



**Conference of the Parties to the Basel Convention
on the Control of Transboundary Movements of
Hazardous Wastes and Their Disposal
Twelfth meeting**

Geneva, 4–15 May 2015

Item 4 (c) (i) of the provisional agenda*

**Matters related to the implementation of the Convention:
legal, compliance and governance matters:
Committee for Administering the Mechanism for
Promoting Implementation and Compliance of the Basel
Convention**

**Committee for Administering the Mechanism for Promoting
Implementation and Compliance of the Basel Convention**

National reporting individual compliance performance

Note by the Secretariat

I. Introduction

1. By its decision BC-11/8, the eleventh meeting of the Conference of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal noted with concern that the national reporting targets for the year 2010 approved by the Conference of the Parties at its tenth meeting had not been met and acknowledged that the problem of non-reporting, incomplete reporting or late reporting was all the more serious because of the close link between the core obligations of the Convention and the obligation to submit national reports in accordance with paragraph 3 of Article 13 of the Convention.
2. In the same decision, the Conference of the Parties adopted the work programme for the biennium 2014–2015 of the Committee administering the mechanism for promoting implementation and compliance of the Basel Convention (hereinafter “the Committee”), attached to that decision. Within its mandate to review general issues of compliance and implementation under the Convention, the Committee was requested to undertake several activities with the objective of improving timely and complete national reporting under paragraph 3 of Article 13 of the Convention.
3. Among the activities listed in the 2014–2015 work programme is for the Committee to classify and publish parties’ individual compliance performance with regard to the annual reporting obligations for 2011–2012, based on the criteria, categories and targets approved by the Conference of the Parties at its tenth meeting. A classification of parties’ individual compliance performance with regard to the annual reporting obligations for preceding years, namely 2009 and 2010, was undertaken by the Committee as mandated by the tenth meeting of the Conference of the Parties in its decision BC-10/11.

* UNEP/CHW.12/1.

4. The criteria and categories approved by the Conference of the Parties are set out in paragraph 26 of the report of the Committee to the tenth meeting of the Conference of the Parties¹, namely two criteria (timeliness and completeness of the report) and five categories:

- (a) Fully reported and on time;
- (b) Fully reported (but late);
- (c) Reporting incomplete (but on time);
- (d) Reporting incomplete (and late);
- (e) Not reported.

5. The national reporting targets adopted by the Conference of the Parties in its decision BC-11/8 as a way of measuring progress in the overall implementation of and compliance with paragraph 3 of Article 13 of the Convention are:

- (a) Thirty per cent of reports due for 2011 and subsequent years are submitted on time (baseline: 13.3 per cent for the reports due for 2006);
- (b) Twenty per cent of reports due for 2011 and 2012 and subsequent years are submitted complete (baseline: 9 per cent for the reports due for 2006).

II. Implementation

6. The classification of parties' compliance performance with regard to the annual reporting obligations for 2011 and 2012 was undertaken by the Committee based on the criteria, categories and targets approved by the Conference of the Parties at its tenth meeting. The classification for 2011 was considered by the Committee during its tenth meeting (Paris, 5 and 6 December 2013, and 8 May 2014),² while the classification for 2012 was considered by the Committee during its eleventh meeting (Geneva, 22 to 24 September 2014).³

7. As agreed by the Committee during its eleventh meeting, the classification of parties' compliance performance with regard to the annual reporting obligation for 2011 and 2012 was published on the Convention's website along with an explanatory note⁴ and made available to parties through a 13 October 2014 communication with an invitation to comment by 15 November 2014. Updated classifications based on the comments received⁵ were subsequently prepared, as set out in annex I and II to the present note.

8. The information provided in the classifications includes, for each year:

- (a) A classification of parties' compliance performance with respect to the annual reporting obligation based on regional grouping;
- (b) A classification of parties' compliance performance with respect to the annual reporting obligation based on regional grouping with information on the completeness of Parts I and II of the questionnaire;
- (c) An overview of reporting rates and timeliness of reporting based on regional grouping;
- (d) A classification of parties' compliance performance with respect to the annual reporting obligation with a breakdown on a party basis;
- (e) An overview of completeness of answers to each question and sub-question of the national report.

9. As mandated by the Conference of the Parties and under the guidance of the Committee, the Secretariat undertook the classification on the basis of the following assumptions:

- (a) The information was used as reported by parties, without prejudice to the Secretariat's quality control;

¹ UNEP/CHW.10/9/Rev.1.

² The report of the meeting is set out in document UNEP/CHW/CC.10/14.

³ The report of that meeting is set out in document UNEP/CHW/CC.11/16.

⁴

<http://www.basel.int/Implementation/LegalMatters/Compliance/GeneralIssuesActivities/Activities201415/Nationalreportingcomplianceperformance/tabid/4161/Default.aspx>.

⁵ Comments, questions and reports were received from the following parties: Argentina, Belgium, Congo, Estonia, Italy, Mexico, Morocco, Norway, Philippines and Yemen.

(b) The completeness of national reports is reviewed on the basis of the answers provided by parties to all questions and sub-questions of the revised questionnaire on transmission of information (in accordance with Articles 13 and 16 of the Convention), with the exception of table 8B that is not mandatory;⁶

(c) Should a report contain answers that do not provide the information sought (such as “estimated data”, “in preparation”, “no data available” or “no information”), the party will not be considered to have fully reported.⁷

10. The Secretariat undertook the classification exercise using in-house resources. The following elements should be borne in mind:

(a) The Secretariat had to carry out the classification exercise manually by looking at the responses provided by each party to each question, sub-question and individual elements of each table of the reporting questionnaire. This is mainly because of two reasons: the current national reporting database of the Secretariat does not offer the possibility of queries related to the criteria adopted by the Conference of the Parties (“complete/incomplete”, “on time/late”); and given the current format of the questionnaire, whether a response is “complete” may require that its content be understood;

(b) In some instances, the Secretariat double-checked answers provided in reports for previous years to determine whether an answer to a specific question could be considered “complete”. This is because parties usually use a pre-filled questionnaire where they indicate which responses have been updated since the last time they have reported. It does happen that the latest report specifies that a response was “not updated”, while at the same time no answer is given to the question. In that case, the information reported for a previous year was taken into account and the response considered “complete”;

(c) The Secretariat reviewed 165 reports (85 for 2011 and 80 for 2012) but did not have the capacity to undertake a second full review to cross-check all the classification items individually (for e.g., with respect to the classification of individual parties’ compliance performance);

(d) The Secretariat did not review the content of individual responses, except when this was needed to implement the assumptions mentioned above. For instance, if a party responded to question 2 (c) that it has a national definition of hazardous wastes under article 1.1 (b), then the Secretariat has considered answers to table 8A on the amount of generation of hazardous wastes and other wastes as “complete” only when information on the three values sought (article 1.1 (a) wastes, article 1.1 (b) wastes and other wastes) was provided;

(e) The Secretariat has considered that answers to some questions/tables were “complete” when a party stated that the sought information could be found on a specific website or with the Focal Point of the Convention. But the Secretariat did not double-check if the sought content was really available on the specified website;

(f) The Secretariat classified parties, per region/group, according to the UNEP classification set by the UNEP-GRID.

11. As referred to in the note by the Secretariat on the Committee for Administering the Mechanism for Promoting Implementation and Compliance of the Basel Convention (UNEP/CHW.12/9), the annexes to the present note set out updated classifications of parties’ individual compliance performance with regard to their annual national reporting obligations for 2011 and 2012. The present note, including its annexes, has not been formally edited.

⁶ In addition to table 8B, the Secretariat considered that given the formulation of question 7 “Please provide information (eg, activities, effects, period covered and the sources of data/information) on any available statistics, studies, monitoring reports etc. which have been compiled on the effects of generation, transportation and disposal of hazardous wastes and other wastes on human health and the environment or alternatively provide contact information on where this could be found.”, a lack of response to that question was not considered as an incomplete answer.

⁷ These elements were already brought to the Committee’s attention in document UNEP/CHW/CC.9/6. With respect to c) it must be noted that in cases where the questionnaire specifies that a possible answer to the question is “in preparation”, for instance in many of the questions set out in Part I of the questionnaire, a party choosing that option was considered as having submitted a complete answer. On the other hand, in cases where the questionnaire seeks specific information such as data on imports or generated wastes, the answer “in preparation” was not considered as a complete answer as this answer does not provide the information sought.

Annex I

National reporting: individual compliance performance for 2011

I. Classification of parties' compliance performance with respect to the annual reporting obligation for the year 2011 – regional grouping

Year 2011 (as of 21 November 2014)

	Number of parties per region	Not required to report for 2011	Number of parties required to report for 2011	Fully reported on time	Fully reported but late	Reporting incomplete but on time	Reporting incomplete and late	Not reported
Africa	50	1	49	0	0	3	11	35
Asia and the Pacific	49	3	46	0	0	5	13	28
Eastern Europe	22	0	22	0	0	6	10	6
Latin America and the Caribbean	31	1	30	0	0	6	11	13
Western Europe and others	27	0	27	1	0	9	10	7
All parties (sum of above)	179	5	174	1	0	29	55	89

II. Classification of parties' compliance performance with respect to the annual reporting obligation for the year 2011– regional grouping: completeness of Parts I and II of the questionnaire (as of 21 November 2014)

	Number of parties required to report for 2011	Number of parties that did not report	Number of parties that reported (complete and incomplete)	Completeness of Parts I and II
Africa	49	35	14	Both parts complete: 0
				Part I only complete: 1
				Part II only complete: 1
				Both parts incomplete: 12
Asia	46	28	18	Both parts complete: 0
				Part I only complete: 2
				Part II only complete: 4
				Both parts incomplete: 12
Eastern Europe	22	6	16	Both parts complete: 0
				Part I only complete: 1
				Part II only complete: 5
				Both parts incomplete: 10
Latin America and the Caribbean	30	13	17	Both parts complete: 0
				Part I only complete: 2
				Part II only complete: 0
				Both parts incomplete: 15
Western Europe and others	27	7	20	Both parts complete: 1
				Part I only complete: 6
				Part II only complete: 2
				Both parts incomplete: 11
Totals:	174	89	85	

III. Overview of reporting rates and timeliness of reporting for the year 2011 – Regional grouping (as of 21 November 2014)

Reporting year	Item	Total	Africa	Asia and Pacific	CEE	LAC	WEOG
2011	Number of parties required to report	174	49	46	22	30	27
	Number of reports submitted	85	14	18	16	17	20
	Percentage of parties reporting	49%	29%	39%	73%	57%	74%
	Number of reports submitted on time	30	3	5	6	6	10
	Percentage of reports that were submitted on time	35%	21%	28%	38%	35%	50%
	Percentage of parties required to report that submitted reports on time	17%	6%	11%	27%	20%	37%

IV. Classification of parties' compliance performance with respect to the annual reporting obligation for the year 2011 (as of 21 November 2014)¹ – Breakdown on a party basis

Africa Region

	Party	Fully reported and on time	Fully reported, but late	Incomplete, but on time		Incomplete and late		Not reported
				PART I incomplete	PART II incomplete	PART I incomplete	PART II incomplete	
1	Algeria							X
2	Benin							X
3	Botswana							X
4	Burkina Faso							X
5	Burundi							X
6	Cameroon							X
7	Cabo Verde							X
8	Central African Republic							X
9	Chad							X
10	Comoros					X	X	
11	Congo (Republic of the)					X	X	
12	Cote d'Ivoire			X	X			
13	Democratic Republic of the Congo							X
14	Djibouti							X
15	Egypt					X	X	
16	Equatorial Guinea							X
17	Eritrea							X
18	Ethiopia							X
19	Gabon					X	X	
20	Gambia							X
21	Ghana							X
22	Guinea							X
23	Guinea-Bissau					X	X	
24	Kenya							X
25	Lesotho							X
26	Liberia							X
27	Libyan Arab Jamahiriya							X
28	Madagascar			X	X			
29	Malawi							X
30	Mali							X
31	Mauritania							X
32	Mauritius					X		
33	Morocco					X	X	
34	Mozambique							X
35	Namibia							X
36	Niger							X
37	Nigeria			X	X			
38	Rwanda					X	X	
39	Senegal							X
40	Seychelles							X

¹ The Secretariat did not include in the tables five parties, which did not have the obligation to report for the year 2011. The parties are: Afghanistan, Iraq, Palau, Suriname and Zimbabwe.

	Party	Fully reported and on time	Fully reported, but late	Incomplete, but on time		Incomplete and late		Not reported
				PART I incomplete	PART II incomplete	PART I incomplete	PART II incomplete	
41	Somalia							X
42	South Africa							X
43	Sudan							X
44	Swaziland						X	
45	Togo					X	X	
46	Tunisia					X	X	
47	Uganda							X
48	United Republic of Tanzania							X
49	Zambia							X
	Totals	0	0	3	3	10	10	35

Asia and the Pacific Region

	Party	Fully reported and on time	Fully reported, but late	Incomplete, but on time		Incomplete and late		Not reported
				PART I incomplete	PART II incomplete	PART I incomplete	PART II incomplete	
1	Azerbaijan			X				
2	Bahrain					X		
3	Bangladesh							X
4	Bhutan					X	X	
5	Brunei Darussalam							X
6	Cambodia							X
7	China					X	X	
8	Cook Islands							X
9	Cyprus					X	X	
10	Democratic People's Republic of Korea							X
11	India							X
12	Indonesia							X
13	Iran (Islamic Republic of)							X
14	Japan			X	X			
15	Jordan							X
16	Kazakhstan							X
17	Kiribati							X
18	Kuwait							X
19	Kyrgyzstan					X	X	
20	Lao People's Democratic Republic							X
21	Lebanon							X
22	Malaysia					X	X	
23	Maldives							X
24	Marshall Islands							X
25	Micronesia (Federated States of)							X
26	Mongolia							X
27	Nauru							X
28	Nepal							X
29	Oman						X	
30	Pakistan					X	X	
31	Papua New Guinea							X
32	Philippines			X	X			
33	Qatar						X	
34	Republic of Korea							X
35	Samoa							X
36	Saudi Arabia							X
37	Singapore					X		
38	Sri Lanka			X	X			
39	Syrian Arab Republic							X
40	Thailand					X	X	
41	Tonga							X
42	Turkmenistan							X
43	United Arab Emirates					X		
44	Uzbekistan					X		
45	Viet Nam							X
46	Yemen			X	X			
	Totals for Asia	0	0	5	4	11	9	28

Eastern European Region

	Party	Fully reported and on time	Fully reported, but late	Incomplete, but on time		Incomplete and late		Not reported
				PART I incomplete	PART II incomplete	PART I incomplete	PART II incomplete	
1	Albania							X
2	Armenia					X		
3	Belarus					X		
4	Bosnia and Herzegovina							X
5	Bulgaria					X		
6	Croatia					X		
7	Czech Republic					X	X	
8	Estonia			X	X			
9	Georgia					X	X	
10	Hungary			X	X			
11	Latvia						X	
12	Lithuania			X				
13	Montenegro			X	X			
14	Poland					X		
15	Republic of Moldova							X
16	Romania			X	X			
17	Russian Federation							X
18	Serbia					X	X	
19	Slovakia			X				
20	Slovenia					X	X	
21	The Former Yugoslav Republic of Macedonia							X
22	Ukraine							X
	Totals for Eastern Europe	0	0	6	4	9	5	6

Latin American and Caribbean Group

	Party	Fully reported and on time	Fully reported, but late	Incomplete, but on time		Incomplete and late		Not reported
				PART I incomplete	PART II incomplete	PART I incomplete	PART II incomplete	
1	Antigua and Barbuda							X
2	Argentina							X
3	Bahamas							X
4	Barbados					X	X	
5	Belize							X
6	Bolivia					X	X	
7	Brazil					X	X	
8	Chile					X	X	
9	Colombia			X	X			
10	Costa Rica					X	X	
11	Cuba							X
12	Dominica					X	X	
13	Dominican Republic			X	X			
14	Ecuador							X
15	El Salvador							X
16	Guatemala			X	X			
17	Guyana						X	
18	Honduras			X	X			
19	Jamaica					X	X	
20	Mexico				X			
21	Nicaragua							X
22	Panama					X	X	
23	Paraguay					X	X	
24	Peru							X
25	Saint Kitts and Nevis							X
26	Saint Lucia							X
27	Saint Vincent and the Grenadines							X
28	Trinidad and Tobago							X
29	Uruguay					X	X	
30	Venezuela			X	X			
	Totals for Latin America and the Caribbean	0	0	5	6	10	11	13

Western European and Others Group

	Party	Fully reported and on time	Fully reported, but late	Incomplete, but on time		Incomplete and late		Not reported
				PART I incomplete	PART II incomplete	PART I incomplete	PART II incomplete	
1	Andorra					X	X	
2	Australia					X	X	
3	Austria				X			
4	Belgium					X	X	
5	Canada			X	X			
6	Denmark					X		
7	Finland			X	X			
8	France							X
9	Germany			X	X			
10	Greece			X	X			
11	Iceland							X
12	Ireland							X
13	Israel							X
14	Italy					X	X	
15	Liechtenstein				X			
16	Luxembourg			X				
17	Malta	X						
18	Monaco							X
19	Netherlands							X
20	New Zealand						X	
21	Norway						X	
22	Portugal					X		
23	Spain							X
24	Sweden					X	X	
25	Switzerland				X			
26	Turkey			X	X			
27	United Kingdom of Great Britain and Northern Ireland						X	
	Totals for Western Europe and others	1	0	6	8	7	8	7

V. Overview of completeness of answers to each question and sub-question of the national report for the year 2011 (as of 21 November 2014)

Item in the questionnaire	Subject sought	Number of incomplete responses (out of 85)
Part I: Status of Information		
1a	Competent authority	1
1b	Focal point	1
2a	National definition of waste	3
2b	National definition of hazardous waste	2
2c	Regulate/control any additional hazardous wastes	3
2d	Any wastes that require special consideration for TBM	4
3a	Amendment to the Basel Convention (Decision III/1) being implemented	2
3b	Restrictions on the export of hazardous wastes and other wastes for final disposal	3
3b(i)	Relevant legislation	4
3b(ii)	Country/region and/or waste which could be covered by the restriction	13
3c	Restrictions on the export of hazardous wastes and other wastes for recovery	3
3c(i)	Relevant legislation	3
3c(ii)	Country/region and/or waste which could be covered by the restriction	12
3d	Restrictions on the import of hazardous wastes and other wastes for disposal	2
3d(i)	Relevant legislation	3
3d(ii)	Country/region and/or waste which could be covered by the restriction	16
3e	Restrictions on the import of hazardous wastes and other wastes for recovery	3
3e(i)	Relevant legislation	4
3e(ii)	Country/region and/or waste which could be covered by the restriction	16
3f	Restrictions on transit of hazardous wastes and other wastes for recovery	3
3f(i)	Relevant legislation	4
3f(ii)	Country/region and/or waste which could be covered by the restriction	16
4a	Notification and Movement document forms of used and/or accepted	3
4a(i)	Problems in the usage of the Notification and Movement document forms	3
4a(ii)	Information on any other forms which are used and/or accepted	38
4b	Languages accepted to receive Notification and Movement document forms	3
4c	Additional information requirements in addition to those listed under Annex V (A and B) of the Basel Convention	11
4d	Border control for export/import/transit established	2
4d(i)	Harmonized system on customs control of the WCO used	4
5	Measures taken for the reduction and/or elimination of the amount of hazardous wastes and other wastes generated	2
5(i)	National strategies/policies	6
5(ii)	Legislation, regulations and guidelines	6
5(iii)	Economic instruments/initiatives	18
5(iv)	Measures taken by industries/waste generators	15
6	Measures taken for the reduction of the amount of hazardous wastes and other wastes subject to the transboundary movement	3
6(i)	National strategies/policies	7
6(ii)	Legislation, regulations and guidelines	12
6(iii)	Economic instruments/initiatives	30
6(iv)	Measures taken by industries/waste generators	36
7	Effect on human health and the environment: Available statistics, studies, monitoring reports, etc or alternatively provide contact information	2
Table 1	Bilateral, multilateral or regional agreements	24
Table 2	Disposal facilities or sources of information	13
Table 3	Recovery facilities or sources of information	15
Table 4	Sources of technical assistance	10
Table 5	Sources of financial assistance	22

Part II: Annual Reporting		
Table 6	Export of hazardous wastes and other wastes in the specific year	20
Table 7	Import of hazardous wastes and other wastes in the specific year	26
Table 8A	Total Amount of Generation of hazardous wastes and other wastes in 2011 <ul style="list-style-type: none"> - Total amount under Art. 1(1)a - Total amount under Art. 1(a)b - Total amount of other wastes (Annex II: Y46-Y47) 	64
Table 8B not mandatory	Generation of hazardous wastes and other wastes by Y-categories in the specific year	71
Table 9	Disposals which did not proceed as intended	28
Table 10	Accidents occurring during the transboundary movement and disposal of hazardous wastes and other wastes	33

Annex II**National reporting: individual compliance performance for 2012****I. Classification of parties' compliance performance with respect to the annual reporting obligation for the year 2012 – regional grouping**

Year 2012 (as of 21 November 2014)

	Number of parties per region	Not required to report for 2012	Number of parties required to report for 2012	Fully reported on time	Fully reported but late	Reporting incomplete but on time	Reporting incomplete and late	Not reported
Africa	51	2	49	0	1	4	9	35
Asia and the Pacific	49	1	48	0	2	1	14	31
Eastern Europe	22	0	22	0	3	3	9	7
Latin America and the Caribbean	31	0	31	0	1	3	10	17
Western Europe and others	27	0	27	0	3	2	15	7
All parties (sum of above)	180	3	177	0	10	13	57	97

II. Classification of parties' compliance performance with respect to the annual reporting obligation for the year 2012 – regional grouping: completeness of Parts I and II of the questionnaire (as of 21 November 2014)

	Number of parties required to report for 2012	Number of parties that did not report	Number of parties that reported (complete and incomplete)	Completeness of Parts I and II
Africa	49	35	14	Both parts complete: 1
				Part I only complete: 4
				Part II only complete: 0
				Both parts incomplete: 9
Asia and the Pacific	48	31	17	Both parts complete: 2
				Part I only complete: 3
				Part II only complete: 1
				Both parts incomplete: 11
Eastern Europe	22	7	15	Both parts complete: 3
				Part I only complete: 6
				Part II only complete: 0
				Both parts incomplete: 6
Latin America and the Caribbean	31	17	14	Both parts complete: 1
				Part I only complete: 6
				Part II only complete: 0
				Both parts incomplete: 7
Western Europe and others	27	7	20	Both parts complete: 3
				Part I only complete: 9
				Part II only complete: 1
				Both parts incomplete: 7
Totals:	177	97	80	

III. Overview of reporting rates and timeliness of reporting for the year 2012 – Regional grouping (as of 21 November 2014)

Reporting year	Item	Total	Africa	Asia and Pacific	CEE	LAC	WEOG
2012	Number of parties required to report	177	49	48	22	31	27
	Number of reports submitted	80	14	17	15	14	20
	Percentage of parties reporting	45%	29%	35%	68%	45%	74%
	Number of reports submitted on time	13	4	1	3	3	2
	Percentage of reports that were submitted on time	16%	29%	6%	20%	21%	10%
	Percentage of parties required to report that submitted reports on time	7%	8%	2%	14%	10%	7%

IV. Classification of parties' compliance performance with respect to the annual reporting obligation for the year 2012 (as of 21 November 2014)¹ – Breakdown on a party basis

Africa Region

	Party	Fully reported and on time	Fully reported, but late	Incomplete, but on time		Incomplete and late		Not reported
				PART I incomplete	PART II incomplete	PART I incomplete	PART II incomplete	
1	Algeria					X	X	
2	Benin							X
3	Botswana							X
4	Burkina Faso							X
5	Burundi							X
6	Cameroon							X
7	Cabo Verde					X	X	
8	Central African Republic							X
9	Chad							X
10	Comoros							X
11	Congo (Republic of the)					X	X	
12	Cote d'Ivoire						X	
13	Democratic Republic of the Congo							X
14	Djibouti							X
15	Egypt						X	
16	Equatorial Guinea							X
17	Eritrea					X	X	
18	Ethiopia							X
19	Gabon							X
20	Gambia							X
21	Ghana							X
22	Guinea							X
23	Guinea-Bissau							X
24	Kenya							X
25	Lesotho							X
26	Liberia							X
27	Libyan Arab Jamahiriya						X	
28	Madagascar				X			
29	Malawi							X
30	Mali							X
31	Mauritania							X
32	Mauritius							X
33	Morocco			X	X			
34	Mozambique							X
35	Namibia							X
36	Niger							X
37	Nigeria							X
38	Rwanda				X			
39	Senegal							X
40	Seychelles							X
41	Somalia							X

¹ The Secretariat did not include in the tables 3 parties, which did not have the obligation to report for the year 2012. The parties are: Afghanistan, Sao Tome and Principe and Zimbabwe.

	Party	Fully reported and on time	Fully reported, but late	Incomplete, but on time		Incomplete and late		Not reported
				PART I incomplete	PART II incomplete	PART I incomplete	PART II incomplete	
42	South Africa		X					
43	Sudan							X
44	Swaziland				X			
45	Togo					X	X	
46	Tunisia						X	
47	Uganda							X
48	United Republic of Tanzania							X
49	Zambia							X
	Totals	0	1	1	4	5	9	35

Asia and the Pacific Region

	Party	Fully reported and on time	Fully reported, but late	Incomplete, but on time		Incomplete and late		Not reported
				PART I incomplete	PART II incomplete	PART I incomplete	PART II incomplete	
1	Azerbaijan					X	X	
2	Bahrain						X	
3	Bangladesh					X	X	
4	Bhutan							X
5	Brunei Darussalam							X
6	Cambodia							X
7	China					X	X	
8	Cook Islands							X
9	Cyprus		X					
10	Democratic People's Republic of Korea							X
11	India							X
12	Indonesia							X
13	Iran (Islamic Republic of)					X	X	
14	Iraq							X
15	Japan			X	X			
16	Jordan							X
17	Kazakhstan							X
18	Kiribati							X
19	Kuwait							X
20	Kyrgyzstan		X					
21	Lao People's Democratic Republic							X
22	Lebanon							X
23	Malaysia					X	X	
24	Maldives							X
25	Marshall Islands							X
26	Micronesia (Federated States of)							X
27	Mongolia							X
28	Nauru							X
29	Nepal					X	X	
30	Oman							X
31	Palau							X
32	Pakistan					X	X	
33	Papua New Guinea							X
34	Philippines					X	X	
35	Qatar						X	
36	Republic of Korea							X
37	Samoa							X
38	Saudi Arabia							X
39	Singapore					X	X	
40	Sri Lanka							X
41	Syrian Arab Republic							X
42	Thailand					X	X	
43	Tonga							X
44	Turkmenistan							X
45	United Arab Emirates							X
46	Uzbekistan					X		
47	Viet Nam							X
48	Yemen						X	
	Totals	0	2	1	1	11	13	31

Eastern European Region

	Party	Fully reported and on time	Fully reported, but late	Incomplete, but on time		Incomplete and late		Not reported
				PART I incomplete	PART II incomplete	PART I incomplete	PART II incomplete	
1	Albania					X	X	
2	Armenia							X
3	Belarus							X
4	Bosnia and Herzegovina							X
5	Bulgaria						X	
6	Croatia					X	X	
7	Czech Republic						X	
8	Estonia			X	X			
9	Georgia						X	
10	Hungary		X					
11	Latvia						X	
12	Lithuania		X					
13	Montenegro				X			
14	Poland		X					
15	Republic of Moldova							X
16	Romania							X
17	Russian Federation							X
18	Serbia						X	
19	Slovakia			X	X			
20	Slovenia					X	X	
21	The Former Yugoslav Republic of Macedonia							X
22	Ukraine					X	X	
	Totals	0	3	2	3	4	9	7

Latin American and Caribbean Group

	Party	Fully reported and on time	Fully reported, but late	Incomplete, but on time		Incomplete and late		Not reported
				PART I incomplete	PART II incomplete	PART I incomplete	PART II incomplete	
1	Antigua and Barbuda							X
2	Argentina						X	
3	Bahamas							X
4	Barbados						X	
5	Belize							X
6	Bolivia					X	X	
7	Brazil							X
8	Chile							X
9	Colombia				X			
10	Costa Rica							X
11	Cuba							X
12	Dominica							X
13	Dominican Republic			X	X			
14	Ecuador							X
15	El Salvador							X
16	Guatemala					X	X	
17	Guyana						X	
18	Honduras			X	X			
19	Jamaica						X	
20	Mexico							X
21	Nicaragua							X
22	Panama					X	X	
23	Paraguay					X	X	
24	Peru						X	
25	Saint Kitts and Nevis							X
26	Saint Lucia		X					
27	Saint Vincent and the Grenadines							X
28	Suriname							X
29	Trinidad and Tobago							X
30	Uruguay							X
31	Venezuela					X	X	
	Totals	0	1	2	3	5	10	17

Western European and Others Group

	Party	Fully reported and on time	Fully reported, but late	Incomplete, but on time		Incomplete and late		Not reported
				PART I incomplete	PART II incomplete	PART I incomplete	PART II incomplete	
1	Andorra		X					
2	Australia		X					
3	Austria						X	
4	Belgium					X	X	
5	Canada						X	
6	Denmark					X	X	
7	Finland				X			
8	France							X
9	Germany						X	
10	Greece						X	
11	Iceland							X
12	Ireland					X	X	
13	Israel							X
14	Italy							X
15	Liechtenstein							X
16	Luxembourg					X	X	
17	Malta		X					
18	Monaco							X
19	Netherlands						X	
20	New Zealand			X	X			
21	Norway						X	
22	Portugal					X		
23	Spain					X	X	
24	Sweden						X	
25	Switzerland							X
26	Turkey					X	X	
27	United Kingdom of Great Britain and Northern Ireland						X	
	Totals	0	3	1	2	7	14	7

V. Overview of completeness of answers to each question and sub-question of the national report for the year 2012 (as of 21 November 2014)

Item in the questionnaire	Subject sought	Number of incomplete responses (out of 80)
Part I: Status of Information		
1a	Competent authority	1
1b	Focal point	0
2a	National definition of waste	0
2b	National definition of hazardous waste	0
2c	Regulate/control any additional hazardous wastes	0
2d	Any wastes that require special consideration for TBM	0
2e	Source or basis of definition	1
2f	National definition of hazardous waste cover wastes other than listed in Annexes I, II and VIII	0
2g(i)	Specify any requirements (procedures) concerning transboundary movements	3
2g(ii)	Any waste other than those identified above that require special consideration	1
3a	Amendment to the Basel Convention (Decision III/1) being implemented	0
3b	Restrictions on the export of hazardous wastes and other wastes for final disposal	0
3b(i)	Relevant legislation	0
3b(ii)	Country/region and/or waste which could be covered by the restriction	2
3c	Restrictions on the export of hazardous wastes and other wastes for recovery	0
3c(i)	Relevant legislation	0
3c(ii)	Country/region and/or waste which could be covered by the restriction	0
3d	Restrictions on the import of hazardous wastes and other wastes for disposal	0
3d(i)	Relevant legislation	0
3d(ii)	Country/region and/or waste which could be covered by the restriction	1
3e	Restrictions on the import of hazardous wastes and other wastes for recovery	0
3e(i)	Relevant legislation	0
3e(ii)	Country/region and/or waste which could be covered by the restriction	1
3f	Restrictions on transit of hazardous wastes and other wastes for recovery	0
3f(i)	Relevant legislation	1
3f(ii)	Country/region and/or waste which could be covered by the restriction	1
4a	Notification and Movement document forms of used and/or accepted	0
4a(i)	Problems in the usage of the Notification and Movement document forms	1
4a(ii)	Information on any other forms which are used and/or accepted	19
4b	Languages accepted to receive Notification and Movement document forms	0
4c	Additional information requirements in addition to those listed under Annex V (A and B) of the Basel Convention	3
4d	Border control for export/import/transit established	0
4d(i)	Harmonized system on customs control of the WCO used	0

5	Measures taken for the reduction and/or elimination of the amount of hazardous wastes and other wastes generated	0
5(i)	National strategies/policies	0
5(ii)	Legislation, regulations and guidelines	0
5(iii)	Economic instruments/initiatives	3
5(iv)	Measures taken by industries/waste generators	4
6	Measures taken for the reduction of the amount of hazardous wastes and other wastes subject to the transboundary movement	0
6(i)	National strategies/policies	2
6(ii)	Legislation, regulations and guidelines	4
6(iii)	Economic instruments/initiatives	6
6(iv)	Measures taken by industries/waste generators	7
7	Effect on human health and the environment: Available statistics, studies, monitoring reports, etc or alternatively provide contact information	0
Table 1	Bilateral, multilateral or regional agreements	14
Table 2	Disposal facilities or sources of information	4
Table 3	Recovery facilities or sources of information	4
Table 4	Sources of technical assistance	2
Table 5	Sources of financial assistance	10

Part II: Annual Reporting

Table 6	Export of hazardous wastes and other wastes in the specific year	14
Table 7	Import of hazardous wastes and other wastes in the specific year	14
Table 8A	Total Amount of Generation of hazardous wastes and other wastes in 2011 <ul style="list-style-type: none"> - Total amount under Art. 1(1)a - Total amount under Art. 1(a)b - Total amount of other wastes (Annex II: Y46-Y47) 	64
Table 8B not mandatory	Generation of hazardous wastes and other wastes by Y-categories in the specific year	26
Table 9	Disposals which did not proceed as intended	23
Table 10	Accidents occurring during the transboundary movement and disposal of hazardous wastes and other wastes	20