHW.13/9/Add.2/Rev.1).	Parties	Please make submissions to the Secretariat via the contact point provided below.	No deadline specified.
(b)	Parties, in particular Parties not having submitted their implementing legislation to the Secretariat, are invited to undertake a review of their legislation for implementing the Convention, using the legislator's checklist, and to submit the outcome of their review to the Committee for its consideration.	Parties	Please make submissions to the Secretariat via the contact point provided below.	No deadline specified;
(c)	Parties are invited to submit comments on the revised version of the Committee's guidance on improving national reporting, the guide for the development of national legal frameworks to implement the Basel Convention and the guidance to improve the implementation of paragraph 11 of Article 6 of the Convention for consideration by the Committee (documents UNEP/CHW.13/9/INF/59, UNEP/CHW.13/9/INF/27 and UNEP/CHW.13/9/INF/24).	Parties	Please make submissions to the Secretariat via the contact point provided below.	<b>30 September 2017</b>

### **Contact point:**

Ms. Juliette Voinov Kohler (Email: [juliette.kohler@brsmeas.org](mailto:juliette.kohler@brsmeas.org), Tel: + 41 22 917 82 19, Fax: +41 22 917 80 98).

<sup>1</sup> UNEP/CHW.13/9/Add.2/Rev.1.

<sup>2</sup> UNEP/CHW.13/9/INF/59.

<sup>3</sup> UNEP/CHW.13/9/INF/27.

<sup>4</sup> UNEP/CHW.13/9/INF/24.

## **10. National legislation, notifications, enforcement of the Convention and efforts to combat illegal traffic**

**Decision:** BC-13/10: National legislation, notifications, enforcement of the Convention and efforts to combat illegal traffic

### **Background:**

In decision BC-13/10, the Conference of the Parties, among other things, welcomed the implementation and enforcement activities undertaken by the Secretariat and the active engagement of enforcement organizations and networks in preventing and combating illegal traffic in hazardous wastes and other wastes and invited those organizations and networks to continue their collaboration with the Secretariat on activities aimed at assisting Parties to prevent and combat illegal traffic. The Conference of the Parties encouraged Parties to continue to provide the Secretariat with the texts of national legislation and other measures adopted by them to implement and enforce the Convention. The Conference of the Parties also invited Parties to share information, through the Secretariat, on best practices in preventing and combating illegal traffic and to report confirmed cases of illegal traffic to the Secretariat using the prescribed form for confirmed cases of illegal traffic.

In addition, Conference of the Parties invited Parties that have not yet provided the Secretariat with any of the information on national definitions of hazardous wastes required under Article 3 and paragraph 2 (b) of Article 13 of the Convention, including national lists, or any of the information on import or export restrictions or prohibitions required under paragraphs 1 (a) and 1 (b) of Article 4 of the Convention and paragraphs 2 (c) and 2 (d) of Article 13 of the Convention to do so as soon as possible and to report any subsequent significant change in that information using the standardized reporting format or using the revised questionnaire on transmission of information through designated focal points.

### **Follow up:**

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties are encouraged to continue to provide the Secretariat with the texts of national legislation and other measures adopted by them to implement and enforce the Convention.	Parties	Please make submissions to the Secretariat via the contact point provided below.	No deadline specified; please submit information by <b>31 August 2018</b> in order for it to be included in the report to be prepared by the Secretariat on this issue for the fourteenth meeting of the Conference of the Parties.
(b)	Parties are invited to share information, through the Secretariat, on best practices in preventing and combating illegal traffic and to report confirmed cases of illegal traffic to the Secretariat using the prescribed form for confirmed cases of illegal traffic.	Parties	Please make submissions to the Secretariat via the contact point provided below.	No deadline specified, please submit information by <b>31 August 2018</b> in order for it to be included in the report to be prepared by the Secretariat on this issue for the fourteenth meeting of the Conference of the Parties.
(c)	Parties that have not yet provided the Secretariat with any of the information on national definitions of hazardous wastes required under Article 3 and paragraph 2 (b) of Article 13 of the Convention,	Parties	Please make submissions to the Secretariat via the contact point provided below.	No deadline specified, please submit information by <b>31 August 2018</b> in order for it to be included in the report to be

	including national lists, or any of the information on import or export restrictions or prohibitions required under paragraphs 1 (a) and 1 (b) of Article 4 of the Convention and paragraphs 2 (c) and 2 (d) of Article 13 of the Convention are invited to do so as soon as possible and to report any subsequent significant change in that information using the standardized reporting format or using the revised questionnaire on transmission of information through designated focal points			prepared by the Secretariat on this issue for the fourteenth meeting of the Conference of the Parties.
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Contact points:

For national legislation and other measures, national definitions, and import or export restrictions or prohibitions:

Ms. Yvonne Ewang-Sanvincenti (Email: [yvonne.ewang@brsmeas.org](mailto:yvonne.ewang@brsmeas.org), Tel: + 41 22 917 81 12, Fax: +41 22 917 80 98).

For best practices in preventing and combating illegal traffic and to report confirmed cases of illegal traffic:

Ms. Juliette Voinov Kohler (Email: [juliette.kohler@brsmeas.org](mailto:juliette.kohler@brsmeas.org), Tel: + 41 22 917 82 19, Fax: +41 22 917 80 98).

## **11. Creating innovative solutions through the Basel Convention for the environmentally sound management of household waste**

**Decision:** BC-13/14: Creating innovative solutions through the Basel Convention for the environmentally sound management of household waste

### Background:

In decision BC-13/14, the Conference of the Parties, among other things, decided to establish a working group of the household waste partnership that will be responsible for overseeing organizational matters pertaining to the implementation of the partnership's activities, including the establishment of project groups and review of their work products and reports, serving as a forum for information sharing and taking the lead in awareness-raising, outreach and coordination in relation to activities undertaken by the partnership. The Conference of the Parties also adopted the terms of reference of the household waste partnership<sup>1</sup>, which defines that membership of the working group is open to Parties and signatories to the Basel Convention, municipalities, intergovernmental and non-governmental organizations including private sector and academia dealing with the different aspects of waste management as well as manufacturers, academia, public-interest groups, and Basel and Stockholm Convention Regional and Coordinating Centres for Capacity Building and Technology Transfer (BCRCs/BCCCs, SCRCs) which have specific expertise and experience required for the activities of the partnership. Members of the working group representing a Party or a signatory to the Convention shall be represented by an official from a ministry or agency which is concerned with the subject matter of the partnership.

In order to set up a first list of members and initiate the work of the working group, Parties and others are invited to indicate their interest to join the group.

### Follow up:

<b>Call for information</b>	<b>Respondents</b>	<b>Method of submission</b>	<b>Deadline for submission</b>
Parties and other stakeholders are encouraged to indicate their interest to become member of the working group of the household waste partnership	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Other stakeholders</li> </ul>	Please send indication of interest to the Secretariat via the contact point provided below.	No deadline specified; please submit indication of interest by <b>31 August 2017</b> in order to facilitate the initiation of the work of the working group.

### Contact point:

Mr. Alexander Mangwiro (Email: [alexander.mangwiro@brsmeas.org](mailto:alexander.mangwiro@brsmeas.org), Tel: +41 22 917 8458, Fax: +41 22 917 80 98).

<sup>1</sup> UNEP/CHW.13/INF/33/Rev.1.

## 12. Providing further legal clarity: review of Annexes II, VIII and IX to the Convention; and waste containing nanomaterials

Decision: BC-13/17: Work programme and operations of the Open-ended Working Group for the biennium 2018–2019

### Background:

In decision BC-13/2, the Conference of the Parties, among other things, decided to review Annexes I, III, IV, and related aspects of IX to the Convention.

In decision BC-13/17, the Conference of the Parties, among other things, invited Parties and others to submit comments on whether to consider the review of Annexes II, VIII and IX to the Convention, taking into account the approach outlined in Annex II of document UNEP/CHW.12/INF/52.

In the same decision, the Conference of the Parties requested the Secretariat to prepare a document compiling information on existing activities that address waste containing nanomaterials and identifying issues related to waste containing nanomaterials that may be relevant to work under the Convention, and on options for further work that may be carried out under the Basel Convention related to waste containing nanomaterials. In order to assist the Secretariat in collecting the necessary information for the preparation of the document, Parties and others are invited to provide information on existing activities that address waste containing nanomaterials and issues related to such wastes that may be relevant to work under the Basel Convention.

### Follow up:

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties and others are invited to submit comments on whether to consider the review of Annexes II, VIII and IX to the Convention, taking into account the approach outlined in Annex II of document UNEP/CHW.12/INF/52	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Others</li> </ul>	Please make submissions to the Secretariat via the contact point provided below.	No deadline specified in the decision; please submit information <b>by 28 February 2018</b> in order for it to be included in the report to be prepared by the Secretariat on this issue for the eleventh meeting of the Open-ended Working Group.
(b)	Parties and others are invited to provide information on existing activities that address waste containing nanomaterials and issues related to such wastes that may be relevant to work under the Basel Convention.	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Others</li> </ul>	Please make submissions to the Secretariat via the contact point provided below.	Please submit information <b>by 30 September 2017</b> to assist the Secretariat in preparing the document on this issue for the eleventh meeting of the Open-ended Working Group.

### Contact points:

For comments on whether to consider the review of Annexes II, VIII and IX to the Convention:

Ms. Juliette Voinov Kohler (Email: [juliette.kohler@brsmeas.org](mailto:juliette.kohler@brsmeas.org), Tel: + 41 22 917 82 19, Fax: +41 22 917 80 98).

For information on existing activities that address waste containing nanomaterials

Ms. Melisa Lim (Email: [melisa.lim@brsmeas.org](mailto:melisa.lim@brsmeas.org), Tel: +41 22 917 82 83, Fax: +41 22 917 80 98).



### **13. Enhancing cooperation and coordination among the Basel, Rotterdam and Stockholm Conventions<sup>1</sup>**

**Decision:** BC-13/18: Enhancing cooperation and coordination among the Basel, Rotterdam and Stockholm conventions

**Background:**

In decision BC-13/18, the Conference of the Parties, among other things, welcomed the report on the further review of the synergies arrangements<sup>2</sup>, the report on the review of the matrix-based management approach and organization<sup>3</sup> and the report on the review of the proposals set out in the note by the Secretariat on the organization and operation of the part of the Rotterdam Convention Secretariat hosted by the FAO to enhance synergies arrangements.<sup>4</sup> The Conference of the Parties also invited Parties to submit to the Secretariat suggestions for possible further actions to enhance cooperation and coordination among the Basel, Rotterdam and Stockholm conventions.

**Follow up:**

<b>Call for information</b>	<b>Respondents</b>	<b>Method of submission</b>	<b>Deadline for submission</b>
Parties are invited to submit suggestions for possible further actions to enhance cooperation and coordination among the Basel, Rotterdam and Stockholm conventions.	Parties	Please make submissions to the Secretariat via the contact point provided below.	<b>30 June 2018</b>

**Contact point:**

Ms. Susan Wingfield (Email: [susan.wingfield@brsmeas.org](mailto:susan.wingfield@brsmeas.org), Tel: +41 22 917 84 06, Fax: +41 22 917 8098).

<sup>1</sup> The conferences of the Parties to the Rotterdam and Stockholm conventions adopted similar decisions, as contained in and RC-8/11 and SC-8/21, which have been included in the follow-up letters relating to those conventions.

<sup>2</sup> UNEP/CHW.13/INF/43-UNEP/FAO/RC/COP.8/INF/29-UNEP/POPS/COP.8/INF/46, annex.

<sup>3</sup> UNEP/CHW.13/INF/44-UNEP/FAO/RC/COP.8/INF/30-UNEP/POPS/COP.8/INF/47, annex.

<sup>4</sup> UNEP/CHW.13/INF/45-UNEP/FAO/RC/COP.8/INF/31-UNEP/POPS/COP.8/INF/48, annex.

## **14. Synergies in preventing and combating illegal traffic and trade in hazardous chemicals and wastes<sup>1</sup>**

**Decision:** BC-13/21: Synergies in preventing and combating illegal traffic and trade in hazardous chemicals and wastes

**Background:** In paragraph 6 of decision BC-13/21, the Conference of the Parties emphasized the importance of information provided under each convention by Parties to the Secretariat on the measures that they have adopted in order to implement the Basel, Rotterdam and Stockholm conventions and requested the Secretariat to make the information relevant to illegal traffic and trade, if not identified as confidential by Parties involved, available on the website of the conventions without duplication of related requests under each convention.

In paragraph 7 of the same decision, the Conference of the Parties, encouraged Parties to two or more of the Basel, Rotterdam and Stockholm conventions: (a) To establish, where they do not yet exist, coordinating mechanisms at the national level with a view to facilitating the exchange of information among relevant authorities responsible for the implementation and enforcement of the provisions of the conventions aimed at controlling the export and import of the chemicals and wastes covered under the conventions, other relevant institutions and the private sector; (b) To review, through those coordinating mechanisms, the lessons learned under each convention that could benefit the implementation and enforcement of the others, and, as appropriate, to adjust their legal and institutional frameworks accordingly.

In paragraph 8 of the same decision, the Conference of the Parties invited Parties to share, through the Secretariat, their experiences pursuant to paragraph 7 and information on cases of illegal trade in hazardous chemicals and wastes. In paragraph 10 (a) of the decision, the Secretariat was requested to seek comments from Parties and others on further areas, including areas common to two or three conventions in which legal clarity could be improved as a means of preventing and combating illegal traffic and trade in hazardous chemicals and wastes, and, based on those comments, to prepare a report, including recommendations, for consideration by the Conference of the Parties at its next meeting.

**Follow up:**

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties are invited to provide information under each convention to the Secretariat on the measures relevant to illegal traffic and trade that they have adopted in order to implement the Basel, Rotterdam and Stockholm conventions	Parties	Please make submissions to the Secretariat via the contact point provided below.	No deadline specified in the decision; , please submit information by <b>31 August 2018</b> in order for it to be included in the report to be prepared by the Secretariat on this issue for the fourteenth meeting of the Conference of the Parties
(b)	Parties are invited to share with other Parties, through the Secretariat: <ul style="list-style-type: none"> <li>• Their experiences pursuant to paragraph 7 of decision BC-13/21,</li> <li>• Information on cases of illegal trade in hazardous chemicals and wastes.</li> </ul>	Parties	Please make submissions to the Secretariat via the contact point provided below.	No deadline specified in the decision; , please submit information by <b>31 August 2018</b> in order for it to be included in the report to be prepared by the Secretariat on this issue for the fourteenth meeting of the Conference of the Parties
(c)	Parties and others are invited to provide comments on further areas, including areas common to two or three conventions in which legal clarity could be improved as a means of preventing and combating illegal traffic and trade in hazardous chemicals and wastes	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Others</li> </ul>	Please make submissions to the Secretariat via the contact point provided below.	No deadline specified in the decision, please submit information by <b>31 August 2018</b> in order for it to be included in the report to be prepared by the Secretariat on this issue for the fourteenth meeting of the Conference of the Parties.

**Contact point:**

Ms. Juliette Voinov Kohler (Email: [juliette.kohler@brsmeas.org](mailto:juliette.kohler@brsmeas.org), Tel: +41 22 917 82 19, Fax: +41 22 917 8098).

<sup>1</sup> The conferences of the Parties to the Rotterdam and Stockholm conventions adopted similar decisions, as contained in RC-8/14 and SC-8/24, which have been included in the follow-up letters relating to those conventions.

## **15. From science to action<sup>1</sup>**

**Decision:** BC-13/22: From science to action

**Background:**

In decision BC-13/22, the Conference of the Parties, among other things, requested the Secretariat to revise the draft road map for further engaging Parties and other stakeholders in informed dialogue for enhanced science-based action in the implementation of the Basel, Rotterdam and Stockholm conventions<sup>2</sup>, and prepare a final draft roadmap with the assistance of up to four experts nominated per United Nations region, working through electronic means, for consideration by the conferences of the parties at their next meetings.

**Follow up:**

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties to the Basel, Rotterdam and Stockholm conventions are invited to nominate through their Bureau representatives up to four experts per United Nations region to assist in further revising the draft road map.	Parties through their Bureau representative	Please make submissions to the Secretariat via the contact point provided below.	<b>30 June 2017</b>
(b)	Parties and others are invited to submit comments on the revised draft road map to be made available by 30 September 2017.	<ul style="list-style-type: none"> <li>• Parties</li> <li>• Others</li> </ul>	Please make submissions to the Secretariat via the contact point provided below.	<b>28 February 2018</b>

**Contact point:**

Ms. Kei Ohno Woodall (Email: [kei.ohno-woodall@brsmeas.org](mailto:kei.ohno-woodall@brsmeas.org), Tel: +41 22 917 82 01, Fax: +41 22 917 8098).

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<sup>1</sup> The conferences of the Parties to the Rotterdam and Stockholm conventions adopted similar decisions, as contained in RC-8/15 and SC-8/25, which have been included in the follow-up letters relating to those conventions.

<sup>2</sup> UNEP/CHW.13/INF/50-UNEP/FAO/RC/COP.8/INF/35-UNEP/POPS/COP.8/INF/52, annex I.