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**Conference of the Parties to the Basel Convention  
on the Control of Transboundary Movements of  
Hazardous Wastes and Their Disposal  
Ninth meeting  
Bali, 23–27 June 2008**

Item 7 (g) of the provisional agenda\*

**Implementation of the decisions adopted by the  
Conference of the Parties at its eighth meeting:  
national reporting**

## **National reporting**

### **Note by the Secretariat**

#### **I. Introduction**

1. By decision VIII/14, adopted at its eighth meeting, the Conference of the Parties instructed the Secretariat, among other things, to prepare and publish compilation documents for 2004, 2005 and 2006 and country fact sheets for 2006 based on the data and information contained in the completed questionnaires on transmission of information submitted by Parties for 2004, 2005 and 2006. It also instructed the Secretariat to enter the contents of those questionnaires into the reporting database of the Basel Convention.

#### **II. Implementation**

2. Further to decision VIII/14, the Secretariat has:

(a) Prepared annual compilation documents based on the information contained in part I of the completed questionnaires for 2004 and 2005;

(b) Prepared draft annual compilation documents based on the data on generation and transboundary movements of hazardous wastes and other wastes contained in part II of the completed questionnaires for 2004 and 2005, which will be used as a basis for preparing, at a later time in 2008, a triennial summary for 2004–2006, including a graphic representation of the data on transboundary movements of hazardous wastes and other wastes;

(c) Entered the contents of the questionnaires for the years 2004 and 2005 in the reporting database of the Basel Convention;

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\* UNEP/CHW.9/1.

- (d) Prepared consolidated reports for the years 2004 and 2005 on the implementation of decision II/12 of the Conference of the Parties.
3. The Secretariat has also completed work on the online reporting database as a result of which Parties will have access to the data on generation and transboundary movements of hazardous wastes and other wastes transmitted by Parties. This additional feature of the online database will become available on the Basel Convention website (<http://www.basel.int/natreporting/questables/frsetmain.html>) in April 2008.
4. The number of completed questionnaires transmitted by Parties to the Secretariat pursuant to paragraph 3 of article 13 of the Convention is small: as of 12 March 2008 101, 94 and 52 Parties had reported for the years 2004, 2005 and 2006, respectively. In addition, not all of the data and information contained in some of the updated or completed questionnaires are complete or comparable.
5. Owing to budgetary constraints, the Secretariat could not organize workshops in 2007 and 2008 to provide training to developing countries and other countries in need of assistance to meet their reporting obligations.
6. The annex<sup>1</sup> to the present note contains detailed information on the implementation of decision VIII/14.

### III. Proposed action

7. The Conference of the Parties may wish to adopt a decision along the following lines:

*The Conference of the Parties,*

*Recalling its decision VIII/14,*

1. *Urges* Parties that have not yet done so to transmit to the Secretariat their completed questionnaires on transmission of information for the year 2006 and for previous years, as soon as possible and if possible in electronic form, using the revised questionnaire adopted by the Conference of the Parties at its sixth meeting and bearing in mind that, in accordance with the provisions of paragraph 3 of article 13 of the Convention, Parties must transmit before the end of each calendar year a report on the previous calendar year;
2. *Requests* that such information be provided by Parties to the Secretariat for the calendar year 2007 before the end of calendar year 2008, if possible in electronic form;
3. *Invites* Parties to fill in any data gaps that may exist in their previously reported datasets on generation and transboundary movement of hazardous wastes and other wastes for the years 1999 and later;
4. *Encourages* Parties to continue to report on their implementation of decision II/12 in their reports submitted pursuant to article 13 of the Convention;
5. *Requests* the Secretariat to assist Parties in improving the comparability of their data on the transboundary movements of hazardous wastes and other wastes;
6. *Also requests* the Secretariat to prepare and publish:
  - (a) For each of the years 2006 and 2007, an annual compilation document based on the information contained in part I of the completed questionnaires on transmission of information submitted by Parties;
  - (b) For the triennium 2007–2009 and for each triennium thereafter, a summary, including graphic representations, of the data on transboundary movements of hazardous wastes and other wastes contained in part II of the completed questionnaires on transmission of information submitted by Parties;
  - (c) For the triennium 2007–2009 and for each triennium thereafter, a country fact sheet for each Party submitting completed questionnaires on transmission of information, based on the information contained in such questionnaires;

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<sup>1</sup> For reasons of economy, the annex is made available in English only and has not been formally edited.

7. *Further requests* the Secretariat, within available resources, to translate into English any information submitted by Parties in part I of their questionnaires, on transmission of information, in any official language of the United Nations other than English prior to incorporating such information into the reporting database;

8. *Requests* the Secretariat to prepare a further consolidated report on the implementation of decision II/12 and to report thereon to the Conference of the Parties at its tenth meeting;

9. *Also requests* the Secretariat to continue to provide training to developing countries and other countries that are in need of assistance to meet their reporting obligations by organizing workshops through the Basel Convention regional centres or by other appropriate means, subject to the availability of resources.

## Annex

### Detailed report on implementation of decision VIII/14

#### A. Preparation and publication of annual compilation documents for the years 2004 and 2005

##### (i) Update on data and information transmitted for the year 2004

1. As at 5 March 2007, one hundred and one<sup>2</sup> Parties transmitted their updated/completed 2004 questionnaire to the Secretariat.
2. The Secretariat compiled and posted the compilations (part I and part II) of the information and data, as transmitted by Parties, for the year 2004, on the web site of the Basel Convention (<http://www.basel.int/natreporting/compPI.html> and <http://www.basel.int/natreporting/compPII.html>). The revised draft datasets on generation and transboundary movements of hazardous wastes and other wastes, incorporating feedback received from Parties, as at 11 September 2007, in response to Secretariat's quality control exercise are placed on the above-mentioned web site.

##### (ii) Data and information transmitted for the year 2005

3. As at 28 December 2007, ninety-four<sup>3</sup> Parties transmitted their updated/completed 2005 questionnaires to the Secretariat. Sixty-seven<sup>4</sup> Parties did not transmit their reports for the year 2005. A cut-off date was set to 30 September 2007, to compile Part I of the 2005 compilations, incorporating the feedback received from Parties in response to the quality control exercise carried out by the Secretariat. Compilation Part I was posted on the web site of the Basel Convention (<http://www.basel.int/natreporting/compPI.html>) in October 2007.

Compilation Part II (2005), which is posted on the website of the Basel Convention in February 2008 (<http://www.basel.int/natreporting/compPII.html>) is a draft compilation. Upon receipt of pending clarifications from Parties, the Secretariat will finalize these draft datasets.

#### B. Reporting for the year 2006

4. Data and information for 2006 are currently being collected. The Secretariat pre-filled one hundred and fifty revised questionnaires, for the year 2006, based on the reports transmitted by Parties, for the year 2005 and/or for the previous years. These pre-filled questionnaires are posted on a secure area of the Basel Convention website (<http://www.basel.int/natreporting/index.html>). They are accessible under the "national reporting" section of the website under the sub-heading "2006 national

<sup>2</sup> Document UNEP/CHW.8/INF/13 contains the list of ninety-nine parties which reported for the year as at 29 September 2006. In addition, New Zealand and Norway transmitted their updated/completed 2004 questionnaire to the Secretariat in February 2007 and March 2007 respectively.

<sup>3</sup> Albania, Algeria, Andorra, Argentina, Australia, Austria, Azerbaijan, Bahrain, Barbados, Belarus, Belgium, Bolivia, Bosnia and Herzegovina, Botswana, Brazil, Brunei Darussalam, Bulgaria, Burundi, Cambodia, Cameroon, Canada, Chad, Chile, China, Colombia, Costa Rica, Croatia, Cuba, Cyprus, Czech Republic, Djibouti, Dominican Republic, Ecuador, Equatorial Guinea, Estonia, Ethiopia, Finland, France, Gambia, Germany, Greece, Guyana, Honduras, Hungary, Indonesia, Ireland, Israel, Italy, Jamaica, Japan, Latvia, Lesotho, Liechtenstein, Lithuania, Luxembourg, Madagascar, Malaysia, Mexico, Monaco, Mongolia, Morocco, Mozambique, Netherlands, New Zealand, Norway, Pakistan, Paraguay, Philippines, Poland, Qatar, Republic of Korea, Republic of Moldova, Romania, Rwanda, Samoa, Senegal, Serbia and Montenegro, Singapore, Slovakia, Slovenia, Spain, Sri Lanka, Sweden, Switzerland, Thailand, Trinidad and Tobago, Tunisia, Turkey, Uganda, Ukraine, United Kingdom of Great Britain and Northern Ireland, Venezuela, Viet Nam and Zambia.

<sup>4</sup> Antigua and Barbuda, Armenia, Bahamas, Bangladesh, Belize, Benin, Bhutan, Burkina Faso, Cape Verde, Comoros, Cook Island, Côte d'Ivoire, Democratic Republic of Congo, Denmark, Dominica, Egypt, El Salvador, Georgia, Ghana, Guatemala, Guinea, Iceland, India, Iran (Islamic Republic of), Jordan, Kazakhstan, Kenya, Kiribati, Kuwait, Kyrgyzstan, Liberia, Libyan Arab Jamahiriya, Malawi, Maldives, Mali, Malta, Marshall Islands, Mauritania, Mauritius, Micronesia (Federated States of), Namibia, Nauru, Nepal, Nicaragua, Niger, Nigeria, Oman, Panama, Papua New Guinea, Peru, Portugal, Russian Federation, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Saudi Arabia, Seychelles, South Africa, Syrian Arab Republic, the former Yugoslav Republic of Macedonia, Togo, Turkmenistan, United Arab Emirates, United Republic of Tanzania, Uruguay, Uzbekistan and Yemen.

reporting”. The username and password required for accessing these pre-filled questionnaires remain the same as those e-mailed in September 2006 to the focal points.

5. The Secretariat sent letters to Parties in September 2007, requesting them to transmit their updated/completed questionnaire to it, for the year 2006, by 31 December 2007. In January 2008, the Secretariat sent reminders to those Parties that did not meet the deadline. The deadline was extended to 30 April 2008. As at 12 March 2008, fifty-two<sup>5</sup> Parties transmitted their updated/completed 2006 questionnaires to the Secretariat.

### C. Reporting database

6. The on-line Reporting database of the Basel Convention is accessible through the web site of the Convention (<http://www.basel.int/natreporting/questables/frsetmain.html>), which is intended to manage, process and retrieve data and information reported by Parties.

7. The Secretariat completed its work in 2007 concerning providing access to the data on generation and transboundary movements of hazardous wastes and other wastes contained in Part II, Section A (Tables 6, 7 and 8A) of the revised questionnaire on “transmission of information”. This additional feature of the on-line database would become available on the above-mentioned web site in April 2008. The Secretariat will be informing Parties in April 2008 on the progress made concerning the Reporting database of the Basel Convention seeking their feedback for further improvements of the database.

8. Since March 2006, through the on-line Reporting database, the information contained in Part I (questions 2-7; Tables 1-5) and Part II, Section B (Tables 9-10) of the revised questionnaire on “transmission of information” is accessible to users.

9. At present, the Reporting database contains data and information transmitted by Parties, for the years 2001, 2002, 2003 and 2004. For the year 2005, the information contained in Part I and Part II Section B of the revised questionnaires is entered in the database and the data contained in Part II Section A, on generation and transboundary movements is on hold pending some data clarifications. For the year 2006, currently information contained in Part I and Part II Section B of the revised questionnaire is being entered in the database.

### D. Country fact sheets

10. The Secretariat is preparing country fact sheets for each Party submitting completed questionnaires on transmission of information, based on the information contained in such questionnaires, for the triennium 2004-2006.

11. Each fact sheet is intended to provide concise information on a Part’s national definition of waste and hazardous waste, restrictions on transboundary movement of hazardous wastes and other wastes, reduction and/or elimination of hazardous waste generation, transboundary movement reduction measures, disposal/recovery facilities, bilateral, multilateral or regional agreements, technical assistance and training available and data on the generation and transboundary movements hazardous wastes and other wastes. It also covers the concerned Part’s contact address for the competent authority and focal point, as well as status of ratification and ratification/acceptance of the Amendment to the Basel Convention.

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<sup>5</sup> Algeria, Armenia, Australia, Austria, Bahrain, Barbados, Belarus, Bosnia and Herzegovina, Brazil, Brunei Darussalam, Canada, Chile, China, Colombia, Costa Rica, Croatia, El Salvador, Estonia, Georgia, Germany, Ghana, Greece, Guyana, Honduras, Hungary, Indonesia, Italy, Japan, Kazakhstan, Luxembourg, Madagascar, Mexico, Monaco, Montenegro, Mozambique, New Zealand, Norway, Pakistan, Paraguay, Poland, Republic of Moldova, Romania, Rwanda, Serbia, Seychelles, Singapore, Slovakia, Sri Lanka, Trinidad and Tobago, Turkey, Venezuela and Viet Nam.

## **E. Comparable data**

12. During 2005-2007, the Secretariat carried out quality control exercise to ensure the quality of the data reported (2004 and 2005) by Parties on generation and transboundary movements of hazardous wastes and other wastes and sought clarifications<sup>6</sup> from Parties, where necessary. Based on the response received from Parties, the draft data sets were amended and are placed on the website of the Basel Convention (<http://www.basel.int/natreporting/compPIL.html> and <http://www.basel.int/natreporting/compPIL.html>).

## **F. Implementation of decision II/12**

13. Based on the information provided by Parties pursuant to article 13 of the Convention for the years 2004 and 2005, the Secretariat has prepared consolidated reports on Parties' restrictions on the transboundary movements of hazardous wastes and other wastes. These reports are available on the Basel Convention website <http://www.basel.int/natreporting/2004/compI/q3.pdf> and <http://www.basel.int/natreporting/2005/compI/q3.pdf>, respectively.

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<sup>6</sup> Mainly the following clarifications were sought:

Data on Generation of hazardous wastes and other wastes: Is there a significant increase/decrease in the reported generation amount compared to the data reported for the previous year(s)? If yes, whether it would be possible to provide suitable explanatory note? Are these amounts estimated amounts? Whether the generations amounts provided in Tables 8A and 8B are compatible?

Data on transboundary movements of hazardous wastes and other wastes: Whether the 'unspecified' wastes reported fall under the scope of article 1(1)a or article 1(1)b of the Basel Convention? Whether reported data includes 'non-hazardous' wastes? Is there a significant increase/decrease in the reported export/import amount compared to the data reported for the previous year(s)? If yes, whether it would be possible to provide suitable explanatory note? Whether the data reported refers to the actual transboundary movement that took place in the given year or it refers to the permits issued in the given year?