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## *4c Additional information required in relation to Annex V (A and B)*

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### *All Regions/Countries, Parties of the Basel Convention*

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#### *UN Region: Africa*

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##### **Egypt**

2007 None.

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##### **Gambia**

2007 NA

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##### **Madagascar**

2007

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##### **Mali**

2007 No.

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##### **Morocco**

2007 NA

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##### **Mozambique**

2007

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##### **Nigeria**

2007 Information on insurance bonds and financial guarantees and proposed route of

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##### **Rwanda**

2007 NA

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##### **Senegal**

2007 NA

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##### **South Africa**

2007 None.

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##### **Tunisia**

2007

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##### **Zambia**

2007 None.

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#### *UN Region: Asia and Pacific*

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##### **Azerbaijan**

2007 NA

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##### **Bahrain**

2007 NA

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**Cambodia**

2007

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**China**

2007 NA

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**Cyprus**

2007 In the case of transit, further information is needed whether there will be a need for change of ships in the port, whether the wastes will be unloaded and stored and the time

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**Indonesia**

2007 NA

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**Iran (Islamic Republic of)**

2007 It would be better if the repetition of export in a year and the weight and any

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**Japan**

2007 NA

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**Kazakhstan**

2007 Yes.

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**Kuwait**

2007 No.

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**Malaysia**

2007

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**Pakistan**

2007 None.

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**Philippines**

2007

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**Qatar**

2007

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**Singapore**

2007 NA

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**Sri Lanka**

2007 Radioactive waste is considered hazardous.

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**Thailand**

2007 None.

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**United Arab Emirates**

2007 NA

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**Viet Nam**

2007 NA

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**UN Region: Western Europe and Others**

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**Andorra**

2007 NA

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**Australia**

2007 NA

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**Austria**

2007 NA

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**Belgium**

2007 Information requirements in addition to those listed under Annex V (A and B) of the Basel Convention: those reflected in the provisions of Council Regulation (EC) N°

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**Canada**

2007 In addition to those requirements listed under Annex V, Part A, the following are a list of additional requirements:

- For those disposal and recovery operations D13, D14, R12 or R13 (as listed in Annex IV), the final destination, and complete contact information, is required as well as in the case where the importer is not the hazardous waste recycler in Canada;
- Customs offices must be listed;
- The UN class must be listed;
- The Canadian ID number listed in Schedule III of the EIHWR must be indicated;
- In addition to the disposal and recovery operations set out in Annex IV, under the EIHWRMR additional codes D16, R14 and R15 are controlled, and are require for notification; and
- The License or Permit No. from the Province or Country must be identified for both the exporter and receiver.

In addition to those requirements listed under Annex V, part B, the following is a list of additional requirements:

- Any shipment discrepancies or returns must be identified;
  - Customs offices must be listed; and
  - The License or Permit No. from the Province or Country must be identified for both
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**Denmark**

2007 Information asked for in EU Regulation 1013/2006 annex II part 2.

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**Finland**

2007 Waste classification in accordance with the European Community legislation;  
Copy of the contract between notifier and consignee; the contract must fulfill the obligations of the Regulation (EC) No 1013/2006 of the European Parliament and of the Council;  
Information on the financial guarantee to be lodged in favor of the competent authorities;  
When waste is imported for final disposal from countries that are not Members of the European Union an official request is required from the country of export, stating that it does not have or can not reasonably acquire the necessary technical capacity to dispose of the waste in an environmentally sound manner; and  
When waste is imported or exported to disposal operations D13, D 14 or D15 or to recovery operations R12 or R13 the information provided by the notifier shall also include information on the actual facility performing the final disposal operations D1-12

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### **Germany**

2007 •If the waste is destined for an interim recovery or disposal operation, information regarding all facilities where subsequent interim and non-interim recovery or disposal operations are envisaged shall be indicated (Annex II Part 1 No. 5 of the new Waste Shipment Regulation).  
•Evidence of registration of the carrier(s) regarding waste transports (Annex II Part 1, No. 15 of the new Waste Shipment Regulation)  
•If the waste is destined for recovery: (Annex II Part 1 No. 20 of the new waste shipment regulation)  
oThe planned method of disposal for the non-recoverable fraction after recovery  
oThe amount of recovered material in relation to non-recoverable waste  
oThe estimated value of recovered material  
oThe cost of recovery and the cost of disposal of the non-recoverable fraction  
•Evidence of a financial guarantee or equivalent insurance for the costs of repatriation (Annex II Part 1 No. 24 of the new Waste Shipment Regulation) Any other pertinent information may be requested by the competent authorities according to Annex II Part 3

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### **Greece**

2007 Insurance and/or financial guarantee covering third parties and the restoration of the

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### **Ireland**

2007 NA

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### **Israel**

2007 NA

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### **Italy**

2007 None.

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### **Luxembourg**

2007 NA

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### **Malta**

- 2007 Until 11 July 2007, the additional information requirements which were asked for in addition to those listed under Annex V (A and B) of the Basel Convention were:
- A copy of the relevant authorization (license certificates) as well as type and duration of the authorization of the disposal/recovery facility under which the latter operates according to import state law pursuant to Articles 9, 10 and 11 of Council Directive 75/442/EEC of 15 July 1975 on waste as amended. The facility must have adequate technical capacity for the disposal/recovery of the waste in question under conditions presenting no danger to human health or to the environment,
  - Relevant license certificates (and/or authorisation/registration numbers) of all transport companies for the transport of hazardous waste according to export/transit/import state law to be provided for each of them, where applicable;
  - A financial guarantee or equivalent insurance in favour of the competent authority of dispatch covering the costs for shipment, including cases referred to in Council Regulation (EEC) N° 259/93;
  - A contract between the exporter and the consignor (if different to exporter) in accordance with Council Regulation (EEC) N° 259/1993.

As from 12 July 2007, the additional information requirements which were asked for in addition to those listed under Annex V (A and B) of the Basel Convention were:

- A copy of the relevant authorization (license certificates) as well as type and duration of the authorization of the disposal/recovery facility under which the latter operates according to import state law pursuant to Articles 9, 10 and 11 of Council Directive 75/442/EEC of 15 July 1975 on waste as amended. The facility must have adequate technical capacity for the disposal/recovery of the waste in question under conditions presenting no danger to human health or to the environment,
- Relevant license certificates (and/or authorisation/registration numbers) of all transport companies for the transport of hazardous waste according to export/transit/import state law to be provided for each of them, where applicable;
- A financial guarantee or equivalent insurance in favour of the competent authority of dispatch covering the costs for shipment, including cases referred to in Regulation (EEC) N° 1013/2006;
- A contract between the exporter and the consignor (if different to exporter) in

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#### **Monaco**

2007 NA

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#### **Netherlands**

2007 NA

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#### **Norway**

2007 NA

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#### **Portugal**

2007 Those reflected in the provisions of Council Regulation (EEC) No 259/93, Regulation (EC) No 1013/2006 of the European Parliament and the Council and in European

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#### **Spain**

2007 Those reflected in the provisions of Council Regulation (EEC) 259/93 and in the model document of decision 94/774/EEC.

Notice.- As of 12 July 2007, REGULATION (EC) No 1013/2006 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL, of 14 June 2006, ON SHIPMENTS OF WASTE, applies in Spain and Council Regulation 259/93 has been

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## **Sweden**

2007

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## **Turkey**

2007 None.

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## **United Kingdom of Great Britain and Northern Ireland**

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### Notification form

Additional information requirements include: registration numbers of exporter (notifier), consignee, disposal facility and carriers where applicable, the waste identification code (EWC or IWIC), the OECD classification (where applicable), technology employed by recovery/disposal facility, total number of shipments, single or general notification, Customs Office of entry/exit into/out of the EU, number of annexes attached, and whether site is pre-authorised or not.

### Movement tracking form

Additional information requirements include: code number of recovery/disposal operation and technology employed, waste identification code, and the OECD classification (where applicable). In addition details of the financial guarantee are required although these are not required for the Environment Agency to make its decision whether to authorise the

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## ***UN Region: Central and Eastern Europe***

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### **Albania**

2007 NA

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### **Belarus**

2007 No.

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### **Bosnia & Herzegovina**

2007 NA

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### **Bulgaria**

2007 The notification procedure follows the requirements of the Regulation (EC) No

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### **Croatia**

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### **Czech Republic**

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Additional information is required, in particular: waste classification in accordance with relevant EU and OECD legislation, contract between notifier and consignee, financial

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**Estonia**

2007 NA

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**Georgia**

2007

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**Hungary**

2007

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**Latvia**

2007 None.

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**Lithuania**

2007 There is no additional information.

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**Montenegro**

2007 The Notification and Movement document forms in the Rules on Import, Export and Transit of Wastes (1999) are in Montenegrin language. The forms are identical to the Basel Convention forms, with only one addition that is under the "other waste code", where National Waste Code has to be written; and  
The Notification and Movement documents have to be accompanied by the permit of the

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**Poland**

2007 None.

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**Republic of Moldova**

2007 NA

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**Romania**

2007 None.

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**Serbia**

2007 The Notification and Movement document forms in the Rules on Import, Export and Transit of Wastes (1999) are in Serbian language. The forms are identical to the Basel Convention forms, with only one addition that is under the "other waste code", where National Waste Code has to be written; and  
The Notification and Movement documents have to be accompanied by the permit of the

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**Slovakia**

2007 According to the Articles 3 (2) and 6 (2) of the Council Regulation No 259/93/EC a notification shall mandatorily cover any intermediary stage of the shipment from the place of dispatch to its final destination.  
Since 12 July 2007 the transboundary movements of wastes is covered by the Regulation (EC) No 1013/2006. Additional information that may be requested by the competent

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**Slovenia**

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2007 Those reflected in provisions of Regulation (EC) No. 1013/2006, especially:  
-contract between notifier and consignee; it must fulfil the requirements of Regulation (EC) No. 1013/2006  
-financial guarantee or equivalent insurance according to art. 6 of Regulation (EC) No.

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## **Ukraine**

2007

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## ***UN Region: Latin America and the Caribbean***

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### **Argentina**

2007

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### **Barbados**

2007 NA

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### **Belize**

2007 NA

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### **Bolivia**

2007 No

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### **Brazil**

2007 NA

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### **Colombia**

2007 In addition to the requirements listed in Annex V (A and B) of the Basel Convention, a Contingency Plan is required from the exporter, who must present a risk profile. This plan is necessary in order to determinate the activities carried out by the exporter from the point where the wastes are loaded to the exit port, in order to be prepared for an emergency.

Also an insurance policy or financial cover is required to cover any environmental damage that could occur during the transportation of hazardous wastes.

In addition to this, an environmental impact assessment (EIA) must be undertaken by the importer of hazardous wastes, as a prerequisite to obtain the environmental license from the Ministry of Environment, Housing and Territorial Development of Colombia, according to the National law 99 of 1993.

In the cases where the exporter requires temporary waste storage, he must previously obtain Environmental Licensing for such storage, in accordance with the dispositions set

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### **Costa Rica**

2007 None.

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### **Cuba**

2007

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**Dominican Republic**

2007 Any additional information.

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**Ecuador**

2007 Name of the ship.  
Departure date of the ship.

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**Guatemala**

2007 N/A.

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**Guyana**

2007

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**Honduras**

2007 None.

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**Jamaica**

2007 There are no additional information requirements.

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**Mexico**

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Besides to fill the forms of notification, the import of exporting national company has to make the transacting to obtain the respective import or export authorization in accordance

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**Panama**

2007 Documentos de seguros o garantias financieras.

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**Saint Lucia**

2007 None.

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**Trinidad and Tobago**

2007 NA

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**Venezuela**

2007

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