



BC

UNEP/CHW.9/INF/31/Rev.1



Distr.: General
22 May 2008

English only

**Conference of the Parties to the Basel Convention
on the Control of Transboundary Movements of
Hazardous Wastes and Their Disposal**

Ninth meeting

Bali, 23–27 June 2008

Item 7 (k) of the provisional agenda*

**Implementation of the decisions adopted by the
Conference of the Parties at its eighth meeting:
financial matters**

Information on financial matters

Note by the Secretariat

1. The present note is being submitted to the Conference of the Parties in accordance with paragraph 21 of the decision VIII/33 adopted by the eighth meeting of the Conference of the Parties (COP8). It provides information on income and expenditures for the years 2007 for both Basel Convention Trust Funds. It contains also the projection of expenditures for the year 2008 from the Basel Convention Trust Fund.
2. The information is presented in the following annexes:
 - (a) Contributions received in 2007 to the Basel Convention Trust Fund (annex I);
 - (b) Contributions received in 2008 to the Basel Convention Trust Fund as of 30 April 2008 (annex II);
 - (c) Contributions received in 2007 to the Technical Cooperation Trust Fund (annex III);
 - (d) Contributions received in 2008 to the Technical Cooperation Trust Fund as of 30 April 2008 (annex IV);
 - (e) Actual expenditures for the Basel Convention Trust Fund for the year 2007 (annex V);
 - (f) Projected expenditures for the Basel Convention Trust Fund for the year 2008 (annex VI);
 - (g) Actual expenditures for the Technical Cooperation Trust Fund for the year 2007 (annex VII);

* UNEP/CHW.9/1.

230508

(h) Statement of income and expenditures and changes in reserve and fund balance for the years 1993 – 2007 including projection for 2008 for the Basel Convention Trust Fund (annex VIII);

(i) Statement of income and expenditures and changes in reserve and fund balance for the years 1993 – 2007 and cumulative fund balance for the Technical Cooperation Trust Fund (annex IX).

I. Basel Convention Trust Fund

A. Status of contributions to the Basel Convention Trust Fund as of 31 December 2007

3. The status of contributions to the Basel Convention Trust Fund is reported in Annex I. The paid contributions for 2007 amounted to USD 3,279,856 representing 85.1 per cent of the total contributions agreed by Parties for that year. Unpaid contributions for 2007 and prior years amounted to USD 1,106,042 of which USD 580,458 was due for 2006.

B. Status of contributions to the Basel Convention Trust Fund as of 30 April 2008

4. Annex II shows the status of contributions to the Basel Convention Trust Fund as of 30 April 2008. USD 1,579,267 in contributions had been received by 30 April 2008, out of which USD 346,482 was due for 2007 and prior years. The total paid contributions for 2008 stood at USD 1,884,948 that is 47.5 per cent of the contributions agreed by the Parties for that year, whereas USD 2,082,763 representing 52.5 per cent remained unpaid. Efforts are being made by the Secretariat to encourage Parties to pay their contributions in accordance with decision VIII/33 paragraph 14.

C. Actual expenditures for the year 2007 from the Basel Convention Trust Fund

5. Annex V shows the actual expenditures for the year 2007. These amounted to USD 3,898,413, or 98 per cent of the total budget of USD 3,975,397 approved by the Conference of the Parties.

D. Reserve and fund balance of the Basel Convention Trust Fund as of 31 December 2007

6. Annex VIII presents the information on the reserve and fund balance of the Basel Convention Trust Fund. The reserve and fund balance was USD 1,505,366 at the end of 2007. This balance excludes the unpaid contributions of USD 1,106,042 as referred to in paragraph 1. The balance may be further drawn down by US\$ 315,000 in 2008, following the decision by COP 8 to cover part of the budget of the current biennium from the reserve.

E. Projected expenditures and commitments of the Basel Convention Trust Fund for 2008

7. Annex VI presents the estimated expenditures for the year 2008. It is expected that the budgetary provision approved by the Conference of the Parties at its eighth meeting should be adequate to cover the projected costs for the majority of items. However, owing to the continuing depreciation of the US dollar, there are insufficiently funded budget lines which relate to the staff costs in both the professional and general service categories. The Secretariat proposes to use the savings made as a result of the vacant post of the Senior Programme Officer in 2008 and the 2007 unspent balance of USD 76,984 to offset potential over-expenditures under these lines in case it becomes necessary.

8. In addition to the modifications to the budget lines referred to in paragraph 5 above, there is a need for an updated budget to cover the costs of conference servicing related to COP8 (USD 52,377) and the costs of translation of documents for COP9 in the six official languages. Under the budget restrictions decided by COP 8, the Secretariat is allowed to have a total number of 300 pages translated, including conference room papers and the report of the meeting. The Secretariat estimates that an additional 260 pages will need to be translated, thus exceeding the budget allocation by USD 107,890. It is proposed to cover these costs through savings made under budget lines related to consultancies, staff travel and operational expenses.

9. Annex VII contains the projection of income to and expenditures from the Basel Convention Trust Fund for the year 2008 which leads to estimated balance of USD 628,328 (excluding the unpaid contributions) at the end of 2008 of which USD 619,356 represents the operating cash reserve. This

projection is based on the past years' collection rate of contribution due for the current year and prior years and the average level of interest income assuming the expenditures for the 2008 will amount to USD 4,338,211.

10. In spite of late payment or non-payment of contributions to the Basel Convention Trust Fund, the financial liquidity of the Trust Fund was maintained owing to the financial reserve and the carry-over balances from previous years. However over recent years the Basel Convention Trust Fund has been systematically reduced to offset the difference between the approved budget (expenditures) and the paid contributions. If this trend continues the accumulated balance of the Basel Convention Trust Fund may no be longer sufficient to cover the approved budget, thus affecting adversely the implementation of the Convention. A shortage of funds will be unavoidable in the future unless contributions are paid in full and in a timely manner.

II. Basel Convention Technical Cooperation Trust Fund

A. Fund balance and status of contributions to the Technical Cooperation Trust Fund as of 31 December 2007

11. The Conference of the Parties, at its eight meeting, welcomed the programme budget for the Trust Fund to Assist Developing Countries and Other Countries in Need of Technical Assistance in the Implementation of the Basel Convention (Technical Cooperation Trust Fund) in the amount of USD 4,226,885 for 2007. Pledges totalling USD 2,052,410 were received to the Technical Cooperation Trust Fund at 31 December 2007, of which USD 1,440,134 was paid into the Trust Fund in that year. The Fund balance at 31 December 2007 was USD 3,520,762 including the unspent balances from the previous years. The information on the contributions received in 2007 to the Technical Cooperation Trust Fund and the Trust Fund balance is contained in Annex III and IX respectively.

B. Actual expenditures for 2007 from the Technical Cooperation Trust Fund

12. Annex VIII shows the actual expenditures for 2007 from the Technical Cooperation Trust Fund which totalled USD 1,063,935.

C. Status of contributions to the Basel Convention Technical Cooperation Trust Fund as of 30 April 2008

13. The Conference of the Parties, at its eighth meeting, welcomed the programme budget of the Technical Cooperation Trust Fund in the amount of USD 3,683,800 for 2008. Pledges totalling USD 334,450 were received by 30 April 2008 of which USD 143,014 was paid. The status of contributions to the Trust Fund is shown in Annex IV.

Annex I

**Trust Fund for the Basel Convention on the Control of Transboundary
Movements of Hazardous Wastes and their Disposal (BC)
Status of contributions as at 31 December 2007
(Expressed in US Dollars)**

Country	Unpaid pledges for 2006 & prior yrs. As at 1.1.2007	Pledges for 2007	Collections during 2006 for 2007 and fut yrs	Collections during 2007 for 2006 and prior years	Collections during 2007 for 2007 and fut. yrs	Unpaid pledges for 2006 & Prior Yrs as at 31.12.2007	Unpaid pledges for 2007	Unpaid pledges for prior yrs., 2006 & 2007
AGREED								
1 Albania	0	246	0	0	0	0	246	246
2 Algeria	0	3,736	0	0	7,631	0	-3,895	-3,895
3 Andorra	0	246	0	0	0	0	246	246
4 Antigua and Barbuda	0	147	0	0	301	0	-154	-154
5 Argentina	184,813	46,990	0	0	0	184,813	46,990	231,803
6 Armenia	0	98	0	0	0	0	98	98
7 Australia	0	78,251	0	0	195,921	0	-117,670	-117,670
8 Austria	0	42,222	0	0	42,222	0	0	0
9 Azerbaijan	0	246	0	0	246	0	0	0
10 Bahamas	0	639	15,000	0	0	0	-14,361	-14,361
11 Bahrain	0	1,475	0	0	3,012	0	-1,537	-1,537
12 Bangladesh	709	381	0	0	0	709	381	1,090
13 Barbados	0	492	0	0	1,004	0	-512	-512
14 Belarus	0	885	0	0	885	0	0	0
15 Belgium	0	52,544	0	0	52,544	0	0	0
16 Belize	0	49	0	0	0	0	49	49
17 Benin	93	98	0	93	150	0	-52	-52
18 Bhutan	0	49	0	0	100	0	-51	-51
19 Bolivia	824	442	0	0	0	824	442	1,266
20 Bosnia & Herzegovina	0	147	0	0	301	0	-154	-154
21 Botswana	0	590	0	0	1,205	0	-615	-615
22 Brazil	327,095	74,860	0	0	0	327,095	74,860	401,955
23 Brunei Darussalam	0	1,671	0	0	3,413	0	-1,742	-1,742
24 Bulgaria	0	836	0	0	836	0	0	0
25 Burkina Faso	183	98	0	0	0	183	98	281
26 Burundi	91	49	0	91	100	0	-51	-51
27 Cambodia	0	98	0	0	200	0	-102	-102
28 Cameroon	733	393	0	0	0	733	393	1,126
29 Canada	0	138,267	0	0	138,267	0	0	0
30 Cape Verde	91	49	0	0	0	91	49	140
31 Chad	91	49	0	0	0	91	49	140
32 Central African Republic	0	49	0	0	0	0	49	49
33 Chile	0	10,961	2,770	0	8,191	0	0	0
34 China	0	100,911	20,030	0	117,058	0	-36,177	-36,177
35 Colombia	0	7,619	0	0	9,202	0	-1,583	-1,583
36 Comoros	0	49	26	0	0	0	23	23

	Country	Unpaid pledges for 2006 & prior yrs. As at 1.1.2007	Pledges for 2007	Collections during 2006 for 2007 and fut yrs	Collections during 2007 for 2006 and prior years	Collections during 2007 for 2007 and fut. yrs	Unpaid pledges for 2006 & Prior Yrs as at 31.12.2007	Unpaid pledges for 2007	Unpaid pledges for prior yrs., 2006 & 2007
37	Congo	0	37	0	0	0	0	37	37
38	Cook Islands	91	49	0	0	0	91	49	140
39	Costa Rica	2,749	1,475	0	0	0	2,749	1,475	4,224
40	Cote d'Ivoire	0	492	2	0	0	0	490	490
41	Croatia	0	1,819	0	0	1,819	0	0	0
42	Cuba	3,940	2,114	0	0	0	3,940	2,114	6,054
43	Cyprus	0	1,917	0	0	1,917	0	0	0
44	Czech Republic	0	8,995	0	0	18,374	0	-9,379	-9,379
45	Dem. Rep. Of Congo	274	147	0	0	0	274	147	421
46	Denmark	0	35,292	0	0	35,292	0	0	0
47	Djibouti	91	49	0	0	0	91	49	140
48	Dominica	91	49	0	0	0	91	49	140
49	Dominican Rep.	0	1,720	0	0	0	0	1,720	1,720
50	Ecuador	934	934	0	934	934	0	0	0
51	Egypt	0	5,898	0	0	5,898	0	0	0
52	El Salvador	0	1,081	0	0	1,081	0	0	0
53	Equatorial Guinea	183	98	0	0	0	183	98	281
54	Eritrea	0	49	0	0	100	0	-51	-51
55	Estonia	0	590	0	0	1,205	0	-615	-615
56	Ethiopia	16	197	0	16	386	0	-189	-189
57	European Union	0	95,135	1,787	0	93,348	0	0	0
58	Finland	0	26,199	26,199	0	27,316	0	-27,316	-27,316
59	France	0	296,392	0	0	296,392	0	0	0
60	Gambia	91	49	0	91	58	0	-9	-9
61	Georgia	0	147	8	0	306	0	-167	-167
62	Germany	0	425,763	0	0	425,763	0	0	0
63	Ghana	367	197	0	367	402	0	-205	-205
64	Greece	26,348	26,051	0	26,348	27,162	0	-1,111	-1,111
65	Guatemala	0	1,475	0	0	3,012	0	-1,537	-1,537
66	Guinea	274	147	0	0	0	274	147	421
67	Guinea-Bissau	0	49				0	49	49
68	Guyana	49	49	0	49	249	0	-200	-200
69	Honduras	458	246	0	458	10	0	236	236
70	Hungary		6,193	0		6,193	0	0	0
71	Iceland	0	1,671	0	0	3,413	0	-1,742	-1,742
72	India	20,715	20,693	0	20,715	0	0	20,693	20,693
73	Indonesia	0	6,980	0	0	14,257	0	-7,277	-7,277
74	Iran	36,068	7,717	0	0	0	36,068	7,717	43,785
75	Ireland	0	17,204	0	0	35,141	0	-17,937	-17,937
76	Israel	0	22,954	0	0	46,887	0	-23,933	-23,933
77	Italy	240,128	240,112	0	240,128	37,174	0	202,938	202,938
78	Jamaica	0	393	329	0	0	0	64	64
79	Japan	0	837,187	0	0	837,187	0	0	0
80	Jordan	541	541	0	541	541	0	0	0
81	Kazakhstan	0	1,229	0	0	1,229	0	0	0

	Country	Unpaid pledges for 2006 & prior yrs. As at 1.1.2007	Pledges for 2007	Collections during 2006 for 2007 and fut yrs	Collections during 2007 for 2006 and prior years	Collections during 2007 for 2007 and fut. yrs	Unpaid pledges for 2006 & Prior Yrs as at 31.12.2007	Unpaid pledges for 2007	Unpaid pledges for prior yrs., 2006 & 2007
82	Kenya	0	442	0	0	903	0	-461	-461
83	Kiribati	0	49	0	0	78	0	-29	-29
84	Korea, Rep. of	0	88,279	0	0	88,279	0	0	0
85	Kuwait	0	7,963	0	0	7,963	0	0	0
86	Kyrgyzstan	0	49	0	0	100	0	-51	-51
87	Latvia	0	737	0	0	1,506	0	-769	-769
88	Lebanon	1,180	1,180	0	0	0	1,180	1,180	2,360
89	Lesotho	0	49	13	0	87	0	-51	-51
90	Liberia		49				0	49	49
91	Libyan Arab Jamahiriya	12,093	6,488	0	0	0	12,093	6,488	18,581
92	Liechtenstein	0	246	0	0	246	0	0	0
93	Lithuania	0	1,180	0	0	2,410	0	-1,230	-1,230
94	Luxembourg	0	3,785	0	0	3,785	0	0	0
95	Macedonia	550	295	0	550	602	0	-307	-307
96	Madagascar	23	147	0	23	308	0	-161	-161
97	Malawi	91	49	0	0	0	91	49	140
98	Malaysia	0	9,978	0	0	20,382	0	-10,404	-10,404
99	Maldives	0	49	0	0	100	0	-51	-51
100	Mali	183	98	0	183	200	0	-102	-102
101	Malta	0	688	0	0	688	0	0	0
102	Marshall Islands	0	49	0	0	0	0	49	49
103	Mauritania	91	49	0	0	0	91	49	140
104	Mauritius	0	541	0	0	1,105	0	-564	-564
105	Mexico	9,799	92,555	0	9,799	82,756	0	9,799	9,799
106	Micronesia	0	49	0	0	0	0	49	49
107	Moldova	0	49	0	0	100	0	-51	-51
108	Monaco	0	147	0	0	301	0	-154	-154
109	Mongolia	0	49	0	0	100	0	-51	-51
110	Montenegro	0	49	0	0	100	0	-51	-51
111	Morocco	4,305	2,310	0	4,305	38,400	0	-36,090	-36,090
112	Mozambique	0	49	0	0	0	0	49	49
113	Namibia	0	295	0	0	0	0	295	295
114	Nauru	91	49	0	0	0	91	49	140
115	Nepal	367	197	0	0	0	367	197	564
116	Netherlands	0	83,068	0	0	83,068	0	0	0
117	New Zealand	0	10,863	2,691	0	19,473	0	-11,301	-11,301
118	Nicaragua	0	49	0	0	0	0	49	49
119	Niger	91	49	0	0	0	91	49	140
120	Nigeria	0	2,064	3,848	0	368	0	-2,152	-2,152
121	Norway	0	33,375	0	0	33,375	0	0	0
122	Oman	0	3,441	0	0	7,028	0	-3,587	-3,587
123	Pakistan	0	2,703	0	0	4,182	0	-1,479	-1,479
124	Panama	1,741	934	0	0	0	1,741	934	2,675
125	Papua New Guinea	274	147	0	0	0	274	147	421
126	Paraguay	1,099	590	0	0	0	1,099	590	1,689

	Country	Unpaid pledges for 2006 & prior yrs. As at 1.1.2007	Pledges for 2007	Collections during 2006 for 2007 and fut yrs	Collections during 2007 for 2006 and prior years	Collections during 2007 for 2007 and fut. yrs	Unpaid pledges for 2006 & Prior Yrs as at 31.12.2007	Unpaid pledges for 2007	Unpaid pledges for prior yrs., 2006 & 2007
127	Peru	9,520	4,522	0	9,520	0	0	4,522	4,522
128	Philippines	6,679	4,670	0	6,679	493	0	4,177	4,177
129	Poland	0	22,660	0	0	46,286	0	-23,626	-23,626
130	Portugal	0	23,102	23,102	0	24,086	0	-24,086	-24,086
131	Qatar	0	3,146	0	0	6,426	0	-3,280	-3,280
132	Romania	0	2,949	0	0	2,949	0	0	0
133	Russian Fed.	0	54,068	0	0	54,068	0	0	0
134	Rwanda	0	49	0	0	0	0	49	49
135	Saint Kitts and Nevis	0	49	91	0	0	0	-42	-42
136	Saint Lucia	183	98	0	183	10,194	0	-10,096	-10,096
137	St. Vincent & the Grenadines	91	49	0	0	0	91	49	140
138	Saudi Arabia	0	35,046	174	0	34,832	0	40	40
139	Senegal	458	246	0	0	0	458	246	704
140	Serbia and Montenegro	0	934	0	0	1,908	0	-974	-974
141	Seychelles	0	98	0	0	200	0	-102	-102
142	Singapore	0	19,071	0	0	38,956	0	-19,885	-19,885
143	Slovakia	0	2,507	0	0	5,121	0	-2,614	-2,614
144	Slovenia	0	4,031	0	0	8,233	0	-4,202	-4,202
145	South Africa	0	14,353	0	0	29,318	0	-14,965	-14,965
146	Spain	0	123,865	0	0	0	0	123,865	123,865
147	Sri Lanka	0	836	0	0	1,707	0	-871	-871
148	Sudan		393				0	393	393
149	Swaziland		98				0	98	98
150	Sweden	0	49,055	0	0	49,055	0	0	0
151	Switzerland	0	58,836	0	0	58,836	0	0	0
152	Syrian Arab Republic	0	1,868	0	0	1,868	0	0	0
153	Tanzania	0	295	0	0	591	0	-296	-296
154	Thailand	0	10,273	10,274	0	21,421	0	-21,422	-21,422
155	Togo	0	49	0	0	0	0	49	49
156	Trinidad and Tobago	0	1,081	0	0	2,208	0	-1,127	-1,127
157	Tunisia	2,932	1,573	0	0	0	2,932	1,573	4,505
158	Turkey	0	18,285	0	0	18,285	0	0	0
159	Turkmenistan	458	246	0	0	0	458	246	704
160	Uganda	550	295	0	0	0	550	295	845
161	Ukraine	0	1,917	0	0	3,916	0	-1,999	-1,999
162	U.A.E.	5,936	11,551	0	5,936	5,590	0	5,961	5,961
163	United Kingdom	0	301,160	0	0	615,163	0	-314,003	-314,003
164	Uruguay	0	2,359	0	0	2,359	0	0	0
165	Uzbekistan	566	688	0	566	122	0	566	566
166	Venezuela	1,146	8,405	0	1,146	8,854	0	-449	-449
167	Viet Nam	0	1,032	0	0	0	0	1,032	1,032
168	Western Samoa	0	49	0	0	0	0	49	49
169	Yemen	550	295	0	0	0	550	295	845
170	Zambia	0	98	0	0	479	0	-381	-381

Country	Unpaid pledges for 2006 & prior yrs. As at 1.1.2007	Pledges for 2007	Collections during 2006 for 2007 and fut yrs	Collections during 2007 for 2006 and prior years	Collections during 2007 for 2007 and fut. yrs	Unpaid pledges for 2006 & Prior Yrs as at 31.12.2007	Unpaid pledges for 2007	Unpaid pledges for prior yrs., 2006 & 2007
TOTAL	909,179	3,805,477	106,344	328,721	3,957,351	580,458	-258,218	322,241
Additional contribution						0		0
USA	0	50,000	0	0	50,000	0	0	0
Grand Total	909,179	3,855,477	106,344	328,721	4,007,351	580,458	-258,218	322,241

NB: Negative amounts totaling USD 783,689 reflect contributions received in advance for future years

Annex II

**Trust Fund for the Basel Convention on the Control of Transboundary
Movements of Hazardous Wastes and their Disposal (BC)
Status of contributions as at 30 April 2008
(Expressed in US Dollars)**

	Country	Unpaid pledges for 2007 & prior yrs. As at 31.12.2007	Pledges for 2008	Collections during 2007 for 2008 and fut yrs	Collections during 2008 for 2007 and prior years	Collections during 2008 for 2008 and fut. yrs	Unpaid pledges for 2007 & Prior Yrs as at 30.04.2008	Unpaid pledges for 2008	Unpaid pledges for prior yrs., 2007 & 2008
	AGREED								
1	Albania	246	256				246	256	502
2	Algeria	0	3,895	3,895			0	0	0
3	Andorra	246	256				246	256	502
4	Antigua and Barbuda	0	154	154			0	0	0
5	Argentina	231,803	48,994				231,803	48,994	280,797
6	Armenia	98	102				98	102	200
7	Australia	0	81,588	117,670			0	-36,082	-36,082
8	Austria	0	44,023			44,023	0	0	0
9	Azerbaijan	0	256			256	0	0	0
10	Bahamas	0	666	14,361			0	-13,695	-13,695
11	Bahrain	0	1,537	1,537			0	0	0
12	Bangladesh	1,090	397				1,090	397	1,487
13	Barbados	0	512	512			0	0	0
14	Belarus	0	922				0	922	922
15	Belgium	0	54,785				0	54,785	54,785
16	Belize	49	51				49	51	100
17	Benin	0	102	52			0	50	50
18	Bhutan	0	51	51			0	0	0
19	Bolivia	1,266	461				1,266	461	1,727
20	Bosnia & Herzegovina	0	154	154			0	0	0
21	Botswana	0	615	615			0	0	0
22	Brazil	401,955	78,052				401,955	78,052	480,007
23	Brunei Darussalam	0	1,742	1,742			0	0	0
24	Bulgaria	0	871				0	871	871
25	Burkina Faso	281	102				281	102	383
26	Burundi	0	51	51			0	0	0
27	Cambodia	0	102	102			0	0	0
28	Cameroon	1,126	410				1,126	410	1,536
29	Canada	0	144,164			144,164	0	0	0
30	Cape Verde	140	51				140	51	191
31	Chad	140	51				140	51	191
32	Central African Republic	49	51				49	51	100
33	Chile	0	11,429				0	11,429	11,429
34	China	0	105,214	36,117			0	69,097	69,097
35	Colombia	0	7,944	1,583		7,346	0	-985	-985

	Country	Unpaid pledges for 2007 & prior yrs. As at 31.12.2007	Pledges for 2008	Collections during 2007 for 2008 and fut yrs	Collections during 2008 for 2007 and prior years	Collections during 2008 for 2008 and fut. yrs	Unpaid pledges for 2007 & Prior Yrs as at 30.04.2008	Unpaid pledges for 2008	Unpaid pledges for prior yrs., 2007 & 2008
36	Comoros	23	51				23	51	74
37	Congo	37	51				37	51	88
38	Cook Islands	140	51				140	51	191
39	Costa Rica	4,224	1,537				4,224	1,537	5,761
40	Cote d'Ivoire	490	512				490	512	1,002
41	Croatia	0	1,896				0	1,896	1,896
42	Cuba	6,054	2,204				6,054	2,204	8,258
43	Cyprus	0	1,999			1,999	0	0	0
44	Czech Republic	0	9,379	9,379			0	0	0
45	Dem. Rep. Of Congo	421	154				421	154	575
46	Denmark	0	36,797				0	36,797	36,797
47	Djibouti	140	51				140	51	191
48	Dominica	140	51				140	51	191
49	Dominican Rep.	1,720	1,794		1,720		0	1,794	1,794
50	Ecuador	0	974				0	974	974
51	Egypt	0	6,150			6,150	0	0	0
52	El Salvador	0	1,127				0	1,127	1,127
53	Equatorial Guinea	281	102				281	102	383
54	Eritrea	0	51	51			0	0	0
55	Estonia	0	615	615			0	0	0
56	Ethiopia	0	205	189			0	16	16
57	European Union	0	99,192				0	99,192	99,192
58	Finland	0	27,316	27,316			0	0	0
59	France	0	309,032			309,032	0	0	0
60	Gambia	0	51	9			0	42	42
61	Georgia	0	154	167			0	-13	-13
62	Germany	0	443,919			443,919	0	0	0
63	Ghana	0	205	205			0	0	0
64	Greece	0	27,162	1,111			0	26,051	26,051
65	Guatemala	0	1,537	1,537			0	0	0
66	Guinea	421	154				421	154	575
67	Guinea-Bissau	49	51				49	51	100
68	Guyana	0	51	200			0	-149	-149
69	Honduras	236	256				236	256	492
70	Hungary		6,457				0	6,457	6,457
71	Iceland	0	1,742	1,742			0	0	0
72	India	20,693	21,576				20,693	21,576	42,269
73	Indonesia	0	7,277	7,277			0	0	0
74	Iran	43,785	8,046				43,785	8,046	51,831
75	Ireland	0	17,937	17,937			0	0	0
76	Israel	0	23,933	23,933			0	0	0
77	Italy	202,938	250,352		202,938	25,829	0	224,523	224,523
78	Jamaica	64	410				64	410	474
79	Japan	0	872,889				0	872,889	872,889

	Country	Unpaid pledges for 2007 & prior yrs. As at 31.12.2007	Pledges for 2008	Collections during 2007 for 2008 and fut yrs	Collections during 2008 for 2007 and prior years	Collections during 2008 for 2008 and fut. yrs	Unpaid pledges for 2007 & Prior Yrs as at 30.04.2008	Unpaid pledges for 2008	Unpaid pledges for prior yrs., 2007 & 2008
80	Jordan	0	564				0	564	564
81	Kazakhstan	0	1,281				0	1,281	1,281
82	Kenya	0	461	461			0	0	0
83	Kiribati	0	51	29			0	22	22
84	Korea, Rep. of	0	92,043				0	92,043	92,043
85	Kuwait	0	8,302				0	8,302	8,302
86	Kyrgyzstan	0	51	51			0	0	0
87	Latvia	0	769	769			0	0	0
88	Lebanon	2,360	1,230		1,181		1,179	1,230	2,409
89	Lesotho	0	51	51			0	0	0
90	Liberia	49	51				49	51	100
91	Libyan Arab Jamahiriya	18,581	6,765				18,581	6,765	25,346
92	Liechtenstein	0	256			256	0	0	0
93	Lithuania	0	1,230	1,230			0	0	0
94	Luxembourg	0	3,946			3,946	0	0	0
95	Macedonia	0	307	307			0	0	0
96	Madagascar	0	154	161			0	-7	-7
97	Malawi	140	51				140	51	191
98	Malaysia	0	10,404	10,404			0	0	0
99	Maldives	0	51	51			0	0	0
100	Mali	0	102	102			0	0	0
101	Malta	0	717			717	0	0	0
102	Marshall Islands	49	51				49	51	100
103	Mauritania	140	51				140	51	191
104	Mauritius	0	564	564			0	0	0
105	Mexico	9,799	96,502		9,799	86,703	0	9,799	9,799
106	Micronesia	49	51				49	51	100
107	Moldova	0	51	51			0	0	0
108	Monaco	0	154	154			0	0	0
109	Mongolia	0	51	51			0	0	0
110	Montenegro	0	51	51			0	0	0
111	Morocco	0	2,409	36,090			0	-33,681	-33,681
112	Mozambique	49	51		49	51	0	0	0
113	Namibia	295	307				295	307	602
114	Nauru	140	51				140	51	191
115	Nepal	564	205				564	205	769
116	Netherlands	0	86,611				0	86,611	86,611
117	New Zealand	0	11,326	11,326			0	0	0
118	Nicaragua	49	51				49	51	100
119	Niger	140	51				140	51	191
120	Nigeria	0	2,152	2,152			0	0	0
121	Norway	0	34,798			34,798	0	0	0
122	Oman	0	3,587	3,587			0	0	0
123	Pakistan	0	2,819	1,479			0	1,340	1,340

	Country	Unpaid pledges for 2007 & prior yrs. As at 31.12.2007	Pledges for 2008	Collections during 2007 for 2008 and fut yrs	Collections during 2008 for 2007 and prior years	Collections during 2008 for 2008 and fut. yrs	Unpaid pledges for 2007 & Prior Yrs as at 30.04.2008	Unpaid pledges for 2008	Unpaid pledges for prior yrs., 2007 & 2008
124	Panama	2,675	974				2,675	974	3,649
125	Papua New Guinea	421	154				421	154	575
126	Paraguay	1,689	615				1,689	615	2,304
127	Peru	4,522	4,715				4,522	4,715	9,237
128	Philippines	4,177	4,869		970		3,207	4,869	8,076
129	Poland	0	23,626	23,626			0	0	0
130	Portugal	0	24,087	24,087			0	0	0
131	Qatar	0	3,280	3,280			0	0	0
132	Romania	0	3,075			3,075	0	0	0
133	Russian Fed.	0	56,374				0	56,374	56,374
134	Rwanda	49	51				49	51	100
135	Saint Kitts and Nevis	0	51	42			0	9	9
136	Saint Lucia	0	102	10,096			0	-9,994	-9,994
137	St. Vincent & the Grenadines	140	51				140	51	191
138	Saudi Arabia	40	36,541				40	36,541	36,581
139	Senegal	704	256				704	256	960
140	Serbia and Montenegro	0	974	974			0	0	0
141	Seychelles	0	102	102			0	0	0
142	Singapore	0	19,885	19,885			0	0	0
143	Slovakia	0	2,614	2,614			0	0	0
144	Slovenia	0	4,202	4,202			0	0	0
145	South Africa	0	14,965	14,965			0	0	0
146	Spain	123,865	129,148		123,865		0	129,148	129,148
147	Sri Lanka	0	871	871			0	0	0
148	Sudan	393	410				393	410	803
149	Swaziland	98	102				98	102	200
150	Sweden	0	51,147			51,147	0	0	0
151	Switzerland	0	61,345			61,345	0	0	0
152	Syrian Arab Republic	0	1,947				0	1,947	1,947
153	Tanzania	0	307	307			0	0	0
154	Thailand	0	10,711	21,422			0	-10,711	-10,711
155	Togo	49	51				49	51	100
156	Trinidad and Tobago	0	1,127	1,127			0	0	0
157	Tunisia	4,505	1,640				4,505	1,640	6,145
158	Turkey	0	19,065				0	19,065	19,065
159	Turkmenistan	704	256				704	256	960
160	Uganda	845	307				845	307	1,152
161	Ukraine	0	1,999	1,999			0	0	0
162	U.A.E.	5,961	12,044		5,961	6,058	0	5,986	5,986
163	United Kingdom	0	314,003	314,003			0	0	0
164	Uruguay	0	2,460				0	2,460	2,460
165	Uzbekistan	566	717				566	717	1,283
166	Venezuela	0	8,764	449			0	8,315	8,315
167	Viet Nam	1,032	1,076				1,032	1,076	2,108

	Country	Unpaid pledges for 2007 & prior yrs. As at 31.12.2007	Pledges for 2008	Collections during 2007 for 2008 and fut yrs	Collections during 2008 for 2007 and prior years	Collections during 2008 for 2008 and fut. yrs	Unpaid pledges for 2007 & Prior Yrs as at 30.04.2008	Unpaid pledges for 2008	Unpaid pledges for prior yrs., 2007 & 2008
168	Western Samoa	49	51				49	51	100
169	Yemen	845	307				845	307	1,152
170	Zambia	0	102	381		1,972		-2,251	-2,251
	TOTAL	1,106,079	3,967,762	783,877	346,482	1,232,785	759,596	1,951,100	2,710,696

NB: Negative amounts totalling USD 131,505 reflect contributions received in advance for future years.

Annex III

**Basel Convention Trust Fund to Assist Developing Countries and other
Countries in Need of Technical Assistance (BD)
Status of contributions as at 31 December 2007
(Expressed in US Dollars)**

COUNTRY	Unpaid pledges for 2006 & prior yrs as at 01.01.2007		Adjustments		Pledges for 2007		Collections in 2007 for 2006 & prior yrs	Collections in 2007 for 2007	Unpaid pledges for 2007 & prior yrs as at 31.12.2007
Australia					42,290	23/		42,290	0
Basel Action Network(BAN)					525	10/		525	0
China					10,000	26/		10,000	0
Denmark	34,490	8/					34,490		0
Denmark					38,023	35/			38,023
EC					2,122	20/		2,122	0
Finland	442,600	7/					442,600		0
Finland					20,270	17/		20,270	0
Finland					490,344	42/			490,344
Germany					9,188	14/		9,188	0
Germany					27,027	15/		27,027	0
Germany					13,513	16/		13,513	0
Germany					29,155	38/		29,155	0
Holcim Group	24,700	5/					24,700		0
Japan					368,133	28/		368,133	0
Liechtenstein					5,000	40/		5,000	0
Motorola	6,151	1/					6,151		0
NEC	6,151	2/							6,151
Netherlands					41,040	24/		41,040	0
Netherlands					24,624	25/		24,624	0
Norway					41,806	18/		41,806	0
Norway					25,084	19/		25,084	0
Norway					42,704	31/		42,704	0
Samsung Electronics					6,151	9/		6,151	0
Sharp	6,151	3/					6,151		0
Spain	22,368	6/					22,368		0
Spain					57,637	39/			57,637
Sweden					175,940	11/		175,940	0
Sweden					57,637	12/		57,637	0
Sweden					36,057	13/		36,057	0

COUNTRY	Unpaid pledges for 2006 & prior yrs as at 01.01.2007		Adjustments		Pledges for 2007		Collections in 2007 for 2006 & prior yrs	Collections in 2007 for 2007	Unpaid pledges for 2007 & prior yrs as at 31.12.2007
Sweden					39,070	34/		39,070	0
Sweden					156,326	36/		156,326	0
Sweden					21,689	43/		21,689	0
Switzerland					20,000	29/		20,000	0
Switzerland					20,000	30/		20,000	0
Switzerland					27,000	33/		27,000	0
Switzerland					26,272	37/		0	26,272
Switzerland					11,550	41/		11,550	0
United Kingdom					17,233	21/		17,233	0
United Kingdom					75,000	22/		75,000	0
United Kingdom					30,000	27/		30,000	0
United Kingdom					44,000	32/		44,000	0
Vodafone	6,151	4/							6,151
Total	548,763		0		2,052,410		536,460	1,440,134	624,579

1/ USD 6,151.13 for the translation and printing of MPPI guidance document for COP8

2/ USD 6,151.13 for the translation and printing of MPPI guidance document for COP8

3/ USD 6,151.13 for the translation and printing of MPPI guidance document for COP8

4/ USD 6,151.13 for the translation and printing of MPPI guidance document for COP8

5/ USD24,700 for the new partnership with local authorities for the environmentally sound management of hazardous waste in the context of SBC and S. America

6/ EUR17,000 voluntary contribution 2006

7/ EUR336,376 Second payment towards project with BCRC Egypt on capacity building for the implementation of the Basel Convention

8/ DKK200,000 contribution towards the Cote D'Ivoire Emergency Fund

9/ USD 6,151.13 for the translation and printing of MPPI guidance document for COP8

10/ USD525 towards the MPPI programme

11/ SEK1,250,000 first payment for project to co-operate in Anglophone Africa to enhance chemicals and waste management through implementation of SAICM

12/ SEK300,000 to support delegates from developing countries and countries in transition to attend OEWG6

SEK100,000 to support second meeting of the Ad Hoc Joint Working Group (AHJWG) on synergies with Stockholm and Rotterdam conventions

13/ SEK250,000 to support the project on Resource Mobilisation and Mainstreaming

14/ EUR7,000 to support first meeting of the Ad Hoc Joint Working Group (AHJWG) on synergies with Stockholm and Rotterdam conventions, held in Helsinki, Finland

15/ EUR20,000 to support delegates from developing countries and countries in transition to attend OEWG6

16/ EUR10,000 to support post of Senior Programme Officer in charge of Partnerships and Resource Mobilisation

17/ EUR15,000 to support second meeting of the Ad Hoc Joint Working Group (AHJWG) on synergies with Stockholm and Rotterdam conventions

18/ NOK250,000 to support delegates from developing countries and countries in transition to attend OEWG6

19/ NOK150,000 to support second meeting of the Ad Hoc Joint Working Group (AHJWG) on synergies with Stockholm and Rotterdam conventions

20/ Final pay on 07.020100/2005/425227/SUB/E1 Training Wrksp. On reporting and inventories of hazardous waste. SPREP MOU

21/ USD17,233 to support first meeting of the Ad Hoc Joint Working Group (AHJWG) on synergies with Stockholm and Rotterdam conventions, held in Helsinki, Finland

22/ USD75,000 to support post of Senior Programme Officer in charge of Partnerships and Resource Mobilisation

23/ AUD50,000 contribution towards the SBC emergency fund under decision V/32 of COP6

24/ EUR30,000 to support post of Senior Programme Officer in charge of Partnerships and Resource Mobilisation

25/ EUR18,000 to support delegates from developing countries and countries in transition to attend OEWG6

COUNTRY	Unpaid pledges for 2006 & prior yrs as at 01.01.2007		Adjustments		Pledges for 2007		Collections in 2007 for 2006 & prior yrs	Collections in 2007 for 2007	Unpaid pledges for 2007 & prior yrs as at 31.12.2007
---------	--	--	-------------	--	------------------	--	--	------------------------------	--

- 26/ USD10,000 Voluntary Contribution
- 27/ USD30,000 to support delegates from developing countries and countries in transition to attend OEWG6
- 28/ JPY42,166,000 to support e-waste project in Asia Pacific Countries for 2007
- 29/ USD20,000 to support delegates from developing countries and countries in transition to attend OEWG6
- 30/ USD20,000 to support second meeting of the Ad Hoc Joint Working Group (AHJWG) on synergies with Stockholm and Rotterdam conventions
- 31/ NOK250,000 to support the D-pilot project on the Recycling and ESM of mercury for end-of-life equipment
- 32/ USD14,000 to support second meeting of the Ad Hoc Joint Working Group (AHJWG) on synergies with Stockholm and Rotterdam conventions
USD30,000 to support the work to review Basel Convention Regional and Coordinating Centres
- 33/ USD27,000 to support the continuation of the work of the Mobile Phone Partnership Initiative (MPPI)
- 34/ SEK250,000 to support the work of the compliance committee of the Basel Convention
- 35/ DKK200,000 to support the Intercessional working group on the interpretation of paragraph 5 of article 17 of the Basel Convention
- 36/ SEK1,000,000 second payment for project to co-operate in Anglophone Africa to enhance chemicals and waste management through implementation of SAICM
- 37/ CHF30,000 to support post of Senior Programme Officer in charge of Partnerships and Resource Mobilisation
- 38/ EUR20,000 to support post of Senior Programme Officer in charge of Partnerships and Resource Mobilisation
- 39/ EUR40,000 Voluntary Contribution for 2007
- 40/ USD5,000 Voluntary Contribution for 2007
- 41/ USD11,550 Balance of towards the support of project in Jordan on hazardous waste management in industry within the context of intergrated life cycle of materials
- 42/ EUR336,376 Third and final payment towards project with BCRC Egypt on capacity building for the implementation of the Basel Convention
- 43/ SEK150,000 voluntary contribution

Annex IV

**Basel Convention Trust Fund to Assist Developing Countries and other
Countries in Need of Technical Assistance (BD)
Status of contributions as at 30 April 2008
(Expressed in US Dollars)**

COUNTRY	Unpaid pledges for 2007 & prior yrs		Adjustments		Pledges for 2008		Collections in 2008 for 2007 & prior yrs	Collections in 2008 for 2008	Unpaid pledges for 2008 & prior yrs
Canada					22,500	7/		22,500	0
Denmark	38,620	2/					38,620		0
Finland	490,344	6/					490,344		0
Finland					22,693	8/			22,693
Germany					60,514	12/		60,514	0
NEC	6,151	1/							6,151
Netherlands					22,693	10/			22,693
Spain	58,309	4/					58,309		0
Sweden					64,516	9/			64,516
Sweden					20,000	13/			20,000
Sweden					84,034	14/			84,034
Switzerland	26,272	3/					26,272	0	0
United Kingdom					60,000	11/		60,000	0
Vodafone	6,151	5/							6,151
Total	625,847		0		334,450		613,545	143,014	226,238

1/ USD 6,151.13 for the translation and printing of MPPI guidance document for COP8

2/ DKK200,000 to support the Intercessional working group on the interpretation of paragraph 5 of article 17 of the Basel Convention

3/ CHF30,000 to support post of Senior Programme Officer in charge of Partnerships and Resource Mobilisation

4/ EUR40,000 Voluntary Contribution for 2007

5/ USD 6,151.13 for the translation and printing of MPPI guidance document for COP8

6/ EUR336,376 Third and final payment towards project with BCRC Egypt on capacity building for the implementation of the Basel Convention

7/ USD22,500 to support ESM of priority waste streams such as e-waste in Asia Pacific in line with Nairobi declaration

8/ EUR15,000 to support delegates from developing countries and countries in transition to attend COP9

9/ SEK400,000 to support delegates from developing countries and countries in transition to attend COP9

10/ EUR15,000 to support delegates from developing countries and countries in transition to attend COP9

11/ USD30,000 to support delegates from developing countries and countries in transition to attend COP9

USD10,000 to support co-ordinated programme to implement guidelines with a view of enhancing the implementation of the new IMO convention

USD20,000 to support the project to promote ESR of e-equipment and the ESM of e-waste in West African countries in the context of the Basel Convention

12/ EUR40,000 to support delegates from developing countries and countries in transition to attend COP9

13/ USD20,000 for the POPS waste training manual

14/ SEK500,000 to support resource mobilisation training

Annex V

Basel Convention Trust Fund**Actual expenditures for 2007**

10 PERSONNEL COMPONENT

1100 Professional Staff (Title & Grade)

1101	Executive Secretary (D2)	
1102	Deputy Executive Secretary (D1)	
1103	Senior Programme Officer - Technical and Capacity Building (P5)	
1104	Senior Legal Officer (P5)	
1105	Senior Programme Officer - Project Development and Monitoring (P5)	
1106	Programme Officer - Scientific and Technical (P4)	
1107	Programme Officer - Project Development (P4)	
1108	Administrative / Fund Management Officer (UNEP) *	
1109	Programme Officer - National Reporting (P3)	
1110	Information Officer (P3)	
1111	Programme Officer - Project Development (P3)	
1110	Associate Programme Officer - Computer Systems (P2)	
1120	Temporary Posts	

1199 Sub-total, Professional Staff

1200 Consultants

1201	Legal Advice and Support	
1202	Capacity-building / Technical Guidelines	
1203	Resource Mobilisation / Partnerships	

1299 Sub-total, Consultants

1300 Administrative Support (Title & Grade)

1301	Administrative Assistant (G6) *	
1302	Personal Assistant to the Executive Secretary (G6)	
1303	Meetings / Documents Assistant (G6)	
1304	Personnel Assistant (G5)	
1305	Programme Assistant (G5)	
1306	Secretary (G5)	
1307	Secretary (G5)	
1309	Legal Assistant (G5)	
1310	Finance and Budget Assistant *	
1320	Temporary assistance posts (short term)	

Sub-total

Budget approved by COP8	Expenditures	unspent	Variance %
2007	2007	2007	
75,167	211,182	-136,015	-181%
214,300	88,828	125,472	59%
188,500	210,317	-21,817	-12%
188,500	180,054	8,446	4%
62,833	0	62,833	100%
163,100	190,054	-26,954	-17%
163,100	134,164	28,936	18%
0	0	0	0%
135,300	157,389	-22,089	-16%
135,300	126,393	8,907	7%
67,650	1,903	65,747	97%
108,400	131,459	-23,059	-21%
3,000	18,929	-15,929	-531%
1,505,150	1,450,672	54,478	4%
140,000	139,317	683	0%
100,000	90,572	9,428	9%
60,000	40,416	19,584	33%
300,000	270,305	29,695	10%
0	0	0	0%
95,100	158,369	-63,269	-67%
95,100	124,934	-29,834	-31%
95,100	106,905	-11,805	-12%
95,100	106,497	-11,397	-12%
95,100	114,335	-19,235	-20%
95,100	107,599	-12,499	-13%
95,100	95,013	87	0%
0	0	0	0%
30,300	41,174	-10,874	-36%
696,000	854,826	-158,826	-23%

Basel Convention Trust Fund

Actual expenditures for 2007

		Budget approved by COP8	Expenditures	unspent	Variance %
		2007	2007	2007	
<i>Conference Servicing Costs</i>					
1322	Open-ended Working Group: Conference servicing not including translation	275,000	293,987	-18,987	-7%
1323	Open-ended Working Group: translation (six United Nations languages costed at 200 pages or less per meeting)	125,000	126,640	-1,640	-1%
1325	Expanded Bureau (in English only - 2 meetings per biennium)	2,000	0	2,000	100%
1327	Committee for administering the Mechanism for Implementation and Compliance (English only; 2 meetings per biennium))	2,000		2,000	100%
1399	Sub-total Administrative Support and Conference Servicing Costs	1,100,000	1,275,453	-175,453	-16%
<i>1600 Travel on Official Business</i>					
1601	Official Travel	175,000	150,736	24,264	14%
1699	Total, Travel On Official Business	175,000	150,736	24,264	14%
1999	TOTAL STAFF COMPONENT	3,080,150	3,147,166	-67,016	-2%
20	SUB-CONTRACT COMPONENT				
<i>2100 Sub-contracts Component</i>					
2101	Information System	20,000	0	20,000	100%
2199	Sub-total sub-contracts - non-commercial	20,000	0	20,000	100%
2999	TOTAL SUB-CONTRACT COMPONENT	20,000	0	20,000	100%
30	MEETINGS AND CONFERENCES				
<i>3300 Travel and DSA Costs of Participants</i>					
3303	Expanded Bureau (2 meetings per biennium; 9 travels per meeting)	32,400	24,735	7,665	24%
3304	Committee for administering the Mechanism for Implementation and Compliance (2 meetings per biennium; 7 travels per meeting)	25,200	15,344	9,856	39%
3399	Sub-total Meetings and Conferences	57,600	40,079	17,521	30%
3999	TOTAL MEETINGS AND CONFERENCES	57,600	40,079	17,521	30%
40	EQUIPMENT AND PREMISES COMPONENT				
<i>4100 Expendable Equipment</i>					
4101	Office Supplies, library acquisitions and computer software	24,500	5,356	19,144	78%
4199	Sub-total, Expendable Equipment	24,500	5,356	19,144	78%
<i>4200 Non Expendable Equipment</i>					
4201	Computer Equipment, printers, furniture, multimedia and others	20,000	11,851	8,149	41%
4299	Sub-total, Non Expendable Equipment	20,000	11,851	8,149	41%
<i>4300 Premises</i>					

Basel Convention Trust Fund

Actual expenditures for 2007

	4301	Office space fees, building maintenance, security, utilities and insurance	
4399	Total, Premises		
4999	TOTAL, EQUIPMENT AND PREMISES COMPONENT		
50	MISCELLANEOUS COMPONENT		
5100	<i>Operation and Maintenance of Equipment</i>		
	5101	Computers, Printers, photocopiers and other	
5199	Sub-total, Maintenance of Equipment		
5200	<i>Reporting costs</i>		
	5201	Newsletters, publications and other media	
5299	Sub-total, Reporting costs		
5300	<i>Sundry</i>		
	5301	Communications, freight and other	
5399	Sub-total Sundry		
5400	<i>Hospitality</i>		
	5401	Hospitality	
5499	Sub-total Hospitality		
5999	TOTAL, MISCELLANEOUS COMPONENT		
99	TOTAL OPERATIONAL COSTS		
	13% Programme Support Costs		
	TOTAL BUDGET AND EXPENDITURE OF THE TRUST FUND		

Budget approved by COP8	Expenditures	unspent	Variance %
2007	2007	2007	
110,000	80,592	29,408	27%
110,000	80,592	29,408	27%
154,500	97,799	56,701	37%
71,300	36,598	34,702	49%
71,300	36,598	34,702	49%
55,000	56,780	-1,780	-3%
55,000	56,780	-1,780	-3%
70,000	69,048	952	1%
70,000	69,048	952	1%
9,500	2,453	7,047	74%
9,500	2,453	7,047	74%
205,800	164,879	40,921	20%
3,518,050	3,449,923	68,127	2%
457,347	448,490	8,857	2%
3,975,397	3,898,413	76,984	2%

* Funded by UNEP from 13% Programme Support Cost (PSC).

Annex VI

Basel Convention Trust Fund**Projected expenditures for 2008**

10 PERSONNEL COMPONENT

1100 Professional Staff (Title & Grade)

1102	Deputy Executive Secretary (D1)
1103	Senior Programme Officer - Technical and Capacity Building (P5)
1104	Senior Legal Officer (P5)
1105	Senior Programme Officer - Project Development and Monitoring (P5)
1106	Programme Officer -Scientific and Technical (P4)
1107	Programme Officer - Project Development (P4)
1108	Administrative / Fund Management Officer (UNEP) *
1109	Programme Officer - National Reporting (P3)
1110	Information Officer (P3)
1111	Programme Officer - Project Development (P3)
1110	Associate Programme Officer - Computer Systems (P2)
1120	Temporary Posts

1199 Sub-total, Professional Staff

1200 Consultants

1201	Legal Advice and Support
1202	Capacity-building / Technical Guidelines
1203	Resource Mobilisation / Partnerships

1299 Sub-total, Consultants

1300 Administrative Support (Title & Grade)

1301	Administrative Assistant (G6) *
1302	Personal Assistant to the Executive Secretary (G6)
1303	Meetings / Documents Assistant (G6)
1304	Personnel Assistant (G5)
1305	Programme Assistant (G5)
1306	Secretary (G5)
1307	Secretary (G5)
1309	Legal Assistant (G5)
1310	Finance and Budget Assistant *
1320	Temporary assistance posts (short term)

Sub-total

Conference Servicing Costs

1321	Conference of the Parties: conference servicing not including translation
------	---

Budget approved by COP8	Projected expenditures	unspent	Variance %
2008	2008	2008	2008
223,944	207,988	15,956	7%
196,983	216,728	-19,745	-10%
196,983	200,816	-3,833	-2%
196,983	100,000	96,983	49%
170,440	201,832	-31,392	-18%
170,440	146,352	24,088	14%
0	0	0	0%
141,389	181,588	-40,199	-28%
141,389	144,416	-3,027	-2%
141,389	100,000	41,389	29%
113,278	91,900	21,378	19%
10,000	0	10,000	100%
1,703,218	1,591,620	111,598	7%
100,000	75,000	25,000	25%
100,000	75,000	25,000	25%
60,000	60,000	0	0%
260,000	210,000	50,000	19%
0	0	0	0%
99,380	168,872	-69,492	-70%
99,380	105,956	-6,576	-7%
99,380	121,464	-22,084	-22%
99,380	93,736	5,644	6%
99,380	119,872	-20,492	-21%
8,282	0	8,282	100%
99,380	107,440	-8,060	-8%
0	0	0	0%
30,300	84,375	-54,075	-178%
634,862	801,715	-166,853	-26%
412,500	412,500	0	0%

Basel Convention Trust Fund

Projected expenditures for 2008

	Budget approved by COP8	Projected expenditures	unspent	Variance %
	2008	2008	2008	2008
1323 Conference of the Parties: translation (six United Nations languages costed at 300 pages or less per meeting)	187,500	295,400	-107,900	-58%
1325 Expanded Bureau (in English only - 2 meetings per biennium)	2,000	0	2,000	100%
1327 Committee for administering the Mechanism for Implementation and Compliance (English only; 2 meetings per biennium))	2,000	0	2,000	100%
1388 Prior years' adjustments (COP8 remaining costs)	0	52,377	-52,377	
1399 Sub-total Administrative Support and Conference Servicing Costs	1,238,862	1,561,992	-323,130	-26%
<i>1600 Travel on Official Business</i>				
1601 Official Travel	150,000	130,000	20,000	13%
1699 Total, Travel On Official Business	150,000	130,000	20,000	13%
1999 TOTAL STAFF COMPONENT	3,352,080	3,493,612	-141,532	-4%
20 SUB-CONTRACT COMPONENT				
<i>2100 Sub-contracts Component</i>				
2101 Information System	20,000	20,000	0	0%
2199 Sub-total sub-contracts - non-commercial	20,000	20,000	0	0%
2999 TOTAL SUB-CONTRACT COMPONENT	20,000	20,000	0	0%
30 MEETINGS AND CONFERENCES				
<i>3300 Travel and DSA Costs of Participants</i>				
3303 Expanded Bureau (2 meetings per biennium; 9 travels per meeting)	32,400	25,609	6,791	21%
3304 Committee for administering the Mechanism for Implementation and Compliance (2 meetings per biennium; 7 travels per meeting)	25,200	19,904	5,296	21%
3399 Sub-total Meetings and Conferences	57,600	45,513	12,087	21%
3999 TOTAL MEETINGS AND CONFERENCES	57,600	45,513	12,087	21%
40 EQUIPMENT AND PREMISES COMPONENT				
<i>4100 Expendable Equipment</i>				
4101 Office Supplies, library acquisitions and computer software	24,500	10,000	14,500	59%
4199 Sub-total, Expendable Equipment	24,500	10,000	14,500	59%
<i>4200 Non Expendable Equipment</i>				
4201 Computer Equipment, printers, furniture, multimedia and others	20,000	15,000	5,000	25%
4299 Sub-total, Non Expendable Equipment	20,000	15,000	5,000	25%
<i>4300 Premises</i>				
4301 Office space fees, building maintenance, security, utilities and insurance	110,000	85,000	25,000	23%

Basel Convention Trust Fund

Projected expenditures for 2008

	Budget approved by COP8	Projected expenditures	unspent	Variance %
	2008	2008	2008	2008
4399 Total, Premises	110,000	85,000	25,000	23%
4999 TOTAL, EQUIPMENT AND PREMISES COMPONENT	154,500	110,000	44,500	29%
50 MISCELLANEOUS COMPONENT				
5100 <i>Operation and Maintenance of Equipment</i>				
5101 Computers, Printers, photocopiers and other	71,300	40,000	31,300	44%
5199 Sub-total, Maintenance of Equipment	71,300	40,000	31,300	44%
5200 <i>Reporting costs</i>				
5201 Newsletters, publications and other media	55,000	55,000	0	0%
5299 Sub-total, Reporting costs	55,000	55,000	0	0%
5300 <i>Sundry</i>				
5301 Communications, freight and other other	70,000	70,000	0	0%
5399 Sub-total Sundry	70,000	70,000	0	0%
5400 <i>Hospitality</i>				
5401 Hospitality	9,500	5,000	4,500	47%
5499 Sub-total Hospitality	9,500	5,000	4,500	47%
5999 TOTAL, MISCELLANEOUS COMPONENT	205,800	170,000	35,800	17%
99 TOTAL OPERATIONAL COSTS	3,789,980	3,839,125	-49,145	-1%
13% Programme Support Costs	492,697	499,086	-6,389	-1%
TOTAL BUDGET AND EXPENDITURE OF THE TRUST FUND	4,282,677	4,338,211	-55,534	-1%

* Funded by UNEP from 13% Programme Support Cost (PSC).

Annex VII

Basel Convention**Technical Cooperation Trust Fund****Actual expenditures for 2007****10 PERSONNEL COMPONENT***1100 Professional Staff (Title & Grade)*

1111 Senior Programme Officer - Resource Mobilization / Partnerships (L5)

1199 Sub-total, Professional Staff*1200 Consultants*

1201 Legal Advice and Support / Compliance Mechanism - Experts & Advisors

1202 Review of BCRCs

1203 Public/private partnerships

1299 Total, Consultants*1600 Travel on Official Business*

1601 Official Travel - Partnerships/Resource Mobilization

1699 Total, Travel On Official Business**1999 TOTAL STAFF COMPONENT****20 SUB-CONTRACT COMPONENT***2100 Sub-contracts Component*

2102 MOU with BCRC SA on needs assessment on national chemicals and waste in 4 English speaking African countries funded by Kemi

2103 MOU with BCRC-SEA on regional training workshop on ESM of e-waste held in Siem Rep, Cambodia - Funded by Japan

2104 MOU with Matrix Chambers on preparation of legal and Constitutional analysis on enhancing synergies for UNEP/SBC

2105 MOU with SPREP/PRC for project on the pilot inventory of e-waste in 5 Asia Pacific countries - Funded by Japan

2106 MOU with UNOPS for project personnel on the Mobile Phone Partnership Initiative (MPPI) programme - Funded by Switzerland

2107 Project for implementation of guidelines for the environmentally sound management of the dismantling of ships - Funded by UK

2108 MOU with DEFAIT-Canada for e-waste project in China

2109 Project on ratification of liability protocol funded by Switzerland

	Budget adjusted according to the funds pledged/received	Provisional expenditures	Unspent	Variance %
	2007	2007	2007	2007
	283,284	227,019	56,265	20%
	283,284	227,019	56,265	20%
	35,270	0	35,270	100%
	26,549	20,683	5,866	22%
	61,819	20,683	41,136	67%
	2,000	1,915	85	4%
	2,000	1,915	85	4%
	347,103	249,617	97,486	28%
	64,445	64,445	0	0%
	49,187	41,670	7,517	15%
	13,000	13,031	-31	0%
	44,247	44,247	0	0%
	64,933	58,881	6,052	9%
	97,345	46,391	50,954	52%
	6,000	2,939	3,061	51%
	32,274	705	31,569	98%

Basel Convention

Technical Cooperation Trust Fund

Actual expenditures for 2007

		Budget adjusted according to the funds pledged/ received	Provisional expenditures	Unspent	Variance %
		2007	2007	2007	2007
2110	MOU with UNDP Pretoria - regional workshop to promote a non-toxic environment in Africa, funded by Keml	85,000	79,091	5,909	7%
2111	Project on recycling and ESM of mercury for end of life equipment funded by Norway	37,791	0	37,791	100%
2112	MOU with IGES for the development of technical guidelines on the ESM of Mercury Waste funded from the interest income earned by BDTF in 2006-2007	15,000	15,000	0	0%
2113	E waste project in Asia and Pacific countries funded by Japan	325,781	0	325,781	100%
2114	Contributions towards the Cote d'Ivoire emergency response funded by Denmark	30,522	0	30,522	100%
2115	Project for monitoring tool for ESM of PCB Waste & PCB containing equipment funded by Finland	116,442	0	116,442	100%
2151	Emergency Response: Denmark	73,560	0	73,560	100%
2152	Emergency Response: Finland	19,561	0	19,561	100%
2153	Emergency Response: France	58,605	0	58,605	100%
2154	Emergency Response: New Zealand	8,849	0	8,849	100%
2155	Emergency Response: Norway	24,643	0	24,643	100%
2156	Emergency Response: Switzerland	44,246	0	44,246	100%
2157	Emergency Response: United Kingdom	31,664	605	31,059	98%
2158	Emergency Response: Australia	37,425	0	37,425	100%
2199	Sub-total sub-contracts - non-commercial	1,280,520	367,005	913,515	71%
2999	TOTAL SUB-CONTRACT COMPONENT	1,280,520	367,005	913,515	71%
30	MEETINGS AND CONFERENCES				
3300	Meetings & Conferences				
3300	<i>Travel and DSA Costs of Participants</i>				
3303	Open-ended Working Group 6	260,796	244,236	16,560	6%
3305	First meeting of the Ad Hoc Joint working group on synergies with Basel, Rotterdam and Stockholm conventions held in Helsinki	23,381	22,596	785	3%
3306	Second meeting of the Ad Hoc Joint working group on synergies with Basel, Rotterdam and Stockholm conventions held in Vienna	83,304	58,081	25,223	30%
3307	Intersessional WG on the interpretation of paragraph 5 of article 17 of the Basel Convention	33,649	0	33,649	100%
3399	Sub-total Meetings and Conferences	401,130	324,913	42,568	11%
3999	TOTAL MEETINGS AND CONFERENCES	401,130	324,913	42,568	11%
99	TOTAL OPERATIONAL COSTS	2,028,753	941,535	1,053,569	52%
	13% Programme Support Costs	263,738	122,400	136,964	52%
	TOTAL BUDGET OF THE TRUST FUND	2,292,491	1,063,935	1,190,533	52%

Annex VIII

Trust Fund for the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal																
STATEMENT OF INCOME AND EXPENDITURE AND CHANGES IN																
RESERVE AND FUND BALANCE FOR THE YEARS 1993 - 2008 AND CUMMULATIVE (in USD)																
INCOME	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008*
Voluntary contributions	1,408,640	1,506,755	2,178,465	2,458,112	2,428,073	3,198,721	3,422,400	3,131,614	3,157,795	3,126,879	3,119,791	3,119,791	3,361,077	3,854,735	3,855,440	3,967,711
Interest income	14,234	64,785	79,024	141,511	250,604	147,417	230,256	216,039	563,080	412,684	231,754	93,228	111,155	162,351	126,865	100,000
Deferred income	-	-	-	-	-	-	-	-	7,955	-	-	-	-	-	-	-
Miscellaneous income	-	524,933	259,968	121,551	74,477	1,303	-	895	(9,074)	-	-	390	53	-	-	-
TOTAL INCOME	1,422,874	2,096,473	2,517,457	2,721,174	2,753,154	3,347,441	3,652,656	3,348,548	3,719,756	3,539,563	3,351,545	3,213,409	3,472,285	4,017,086	3,982,305	4,067,711
EXPENDITURE																
Staff and other personnel costs	211,053	1,017,261	1,277,218	1,261,298	1,135,891	1,748,976	1,410,552	1,484,892	1,475,656	2,301,622	2,771,755	3,291,631	2,527,876	3,251,328	2,726,125	3,153,612
Consultants	9,190	28,253	10,580	58,318	33,797	9,428	115,682	139,519	-	-	-	-	-	-	-	-
Travel	-	43,923	29,552	60,235	47,161	56,503	163,620	231,229	201,832	176,446	92,207	195,548	188,744	126,106	150,735	130,000
Contractual services	-	(1,850)	13,307	(4,817)	9,799	28,055	89,950	344,763	577,973	534,623	402,570	528,199	283,288	346,768	270,305	230,000
Meetings and conferences	-	324,330	(3,585)	-	20,627	305,517	563,499	196,909	-	-	-	-	-	-	-	-
Rentals	6,640	9,062	30,356	40,532	23,802	31,856	22,044	37,670	-	-	-	-	-	-	-	-
Operating expenses	10,565	8,359	6,926	17,567	26,691	20,836	39,660	11,339	595,329	1,065,424	257,274	475,537	290,276	331,443	217,018	270,513
Acquisitions	17,086	6,787	27,352	3,227	29,895	9,767	22,102	26,579	102,008	89,891	82,647	87,575	69,895	105,292	97,799	55,000
Reporting costs	-	24,548	400	17,955	34,256	(27,064)	48,584	48,341	-	-	-	-	-	-	-	-
Sundry	-	26,636	85,989	50,475	44,585	21,842	39,877	41,272	-	-	-	-	-	-	-	-
UNEP participation costs	-	-	-	-	-	11,081	-	-	-	-	-	-	-	-	-	-
Hospitality	-	4,711	5,867	897	650	4,284	8,285	469	-	-	-	-	-	-	-	-
Bank charges	-	-	-	-	279	170	122	171	-	-	-	-	-	-	-	-
Programme support costs	33,089	193,963	192,914	195,739	182,930	288,740	328,102	333,188	383,864	541,836	468,839	595,204	436,810	540,834	448,400	499,086
TOTAL EXPENDITURE	287,623	1,685,983	1,676,876	1,701,426	1,590,363	2,509,991	2,852,079	2,896,341	3,336,662	4,709,842	4,075,292	5,173,694	3,796,889	4,701,771	3,910,382	4,338,211
EXCESS OF INCOME OVER EXPENDITURE	1,135,251	410,490	840,581	1,019,748	1,162,791	837,450	800,577	452,207	383,094	(1,170,279)	(723,747)	(1,960,285)	(324,604)	(684,685)	71,923	(270,500)
Prior period adjustments	-	-	(100,000)	(247,383)	6,357	-	573,102	5,241	(6,635)	(1,320)	(9,110)	(82)	-	-	-	-
Transfer to reserves	-	-	-	-	-	-	-	-	-	-	-	-	(642,914)	-	-	-
FUND BALANCE AT THE BEGINNING OF THE PERIOD	-	1,135,251	1,545,741	2,286,322	3,058,687	4,227,835	5,065,285	6,438,964	6,896,412	7,272,871	6,101,272	5,368,415	3,408,048	2,440,530	1,755,845	1,827,719
FUND BALANCE AT THE END OF THE PERIOD	1,135,251	1,545,741	2,286,322	3,058,687	4,227,835	5,065,285	6,438,964	6,896,412	7,272,871	6,101,272	5,368,415	3,408,048	2,440,530	1,755,845	1,827,719	1,557,219
UNPAID PLEDGES	127,883	508,974	526,869	75,838	3,019,152	2,997,280	3,262,913	4,014,123	405,520	649,055	124,547	658,075	196,928	909,251	1,106,042	1,060,396
DEFERRED PLEDGES	-	-	-	-	2,943,387	3,001,856	3,001,852	3,001,854	413,803	50,394	353,426	-	-	106,345	783,689	131,505

Trust Fund for the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal

STATEMENT OF INCOME AND EXPENDITURE AND CHANGES IN
RESERVE AND FUND BALANCE FOR THE YEARS 1993 - 2008 AND CUMMULATIVE (in USD)

INCOME	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008*
FUND BALANCE CARRIED FORWARD	1,007,368	1,036,767	1,759,453	2,982,849	4,152,070	5,069,861	6,177,903	5,884,143	7,281,154	5,502,611	5,597,294	2,749,973	2,243,602	952,939	1,505,366	628,328

* Projection

Annex IX

Trust Fund to Assist Developing Countries and Other Countries in Need of Technical Assistance in the Implementation of the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal

**STATEMENT OF INCOME AND EXPENDITURE AND CHANGES IN
RESERVE AND FUND BALANCE FOR THE YEARS 1993 - 2006 AND CUMMULATIVE (in USD)**

INCOME	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007
Voluntary contributions	206,864	260,062	596,290	680,092	269,204	886,225	1,788,068	710,996	378,875	488,839	680,466	651,875	1,486,522	1,168,116	1,976,594
Interest income	1,763	15,169	13,665	21,852	30,462	19,297	40,078	86,951	159,405	147,012	100,105	61,499	94,466	185,000	207,151
Miscellaneous income	-	-	-	-	-	-	-	262	6,038	(2,640)	-	-	-	-	124,918
TOTAL INCOME	208,627	275,231	609,955	701,944	299,666	917,522	1,828,146	797,947	538,542	641,889	777,931	713,374	1,581,008	1,353,116	2,308,663
EXPENDITURE															
Staff and other personnel costs	-	-	5,591	-	-	-	-	-	20,030	26,683	1,659	220,379	133,653	459,000	251,730
Consultants	-	-	-	34,140	23,056	-	-	-	-	-	-	-	-	-	-
Travel	-	10,995	19,485	8,334	16,334	(1,515)	-	4,660	2,090	3,189	10,492	17,825	31,000	7,239	
Contractual services	-	-	-	30,000	(9,937)	30,960	76,920	131,446	159,634	221,248	75,484	666,300	368,346	816,000	653,760
Fellowships	-	33,927	26,008	15,838	23,513	-	-	-	-	-	-	-	-	-	-
Meetings and conferences	8,084	131,917	365,043	154,756	329,393	440,191	674,919	17,727	31,863	-	-	-	-	-	-
Rentals	-	-	467	(467)	-	-	-	-	-	-	-	-	-	-	-
Operating expenses	-	-	-	-	-	-	-	-	-	539,527	141,813	187,073	225,295	475,000	490,067
Acquisitions	-	-	-	-	-	-	-	-	-	6,537	5,020	273	(127)	-	-
Reporting costs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Acquisitions	-	-	-	-	-	-	-	-	990	-	-	-	-	-	-
Sundry	-	-	-	-	-	-	-	247	-	-	-	-	-	-	-
UNEP participation costs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Hospitality	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Bank charges	-	-	-	-	61	33	68	70	-	-	-	-	-	-	-
Programme support costs	1,051	22,989	54,157	31,538	50,487	61,055	97,739	19,425	28,233	103,491	29,341	140,988	96,849	232,000	181,893
TOTAL EXPENDITURE	9,136	199,828	470,751	274,139	438,907	530,744	849,646	168,915	245,410	899,576	256,506	1,225,511	841,841	2,013,000	1,584,689
EXCESS OF INCOME OVER EXPENDITURE	199,492	75,403	139,204	427,805	(139,241)	386,778	978,500	629,032	293,132	(257,687)	521,425	(512,137)	739,167	(659,884)	723,974
Refund to donors	-	-	-	-	-	-	-	-	-	-	(63,841)	-	-	-	-
Prior period adjustments	-	-	-	(18,044)	-	-	43,126	-	-	124,279	(15,173)	(103,707)	13,170	11,984	(25,995)
Provisional savings on or cancellation of prior periods' obligations	-	-	-	-	-	-	-	-	-	(70,922)	70,922	-	-	-	-
FUND BALANCE AT THE BEGINNING OF THE PERIOD	-	199,492	274,895	414,099	823,860	684,819	1,071,397	2,093,023	2,722,055	3,015,187	2,810,857	3,334,190	2,718,346	3,470,683	2,822,783
FUND BALANCE AT THE END OF THE PERIOD	199,492	274,895	414,099	823,860	684,819	1,071,397	2,093,023	2,722,055	3,015,187	2,810,857	3,334,190	2,718,346	3,470,683	2,822,783	3,520,762
UNPAID PLEDGES	-	-	13,867	-	-	220,599	2,653	21,604	1,320	1,320	-	-	-	-	-
DEFERRED PLEDGES	-	-	-	-	40,000	-	-	-	-	14,003	-	-	-	-	-
FUND BALANCE CARRIED FORWARD	199,492	274,895	400,232	823,860	724,819	850,798	2,090,370	2,700,451	3,013,867	2,823,540	3,334,190	2,718,346	3,470,683	2,822,783	3,520,762