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Matters related to the work programme of the Open-ended Working Group for the biennium 2024–2025: strategic issues: strategic framework

## Draft renewed strategic framework for the implementation of the Basel Convention

## Note by the Secretariat

As is mentioned in the note by the Secretariat on the strategic framework (UNEP/CHW/OEWG.14/2), the annex to the present note sets out a draft renewed strategic framework for the implementation of the Basel Convention as prepared by the small intersessional working group for consideration by the Open-ended Working Group at its fourteenth meeting. The present note, including its annex, has not been formally edited.

#### Annex

# Strategic framework for the implementation of the Basel Convention for [2025–2031] [2025–2035]

#### I. Vision<sup>1</sup>

1. The aim of the strategic framework is to protect human health and the environment by controlling transboundary movements of hazardous and other wastes and by ensuring and strengthening the environmentally sound management of such wastes as a contribution to promoting sustainable livelihoods and attaining the Millennium Development Goals.

## II. Guiding principles<sup>2</sup>

- 2. The principles set out below are not listed in order of importance. They can be applied proactively in response to emerging issues provided that compliance with the provisions of the Basel Convention is ensured.
- 3. The following guiding principles will be applied:
- (a) Recognize the waste management hierarchy (prevention, minimization, reuse, recycling, other recovery including energy recovery, and final disposal) and, in so doing, encourage treatment options that deliver the best overall environmental outcome, taking into account life-cycle thinking;
  - (b) Use waste management policy tools, such as:
    - (i) Sustainable use of resources;
    - (ii) Recognition of wastes as a resource, where appropriate;
    - (iii) Integrated waste management;
    - (iv) Life-cycle approach;
    - (v) Polluter-pays principle;
    - (vi) Extended producer responsibility;
    - (vii) Precautionary principle;
    - (viii) Proximity principle;
    - (ix) Partnerships, cooperation and synergies;
    - (x) Sustainable consumption and production;
- (c) Respect legislation governing waste management, including the principle of ensuring that every party has national legislation and regulations in place, in addition to enforcement mechanisms, to control transboundary movements of hazardous and other wastes and to prevent and combat illegal traffic;
- (d) Respect each party's national legislation and regulations regarding the control of the transboundary movements of hazardous and other wastes.

## III. Strategic goals and objectives

Note – Paragraph 4 is under development and has not been revised by the small intersessional working group yet.

4. Responsibility for the attainment of the goals and objectives within the framework of the Convention lies primarily with each party with the involvement of relevant stakeholders. The support of the Convention Secretariat and the regional and coordinating centres will be crucial in the attainment of these goals and objectives by developing countries and countries with economies in transition, in the light of their respective capacities and particular requirements. Attainment also hinges

<sup>&</sup>lt;sup>1</sup> Section considered as falling outside the mandate of the small intersessional working group, pursuant to decision BC 16/1, hence was not reviewed.

<sup>&</sup>lt;sup>2</sup> Idem.

upon the availability of means of implementation. In this regard, full consideration should be given to Article 10 of the Convention.

### A. Goal 1: Parties implement their general overarching obligations

**Objective 1.1:** National **legislation** to implement the obligations of the Basel Convention are in place and made available to all Parties.

#### **Indicators:**

- 1. Number of Parties that have legislation in place to implement the Convention available on the website of the Convention.
- 2. Number of Parties, that have legislation in place to implement amendments [and protocols] to the Convention, where applicable.

**Objective 1.2:** National **definitions** of hazardous wastes, and prohibitions and other control measures for hazardous wastes and other wastes are made available to all Parties.

#### Indicators:

- 1. Number of Parties that have national definitions of hazardous wastes available on the Basel Convention website.
- 2. Number of Parties with prohibitions and other control measures of hazardous wastes and other wastes that has this information available on the Basel Convention website.

**Objective 1.3: Competent authorities and focal points** are designated and their information is made available to all Parties.

#### **Indicators:**

- Number of Parties that have their competent authority information on the Basel Convention website.
- 2. Number of Parties that have their focal point information on the Basel Convention website.
- 3. Number of Parties that have confirmed in their national reports their contact information is up to date for their competent authority and focal point (between [2025–2031] [2025–2035]).

Objective 1.43: National reports are transmitted and made available to all Parties.

#### **Indicators:**

- 1. Number of Parties that have transmitted their national report for each year (between [2025–2031] [2025–2035]).
- 2. Number of Parties that have transmitted a complete national report, whether on time or late for each year (between [2025–2031] [2025–2035]).
- 3. Number of Parties that have transmitted a complete and on-time national report for each year (between [2025–2031] [2025–2035]).

**Objective 1.5:** Guidance on the implementation of the Convention is available to all Parties.

#### **Indicators:**

- 1. Number of documents adopted providing guidance on the implementation of the Convention (e.g. illegal traffic, transit, national reporting) available on the website of the Convention.
- 2. Number of documents adopted providing guidance on definitions, interpretation and terminology of wastes covered by the Convention available on the website of the Convention.

<sup>&</sup>lt;sup>3</sup> As per decision BC-15/17 and subsequent decisions of the Conference of the Parties as relevant.

**Objective 1.6:** Measures to reduce the **generation** of hazardous wastes and other wastes are implemented.

#### **Indicators:**

- Number of Parties that have measures in place to reduce the generation of hazardous wastes
- 2. Number of Parties that have measures in place to reduce the generation of other wastes.
- 3. Amount of hazardous wastes and other wastes generated [per capita] between [2025–2031] [2025–2035].

## B. Goal 2: Parties implement their obligations on transboundary movements of hazardous and other wastes

**Objective 2.1:** PLACEHOLDER for a new or multiple objectives linked to the Prior Informed Consent (PIC) process based on the outcome of the work conducted by the small intersessional working group on improving the functioning of the PIC procedure.

**Objective 2.2:** To prevent and punish **illegal traffic** in hazardous and other wastes.

#### **Indicators:**

- 1. Number of Parties with legislation in place to prevent illegal traffic.
- 2. Number of cases that have been closed.
- 3. Number of Parties with legislation in place to punish illegal traffic.
- 4. Number of capacity building activities conducted to prevent and combat illegal traffic.
- 5. [Number of discrepancies detected in the number of cases of illegal traffic closed by Parties.]

**Objective 2.3:** To reduce **transboundary movements** of hazardous wastes and other wastes.

#### **Indicators:**

- 1. Quantity of hazardous wastes and other wastes exported each year by Parties to the Convention between [2025–2031] [2025–2035].
- Number of Parties that have measures in place to reduce transboundary movements of hazardous wastes and other wastes.

## C. Goal 3: Hazardous wastes and other wastes are managed in an environmentally sound manner by Parties

**Objective 3.1:** Parties have **legislation and other measures** in place requiring that hazardous wastes or other wastes, to be exported, are managed in an environmentally sound manner in the State of import or elsewhere.

## **Indicators:**

- 1. Number of Parties that have legislation requiring that hazardous wastes or other wastes, to be exported, are managed in an environmentally sound manner in the State of import or elsewhere in place.
- 2. Number of Parties that have measures in place at the national level to require that hazardous wastes or other wastes, to be exported, are managed in an environmentally sound manner in the State of import or elsewhere (e.g. protocol, guidance, procedure).

**Objective 3.2:** Parties have access to **disposal facilities** for the environmentally sound management of hazardous wastes and other wastes.

#### **Indicators:**

1. Number of Parties that have disposal facilities for hazardous wastes and other wastes as per section A of Annex IV.

- Number of Parties that have disposal facilities for hazardous wastes and other wastes as per section B of Annex IV.
- Number of Parties that do not have disposal facilities as per section A of Annex IV or section B of Annex IV in their national jurisdiction, and export hazardous wastes and other wastes.
- 4. [Number of Parties that have restrictions to exports of hazardous wastes and other wastes for final disposal and recovery.]

**Objective 3.3:** The Convention provides **technical guidelines or guidance** on the environmentally sound management of wastes covered by the Convention.

#### **Indicators:**

- 1. Number of new and updated technical guidelines that have been adopted between [2025–2031] [2025–2035], or that are under development.
- 2. Number of new and updated technical guidelines that have been translated in the six UN language between [2025–2031] [2025–2035].
- 3. Number of waste streams and disposal operations that have technical guidelines on their environmentally sound management.
- 4. Number of priority waste streams that have technical guidelines or guidance on their environmentally sound management.
- 5. Number of newly adopted or substantially updated technical guidelines or guidance disseminated to all Parties by the Secretariat.
- 6. A document or documents have been developed explaining how to use technical guidelines or guidance at the national level.

**[Objective 3.4:** Hazardous wastes and other wastes are disposed of in an environmentally sound manner.

#### **Indicators:**

- 1. Amount of hazardous wastes and other wastes that is [disposed of] [treated] between [2025–2031] [2025–2035], by disposal operation.
- 2. [Recycling rate of hazardous wastes and other wastes (SDG indicator 12.5.1).]
- 3. [Proportion of hazardous wastes and other wastes, that is subject to a transboundary movement, going for an operation in section B of Annex IV compared to section A of Annex IV between [2025–2031] [2025–2035].]
  - [ALT 3. Amount of hazardous wastes and other wastes, that is subject to a transboundary movement, going for an operation in section A of Annex IV between [2025–2031] [2025–2035].
  - ALT 3 bis. Amount of hazardous wastes and other wastes, that is subject to a transboundary movement, going for an operation in section B of Annex IV between [2025–2031] [2025–2035].]
- 4. [Number of reported cases of disposals that did not proceed as intended or accidents that occurred during a transboundary movement of hazardous wastes or other wastes between [2025-2031] [2025-2035].]
- 5. [Number of Parties that have legislation [or other measures] requiring that hazardous wastes or other wastes are managed domestically in an environmentally sound manner.]]

#### D. Goal 4: Collaboration and other capacity building

**Objective 4.1:** To promote cooperation with national, regional and international bodies, in particular cooperation and coordination between the Basel, Rotterdam and Stockholm conventions, to improve environmental and working conditions through the environmentally sound management of hazardous and other wastes.

#### Indicators:

- 1. Number of decisions adopted by the Conference of the Parties to the Basel Convention that call for cooperation or invite action from the Stockholm, Rotterdam, or Minamata conventions or other relevant international bodies or instruments.
- Number of activities carried out as per the decisions in indicator 1 in synergy between the Basel, Rotterdam and Stockholm conventions.
- 3. Number of decisions adopted by the conferences of the Parties to the Stockholm, Rotterdam, or Minamata conventions or by other relevant international bodies or instruments that call for cooperation or invite action from the Basel Convention.
- 4. Number of activities carried out as per the decisions in indicator 3 in cooperation with other conventions and relevant international bodies, e.g. Montreal Protocol, Minamata Convention.

**Objective 4.2:** The Convention supports developing countries, countries with economies in transitions, small island developing States and regional and coordinating centres in delivering activities for the environmentally sound management of hazardous wastes and other wastes.

#### **Indicators:**

- Number of projects and activities, by type of project and type of activity, supporting the
  environmentally sound management of hazardous wastes and other wastes funded under
  the Convention, including pilot projects, technology transfer, and technical assistance, per
  UN region.
- 2. Number of countries which benefit from the projects identified in indicator 1, per UN region.
- 3. Number of capacity-building activities conducted to prevent and punish illegal traffic.
- 4. Number of Conference of the Parties (COP) decisions adopting a new or a substantially updated technical guideline, or guidance document that include Secretariat cooperation with the regional and coordinating centres under the Basel Convention (BCRCs) and provide the means of dissemination, learning and use of such technical guideline or guidance document.

**Objective 4.3:** The Convention supports developing countries, countries with economies in transitions, small island developing States and regional and coordinating centres in implementing the provisions of the Basel Convention.

#### **Indicators:**

- 1. Number of projects and activities funded under the Convention and supporting implementation of provisions of the Basel Convention, such as the PIC procedure or on illegal traffic, per UN region.
- 2. Number of countries which benefit from the projects identified in indicator 1, per UN region.

## IV. Means of implementation

Note – Under development and has not been revised by the small intersessional working group yet.

- 5. Attaining the goals and objectives of the strategic framework requires adequate capacity and resources, recognition of the needs of developing countries and countries with economies in transition and special attention to the situation of small island developing States. Implementation of the goals and objectives requires recognition of the fact that the parties to the Convention are at varying levels of development.
- 6. The activities to implement the strategic framework will be agreed upon at each meeting of the Conference of the Parties during the 10-year period covered by the framework. These activities must, however, be organized, streamlined and programmed. To do this, there is a need to identify the means by which to conceive, develop and execute these activities in ways that respond to parties' needs and their individual capacities to implement the work in question. The implementation of the strategic

framework will require increased individual and collective efforts, including the mobilization of resources from within parties and through international cooperation.

- 7. The following means of implementation have been identified as some possible options for implementing the Basel Convention, in accordance with the strategic framework:
  - (a) Domestic resources;
  - (b) External resources and funding;
  - (c) Private sector;
  - (d) Regional cooperation;
- (e) Capacity-building involving human resources, organizational and institutional development;
  - (f) Mechanism for promoting implementation and compliance;
  - (g) Partnerships;
  - (h) Financial mechanism.

These options are described in detail in document UNEP/CHW.10/INF/34.

- 8. Furthermore, in recognition of the increased need for sustainable, predictable, adequate and accessible financing for the chemicals and wastes cluster, the Executive Director of the United Nations Environment Programme (UNEP) launched an initiative to consider financing options for chemicals and wastes that is relevant to the implementation of the strategic framework. At the initial meeting in the process, in July 2009, participants called upon UNEP to explore the funding and support needs of developing countries and countries with economies in transition, relevant ways to support compliance with the multilateral environmental agreements related to chemicals and wastes, and capacity-building, including institutional strengthening and technical assistance for promoting the sound management of chemicals and wastes in broader terms.
- 9. The outcome of the fifth meeting in the consultative process (UNEP/CHW.10/INF/54) presents an integrated approach to financing the sound management of chemicals and wastes. The integrated approach is a strategic and synergistic proposal to improve the sound management of chemicals and wastes at all levels. It incorporates the key components of four tracks identified through the consultative process, namely:
  - (a) Mainstreaming sound management of chemicals and hazardous wastes;
- (b) Industry involvement, including public-private partnerships and the use of economic instruments at the national and international levels;
- (c) New trust fund similar to the Multilateral Fund for the Implementation of the Montreal Protocol;
- (d) Introducing safe chemicals and wastes management as a new focal area under the Global Environment Facility (GEF), expanding the existing persistent organic pollutants GEF focal area, or establishing a new trust fund under GEF.
- 10. The integrated approach seeks to make the best possible use of the added value of each track and to maximize impact at all levels. It seeks to use all four tracks in a coordinated manner in contrast to the current fragmented approach in the chemicals and wastes cluster. The integrated approach further seeks to respond to the challenges posed by chemicals and waste management, including those of the Basel Convention, and build upon the opportunities for each track to respond to the varying needs under the conventions related to chemicals and wastes and relevant international policy frameworks.
- 11. Recognizing the ongoing nature of the consultative process, the next step is for the Executive Director, as requested in decision SS.XI/8 of the UNEP Governing Council, to submit his final report for consideration by the Governing Council/Global Ministerial Environment Forum at its twelfth special session in 2012, and of achieving possible decisions at the third session of the International Conference on Chemicals Management, in 2012, and at the twenty-seventh session of the Governing Council, in 2013.
- 12. All information on the consultative process can be found at: http://www.unep.org/delc.

- 13. Donors that have made contributions to the Basel Convention Technical Cooperation Trust Fund to Assist Developing Countries may wish to consider whether limited resources might be available from the carry-over from the Trust Fund.
- 14. The biennial programme of work should be guided by the goals and objectives of the strategic framework.

## V. Evaluation

Note – Under development and has not been revised by small intersessional working group yet.

- 15. The Secretariat, assisted by parties, will prepare reports on the continued relevance of and progress in relation to the strategic framework for the implementation of the Basel Convention, for the purpose of:
- (a) A mid-term evaluation of the strategic framework to be considered by the Conference of the Parties at its thirteenth meeting;
- (b) A final evaluation of the strategic framework to be considered by the Conference of the Parties at its fifteenth meeting.
- 16. Parties are invited to provide information relevant to the indicators contained in section V above to the Secretariat by 31 December 2012 for the year 2011 in order to create a baseline for the above-mentioned evaluations of the strategic framework.

## **Annex to the Strategic Framework**

# Strategic Framework Section III in a table format, with the Convention article and sources of information included

Goal 1: Parties implement their general overarching obligations		
Objectives	Indicators	Convention article and source of information
Objective 1.1: National legislation to implement the obligations of the Basel Convention are in place and made available to all Parties.	<ol> <li>Number of Parties that have legislation in place to implement the Convention available on the website of the Convention.</li> <li>Number of Parties, that have legislation in place to implement amendments [and protocols] to the Convention, where applicable.</li> </ol>	National reports Committee administering the Mechanism for Promoting Implementation and Compliance with the Basel Convention (ICC) reports Basel Convention website Basel Convention Partnerships reports
Objective 1.2: National definitions of hazardous wastes, and prohibitions and other control measures for hazardous wastes and other wastes are made available to all Parties.	<ol> <li>Number of Parties that have national definitions of hazardous wastes available on the Basel Convention website.</li> <li>Number of Parties with prohibitions and other control measures of hazardous wastes and other wastes that has this information available on the Basel Convention website.</li> </ol>	Article 3, Article 4.1a  National reports ICC reports Basel Convention website
Objective 1.3: Competent authorities and focal points are designated and their information is made available to all Parties.	<ol> <li>Number of Parties that have their competent authority information on the Basel Convention website.</li> <li>Number of Parties that have their focal point information on the Basel Convention website.</li> <li>Number of Parties that have confirmed in their national reports their contact information is up to date for their competent authority and focal point (between [2025–2031] [2025–2035]).</li> </ol>	Article 5  National reports ICC reports Basel Convention website
Objective 1.4 <sup>1</sup> :	1. Number of Parties that have transmitted their national report for each year (between [2025–2031] [2025–2035]).	Article 13 National reports

<sup>&</sup>lt;sup>1</sup> As per decision BC-15/17 and subsequent COP decisions as relevant.

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National reports are transmitted and made available to all Parties.	2. Number of Parties that have transmitted a complete national report, whether on time or late for each year (between [2025–2031] [2025–2035]).	ICC reports
	3. Number of Parties that have transmitted a complete and on-time national report for each year (between [2025–2031] [2025–2035]).	
Objective 1.5: Guidance on the implementation of the Convention is available to all Parties.	<ol> <li>Number of documents adopted providing guidance on the implementation of the Convention (e.g. illegal traffic, transit, national reporting) available on the website of the Convention.</li> <li>Number of documents adopted providing guidance on definitions, interpretation and terminology of wastes covered by the Convention available on the website of the Convention.</li> </ol>	COP decisions - documents adopted by the Conference of the Parties Basel Convention website
Objective 1.6: Measures to reduce the generation of hazardous wastes and other wastes are implemented.	<ol> <li>Number of Parties that have measures in place to reduce the generation of hazardous wastes.</li> <li>Number of Parties that have measures in place to reduce the generation of other wastes.</li> </ol>	Article 4.2a National reports, question 5. National reports, table 6. [UN database on population/UN country]
	3. Amount of hazardous wastes and other wastes generated [per capita] between [2025–2031] [2025–2035].	
Goal 2:	Parties implement their obligations on transboundary movements of h	azardous and other wastes
Objectives	Indicators	Convention article and source of information
Objective 2.1:  PLACEHOLDER for a new or multiple objectives linked to the PIC process based on the outcome of the work conducted by the small intersessional working group on improving the functioning of the PIC procedure.		Articles 4.2.4.4 and 0.5
Objective 2.2: To prevent and punish illegal traffic in hazardous and other wastes.	<ol> <li>Number of Parties with legislation in place to prevent illegal traffic.</li> <li>Number of cases that have been closed.</li> </ol>	Articles 4.3, 4.4, and 9.5  Indicators 1, 2, 3: ICC reports

	3. Number of Parties with legislation in place to punish illegal traffic.	National reports, question 1c (ii) (currently optional), table 9, question 1c (iii) (currently optional)
	4. Number of capacity building activities conducted to prevent and combat illegal traffic.	Indicator 4:
	5. [Number of discrepancies detected in the number of cases of illegal traffic closed by Parties.]	ICC reports BCRC reports Plastic Waste Partnership Household Waste Partnership Partnership for Action on Challenges relating to E- waste (PACE II) Small intersessional working group on electronic approaches to the notification and movement documents Environmental Network for Optimizing Regulatory Compliance on Illegal Traffic (ENFORCE)  [Indicator 5: Table 9 between the implicated Parties.]
Objective 2.3: To reduce transboundary movements of hazardous	1. Quantity of hazardous wastes and other wastes exported each year by Parties to the Convention between [2025–2031] [2025–2035].	Article 4.2.d  National reports, table 4
wastes and other wastes.	2. Number of Parties that have measures in place to reduce transboundary movements of hazardous wastes and other wastes.	National reports, question 6
Goal 3:	Hazardous wastes and other wastes are managed in an environmentall	y sound manner by Parties
Objectives	Indicators	Convention article and source of information
Objective 3.1: Parties have legislation and other measures in place requiring that hazardous wastes or other wastes, to be exported, are managed in an	<ol> <li>Number of Parties that have legislation requiring that hazardous wastes or other wastes, to be exported, are managed in an ESM manner in the State of import or elsewhere in place.</li> <li>Number of Parties that have measures in place at the national level to require that hazardous wastes or other wastes, to be exported, are paraged in an</li> </ol>	Article 4.8  Not available
environmentally sound manner in the State of import or elsewhere.	that hazardous wastes or other wastes, to be exported, are managed in an environmentally sound manner in the State of import or elsewhere (e.g. protocol, guidance, procedure).	
Objective 3.2:	Number of Parties that have disposal facilities for hazardous wastes and other wastes as per section A of Annex IV.	Article 4.2.b  National reports, tables 2 and 3

Parties have access to disposal facilities for the environmentally sound management of hazardous wastes and other wastes.	2. Number of Parties that have disposal facilities for hazardous wastes and other wastes as per section B of Annex IV.	National reports, tables 4 and 5  [National reports, question 3b and 3c]
	3. Number of Parties that do not have disposal facilities as per section A of Annex IV or section B of Annex IV in their national jurisdiction, and export hazardous wastes and other wastes.	[tvational reports, question 30 and 30]
	4. [Number of Parties that have restrictions on the exports of hazardous wastes and other wastes for final disposal and recovery.]	
Objective 3.3: The Convention provides technical guidelines or guidance on the environmentally sound management of wastes covered by the Convention.	1. Number of new and updated technical guidelines that have been adopted between [2025–2031] [2025–2035], or that are under development.	Article 4.8  COP decisions – technical guidelines adopted by the Conference of the Parties.
	2. Number of new and updated technical guidelines that have been translated in the six UN language between [2025–2031] [2025–2035].	the Conference of the Parties.
	3. Number of waste streams and disposal operations that have technical guidelines on their environmentally sound management.	
	4. Number of priority waste streams that have technical guidelines or guidance on their environmentally sound management.	
	5. Number of newly adopted or substantially updated technical guidelines or guidance disseminated to all Parties by the Secretariat.	
	6. A document or documents have been developed explaining how to use technical guidelines or guidance at the national level.	
[Objective 3.4: Hazardous wastes and other wastes are disposed of in an environmentally sound manner.	1. Amount of hazardous wastes and other wastes that is [disposed of] [treated] between [2025–2031] [2025–2035], by disposal operation.	Indicator 1: Partial information would be available from tables 4 and 5 of the national reports. This would only cover hazardous wastes and other
	2. [Recycling rate of hazardous wastes and other wastes (SDG indicator 12.5.1).]	wastes subject to transboundary movement. [SDG indicator 12.4.2 (b)]
	3. [Proportion of hazardous wastes and other wastes, that is subject to a transboundary movement, going for an operation in section B of Annex IV compared to section A of Annex IV between [2025–2031] [2025–2035].]	[Indicator 2: Source TBD]
		Indicator 3: National reports, tables 4 and 5 Indicator 4: National reports, tables 7 and 8
		indicator 4. Ivational reports, tables 7 and 6

	<ul> <li>[ALT 3. Amount of hazardous wastes and other wastes, that is subject to a transboundary movement, going for an operation in section A of Annex IV between [2025–2031] [2025–2035].</li> <li>ALT 3 bis. Amount of hazardous wastes and other wastes, that is subject to a transboundary movement, going for an operation in section B of Annex IV between [2025–2031] [2025–2035].]</li> <li>4. [Number of reported cases of disposals that did not proceed as intended or accidents that occurred during a transboundary movement of hazardous wastes or other wastes between 2025-2031 or 2025-2035.]</li> <li>5. [Number of Parties that have legislation [or other measures] requiring that hazardous wastes or other wastes are managed domestically in an environmentally sound manner.]</li> </ul>	[Indicator 5: Legislator checklist, Article 4 paragraph 4, Article 4 paragraph 2 (c)]]
Goal 4: Collaboration and other capacity building		
Objectives	Indicators	Convention article and source of information
Objective 4.1: To promote cooperation with national, regional and international bodies, in particular cooperation and coordination between the Basel, Rotterdam and Stockholm conventions, to improve environmental and working conditions through the environmentally sound management of hazardous and other wastes.	<ol> <li>Number of decisions adopted by the Conference of the Parties to the Basel Convention that call for cooperation or invite action from the Stockholm, Rotterdam, or Minamata conventions or other relevant international bodies or instruments.</li> <li>Number of activities carried out as per the decisions in indicator 1 in synergy between the Basel, Rotterdam and Stockholm conventions.</li> <li>Number of decisions adopted by the conferences of the Parties to the Stockholm, Rotterdam, or Minamata conventions or by other relevant international bodies or instruments that call for cooperation or invite action from the Basel Convention.</li> <li>Number of activities carried out as per the decisions in indicator 3 in cooperation with other conventions and relevant international bodies, e.g. Montreal Protocol, Minamata Convention.</li> </ol>	COP decisions Reports to the COP Basel Convention Secretariat
Objective 4.2: The Convention supports developing countries, countries with economies in transitions, small island	1. Number of projects and activities, by type of project and type of activity, supporting the environmentally sound management of hazardous wastes and other wastes funded under the Convention, including pilot projects, technology transfer, and technical assistance, per UN region.	BCRC reports Plastic Waste Partnership Household Waste Partnership PACE II ENFORCE

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developing States and regional and coordinating centres in delivering activities for the environmentally sound management of hazardous wastes and other wastes.	<ol> <li>Number of countries which benefit from the projects identified in indicator 1, per UN region.</li> <li>Number of capacity-building activities conducted to prevent and punish illegal traffic.</li> <li>Number of COP decisions adopting a new or a substantially updated technical guideline, or guidance document that include Secretariat cooperation with the regional and coordinating centres under the Basel Convention (BCRCs) and provide the means of dissemination, learning and use of such technical guideline or guidance document.</li> </ol>	
Objective 4.3: The Convention supports developing countries, countries with economies in transitions, small island developing States and regional and coordinating centres in implementing the provisions of the Basel Convention.	<ol> <li>Number of projects and activities funded under the Convention and supporting implementation of provisions of the Basel Convention, such as the PIC procedure or on illegal traffic, per region.</li> <li>Number of countries which benefit from the projects identified in indicator 1, per UN region.</li> </ol>	ICC reports Reports on technical assistance to the COP BCRC reports Plastic Waste Partnership Household Waste Partnership PACE II Small intersessional working group on electronic approaches to the notification and movement documents