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**Committee Administering the Mechanism**

**for Promoting Implementation and Compliance**

**Thirteenth meeting**

Geneva, 7–10 September 2018

Item 4 (a) (i) of the provisional agenda[[1]](#footnote-1)\*

**Review of general issues of compliance and**

**implementation under the Convention:**

**national reporting: classification of**

**compliance performance**

**National reporting**

**Classification of compliance performance**[[2]](#footnote-2)

Note by the Secretariat

I. Introduction

1. As referred in document UNEP/CHW/CC.13/4, the draft classifications of Parties’ compliance performance with their national reporting obligation for 2014 and 2015 are set out, respectively, in annexes I and II to this note.

II. Implementation

1. The annexes to the present note set out the draft classifications of Parties’ compliance performance with respect to the annual reporting obligation for 2014 and 2015. The information provided in each classification includes 6 parts, as follows:
2. Part I sets out four graphics on reporting rates, namely overall reporting rates for the years 2009 to 2014, respectively 2015; reporting rates for complete and on time or late reports 2014, respectively 2015; reporting rates for complete reports for the years 2009 to 2014, respectively 2015; and reporting rates for timely reports for the years 2009 to 2014, respectively 2015;
3. Part II sets out the classification of Parties’ compliance performance with respect to the annual reporting obligation based on regional grouping;
4. Part III sets out a classification of Parties’ compliance performance with respect to the annual reporting obligation based on regional grouping with information on the completeness of Parts I and II of the questionnaire;

(d) Part IV sets out an overview of reporting rates and timeliness of reporting based on regional grouping;

(e) Part V sets out the classification of Parties’ compliance performance with respect to the annual reporting obligation with a breakdown on an individual Party basis;

(f) Part VI presents, for each question and sub-question of the national reporting questionnaire, the number of incomplete responses received.

1. As mandated by the Conference of the Parties, the Secretariat undertook the classification on the basis of the following assumptions:

(a) Information reported by Parties is presumed to reflect reality, without prejudice to quality control by the Secretariat;

(b) The completeness of national reports will be reviewed on the basis of the answers provided by Parties to all questions and sub-questions of the revised reporting format,[[3]](#footnote-3) with the exception of questions and tables that are designated as optional;

(c) Should a report contain answers that do not provide the information sought (such as “in preparation”, “no data available” or “no information”), the Party providing such answers will not be considered to have submitted a complete report;

(d) Should a report contain answers that provide information sought that is “provisional” or “estimated”, the Party providing such answers will be considered to have submitted a complete report.

1. The Secretariat undertook the classification exercise using in-house resources. The Secretariat would like to bring the following elements to the attention of the Committee:

(a) The Secretariat had to carry out the classification exercise manually by looking at the responses provided by each Party to each question, sub-question and individual elements of each table of the reporting questionnaire. This is mainly because of two reasons: 1) the current national reporting database of the Secretariat does not offer the possibility of queries related to the criteria adopted by the Conference of the Parties (“complete/incomplete”, “on time/late”); and 2) given the current format of the questionnaire, whether a response is “complete” may require that its content be understood;

(b) In some instances, the Secretariat double-checked answers provided in reports for previous years to determine whether an answer to a specific question could be considered “complete”. This is because Parties usually use a pre-filled questionnaire where they indicate which responses have been updated since the last time they have reported. It does happen that the latest report specifies that a response was “not updated”, while at the same time no answer is given to the question. In that case, the information reported for a previous year was taken into account and the response considered “complete”;

(c) Any manual process is error-prone and for this exercise, one staff member reviewed 78 reports and the Secretariat did not have the capacity to undertake a second full review to cross-check all the classification items individually;

(d) The Secretariat did not review the content of individual responses, except when this was needed to implement the assumptions mentioned above in paragraph 6. For instance, if a Party responded to question 2 (c) that it has a national definition of hazardous wastes under article 1.1 (b), then the Secretariat has considered answers to table 8A on the amount of generation of hazardous wastes and other wastes as “complete” only when information on the three values sought (article 1.1 (a) wastes, article 1.1 (b) wastes and other wastes) was provided;

(e) The Secretariat has considered that answers to some questions/tables were “complete” when a Party stated that the sought information could be found on a specific website or with the Focal Point of the Convention. But the Secretariat did not double-check if the sought content was really available on the specified website;

(f) The Secretariat classified Parties, per region/group, according to the UN Environment Programme classification used by the UN Environment Programme-GRID.

III. Proposed action

1. The Committee is invited to consider the information contained in the present note, including its annexes. The Committee may wish to request the Secretariat to finalize the classifications under the guidance of the lead members, without the information set out in the respective part V, for consideration by the Conference of the Parties at its fourteenth meeting.
2. The Committee may wish to recommend that the Conference of the Parties at its fourteenth meeting adopt a decision whereby it:
   1. *Notes* with concern that as at 15 June 2018, 75 Parties had not submitted their reports for the year 2014 and 81 Parties had not submitted their reports for the year 2015;
   2. *Also notes* with concern that the overall rate of transmission of national reports since 2009 does not appear to have improved;

(c) *Welcomes* the continued improvement in the completeness of national reports transmitted between 2010 and 2015and *expresses* its appreciation to the 15 Parties that transmitted a complete report on time for 2014[[4]](#footnote-4) and the 11 Parties that transmitted a complete report on time for 2015;[[5]](#footnote-5)

(d) *Notes* with concern that the targets for the year 2014 and 2015 approved by the Conference of the Parties at its thirteenth meeting have not been met, with 8 percent of reports due for 2014 and 6 percent of reports due for 2015 transmitted complete and on time against a target of 10 percent, and with 14 percent of reports for 2014 and 18 percent of reports for 2015 transmitted complete, whether on time or late, against a target of 20 percent;

(e) *Acknowledges* that the problem of non-reporting, incomplete reporting or late reporting is all the more serious because of the close link between the core obligations of the Convention and the obligation to submit national reports in accordance with paragraph 3 of Article 13 of the Convention.

**Annex I**

**Draft classification of Parties’ compliance performance with their national reporting obligation for 2014 (status 15 June 2018)**

I. Graphics on reporting rates[[6]](#footnote-6)1

1. Overall reporting rates for the years 2009−2014[[7]](#footnote-7)2

2. Reporting rates for complete and on time or late reports (2014)[[8]](#footnote-8)3

3. Reporting rates for complete or timely reports (2010−2014)[[9]](#footnote-9)4

II. Classification of Parties’ compliance performance with respect to the annual reporting obligation for the year 2014 – regional grouping

**Year 2014 (as of 15 June 2018)**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Number of State Parties per region** | **Number if State Parties not required to report for 2014** | **Number of State Parties required to report for 2014** | **Complete report submitted on time** | **Complete report submitted but late** | **Incomplete report submitted on time** | **Incomplete report submitted late** | **State Parties that submitted a report** | **State Parties that did not report** |
| **African States** | **53** | 3 | **50** | 3 | 3 | 7 | 4 | 17 | 33 |
| **Asia-Pacific States** | **52** | 3 | **49** | 2 | 2 | 8 | 14 | 26 | 23 |
| **Central and Eastern European States** | **22** | 0 | **22** | 5 | 3 | 2 | 7 | 17 | 5 |
| **Latin America and the Caribbean States** | **31** | 0 | **31** | 1 | 0 | 9 | 10 | 20 | 11 |
| **Western Europe and others States** | **27** | 0 | **27** | 4 | 3 | 7 | 10 | 24 | 3 |
| **All Parties (sum of above)** | **185** | **6[[10]](#footnote-10)** | **179** | **15** | **11** | **33** | **45** | **104** | **75** |

III. Classification of Parties’ compliance performance with respect to the annual reporting obligation for the year 2014– regional grouping: completeness of Parts I and II of the questionnaire (as of 15 June 2018)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **Number of State Parties required to report for 2014** | **Number of State Parties that did not report** | **Number of State Parties that reported (complete and incomplete)** | **Completeness of  Parts I and II** |
| African States | 50 | 33 | 17 | Both parts complete: 6 |
| Part I only complete: 2 |
| Part II only complete: 0 |
| Both parts incomplete: 9 |
|  | | | | |
| Asia-Pacific States | 49 | 23 | 26 | Both parts complete: 4 |
| Part I only complete: 11 |
| Part II only complete: 0 |
| Both parts incomplete: 11 |
|  | | | | |
| Central and Eastern European States | 22 | 5 | 17 | Both parts complete: 8 |
| Part I only complete: 7 |
| Part II only complete: 0 |
| Both parts incomplete: 2 |
|  | | | | |
| Latin America and the Caribbean States | 31 | 11 | 20 | Both parts complete: 1 |
| Part I only complete: 15 |
| Part II only complete: 0 |
| Both parts incomplete: 4 |
|  | | | | |
| Western Europe and others States | 27 | 3 | 24 | Both parts complete: 7 |
| Part I only complete: 14 |
| Part II only complete: 0 |
| Both parts incomplete: 3 |
| Totals: | 179 | 75 | 104 |  |

IV. Overview of reporting rates, timeliness and completeness of reporting for the year 2014 – Regional grouping (as of 15 June 2018)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Reporting year** | **Item** | **Total** | **Africa** | **Asia and Pacific** | **CEE** | **LAC** | **WEOG** |
| **2014** | Number of Parties required to report | 179 | 50 | 49 | 22 | 31 | 27 |
| Number of reports submitted | 104 | 17 | 26 | 17 | 20 | 24 |
| Percentage of Parties reporting | 58% | 34% | 53% | 77% | 65% | 89% |
| Number of reports submitted complete and on time | **15** | 3 | 2 | 5 | 1 | 4 |
| Percentage of reports submitted **complete and on time** (target: 10%) | 8% | 6% | 4% | 23% | 3% | 15% |
| Number of reports submitted complete but late | **11** | 3 | 2 | 3 | 0 | 3 |
| Number of reports submitted complete (on time and late) | **25** | **6** | **4** | **8** | **1** | **7** |
| Percentage of reports submitted **complete** **(on time or late)** (target: 20%) | 15% | 12% | 8% | 36% | 3% | 26% |

V. Classification of Parties’ compliance performance with respect to the annual reporting obligation for the year 2014 (as of 15 June 2018) - Breakdown on a Party basis

**Africa Region**

|  | **Party** | **Fully reported and on time** | **Fully reported, but late** | **Incomplete, but on time** | | **Incomplete and late** | | **Not reported** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **PART I incomplete** | **PART II incomplete** | **PART I incomplete** | **PART II incomplete** |
| 1 | Algeria |  |  | X | X |  |  |  |
| 2 | Benin |  |  |  |  |  |  | X |
| 3 | Botswana |  |  |  |  |  |  | X |
| 4 | Burkina Faso |  |  |  |  |  |  | X |
| 5 | Burundi |  |  |  |  |  |  | X |
| 6 | Cameroon |  |  |  |  |  |  | X |
| 7 | Cape Verde |  | X |  |  |  |  |  |
| 8 | Central African Republic | X |  |  |  |  |  |  |
| 9 | Chad |  |  |  |  |  |  | X |
| 10 | Comoros |  |  |  |  |  |  | X |
| 11 | Congo (Republic of the) |  |  | X | X |  |  |  |
| 12 | Cote d'Ivoire |  |  |  | X |  |  |  |
| 13 | Democratic Republic of Congo |  |  |  |  |  |  | X |
| 14 | Djibouti |  |  |  |  |  |  | X |
| 15 | Egypt |  | X |  |  |  |  |  |
| 16 | Equatorial Guinea |  |  |  |  |  |  | X |
| 17 | Eritrea |  |  |  | X |  |  |  |
| 18 | Ethiopia |  |  |  |  |  |  | X |
| 19 | Gabon |  |  |  |  |  |  | X |
| 20 | Gambia |  |  |  |  |  |  | X |
| 21 | Ghana |  |  |  |  |  |  | X |
| 22 | Guinea |  |  |  |  |  |  | X |
| 23 | Guinea-Bissau | X |  |  |  |  |  |  |
| 24 | Kenya |  |  |  |  |  |  | X |
| 25 | Lesotho |  |  |  |  |  |  | X |
| 26 | Liberia |  |  |  |  |  |  | X |
| 27 | Libyan Arab Jamahiriya |  |  |  |  |  |  | X |
| 28 | Madagascar |  |  |  |  | X | X |  |
| 29 | Malawi |  |  |  |  |  |  | X |
| 30 | Mali |  |  |  |  |  |  | X |
| 31 | Mauritania |  |  |  |  |  |  | X |
| 32 | Mauritius |  |  |  |  |  |  | X |
| 33 | Morocco |  |  |  |  |  | X |  |
| 34 | Mozambique |  |  | X | X |  |  |  |
| 35 | Namibia |  |  |  |  |  |  | X |
| 36 | Niger |  |  |  |  |  |  | X |
| 37 | Nigeria |  |  |  |  | X | X |  |
| 38 | Rwanda |  |  |  | X |  |  |  |
| 39 | Senegal |  |  |  |  |  |  | X |
| 40 | Seychelles |  |  |  |  |  |  | X |
| 41 | Somalia |  |  |  |  |  |  | X |
| 42 | South Africa | X |  |  |  |  |  |  |
| 43 | Sudan |  |  |  |  |  |  | X |
| 44 | Swaziland |  |  |  | X |  |  |  |
| 45 | Togo |  | X |  |  |  |  |  |
| 46 | Tunisia |  |  |  |  |  | X |  |
| 47 | Uganda |  |  |  |  |  |  | X |
| 48 | United Republic of Tanzania |  |  |  |  |  |  | X |
| 49 | Zambia |  |  |  |  |  |  | X |
| 50 | Zimbabwe |  |  |  |  |  |  | X |
|  | **Totals for Africa** | **3** | **3** | **3** | **7** | **2** | **4** | **33** |

**Asia Region**

|  | **Party** | **Fully reported and on time** | **Fully reported, but late** | **Incomplete, but on time** | | **Incomplete and late** | | **Not reported** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **PART I incomplete** | **PART II incomplete** | **PART I incomplete** | **PART II incomplete** |
| 1 | Afganistan |  |  |  |  |  |  | X |
| 2 | Azerbaijan | X |  |  |  |  |  |  |
| 3 | Bahrain |  |  |  | X |  |  |  |
| 4 | Bangladesh |  |  | X | X |  |  |  |
| 5 | Bhutan |  |  |  |  |  | X |  |
| 6 | Brunei Darussalam |  |  |  |  |  |  | X |
| 7 | Cambodia |  |  |  |  |  |  | X |
| 8 | China |  |  |  |  |  | X |  |
| 9 | Cook Islands |  |  |  |  |  |  | X |
| 10 | Cyprus |  | X |  |  |  |  |  |
| 11 | Democratic People's Republic of Korea |  |  |  |  |  |  | X |
| 12 | India |  |  |  |  |  |  | X |
| 13 | Indonesia |  |  |  |  | X | X |  |
| 14 | Iran (Islamic Republic of) |  |  |  | X |  |  |  |
| 15 | Iraq |  |  | X | X |  |  |  |
| 16 | Japan |  |  |  |  | X | X |  |
| 17 | Jordan |  |  |  |  |  |  | X |
| 18 | Kazakhstan |  |  |  |  |  |  | X |
| 19 | Kiribati |  |  |  |  |  |  | X |
| 20 | Kuwait |  |  |  |  |  |  | X |
| 21 | Kyrgyzstan |  |  |  |  |  |  | X |
| 22 | Lao People's Democratic Republic |  |  |  |  |  |  | X |
| 23 | Lebanon |  |  |  |  |  |  | X |
| 24 | Malaysia |  |  | X | X |  |  |  |
| 25 | Maldives |  |  |  |  | X | X |  |
| 26 | Marshall Islands |  |  |  |  |  | X |  |
| 27 | Micronesia (Federated States of) |  |  |  |  |  |  | X |
| 28 | Mongolia |  |  |  |  |  |  | X |
| 29 | Nauru |  |  |  |  |  |  | X |
| 30 | Nepal |  |  | X | X |  |  |  |
| 31 | Oman |  |  |  |  | X | X |  |
| 32 | Palau |  |  |  |  | X | X |  |
| 33 | Pakistan |  |  |  |  |  |  | X |
| 34 | Papua New Guinea |  |  |  |  |  |  | X |
| 35 | Philippines |  |  |  | X |  |  |  |
| 36 | Qatar |  | X |  |  |  |  |  |
| 37 | Republic of Korea |  |  |  |  |  |  | X |
| 38 | Samoa |  |  |  |  |  |  | X |
| 39 | Saudi Arabia |  |  |  |  |  | X |  |
| 40 | Singapore | X |  |  |  |  |  |  |
| 41 | Sri Lanka |  |  |  |  |  | X |  |
| 42 | Syrian Arab Republic |  |  |  |  |  |  | X |
| 43 | Thailand |  |  |  |  |  | X |  |
| 44 | Tonga |  |  |  |  |  |  | X |
| 45 | Turkmenistan |  |  |  |  |  |  | X |
| 46 | United Arab Emirates |  |  |  | X |  |  |  |
| 47 | Uzbekistan |  |  |  |  | X | X |  |
| 48 | Viet Nam |  |  |  |  | X | X |  |
| 49 | Yemen |  |  |  |  |  | X |  |
|  | **Totals for Asia** | **2** | **2** | **4** | **8** | **7** | **14** | **23** |

**Eastern Europe Region**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Party** | **Fully reported and on time** | **Fully reported, but late** | **Incomplete, but on time** | | **Incomplete and late** | | **Not reported** |
|  | **PART I incomplete** | **PART II incomplete** | **PART I incomplete** | **PART II incomplete** |
| 1 | Albania |  |  |  |  |  |  | X |
| 2 | Armenia | X |  |  |  |  |  |  |
| 3 | Belarus |  |  |  |  |  |  | X |
| 4 | Bosnia and Herzegovina |  |  |  |  |  | X |  |
| 5 | Bulgaria | X |  |  |  |  |  |  |
| 6 | Croatia |  |  | X | X |  |  |  |
| 7 | Czech Republic |  | X |  |  |  |  |  |
| 8 | Estonia | X |  |  |  |  |  |  |
| 9 | Georgia |  |  |  |  |  | X |  |
| 10 | Hungary |  |  |  |  |  | X |  |
| 11 | Latvia |  |  |  |  |  | X |  |
| 12 | Lithuania | X |  |  |  |  |  |  |
| 13 | Montenegro |  |  |  | X |  |  |  |
| 14 | Poland | X |  |  |  |  |  |  |
| 15 | Republic of Moldova |  |  |  |  | X | X |  |
| 16 | Romania |  |  |  |  |  |  | X |
| 17 | Russian Federation |  |  |  |  |  | X |  |
| 18 | Serbia |  |  |  |  |  | X |  |
| 19 | Slovakia |  | X |  |  |  |  |  |
| 20 | Slovenia |  | X |  |  |  |  |  |
| 21 | The Former Yugoslav Republic of Macedonia |  |  |  |  |  |  | X |
| 22 | Ukraine |  |  |  |  |  |  | X |
|  | **Totals for Eastern Europe** | **5** | **3** | **1** | **2** | **1** | **7** | **5** |

**GRULAC**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Party** | **Fully reported and on time** | **Fully reported, but late** | **Incomplete, but on time** | | **Incomplete and late** | | **Not reported** |
|  | **PART I incomplete** | **PART II incomplete** | **PART I incomplete** | **PART II incomplete** |
| 1 | Antigua and Barbuda |  |  |  |  |  |  | X |
| 2 | Argentina | X |  |  |  |  |  |  |
| 3 | Bahamas |  |  |  |  |  |  | X |
| 4 | Barbados |  |  |  | X |  |  |  |
| 5 | Belize |  |  |  |  |  |  | X |
| 6 | Bolivia |  |  |  |  |  | X |  |
| 7 | Brazil |  |  |  |  |  | X |  |
| 8 | Chile |  |  |  |  |  |  | X |
| 9 | Colombia |  |  |  | X |  |  |  |
| 10 | Costa Rica |  |  |  | X |  |  |  |
| 11 | Cuba |  |  |  |  | X | X |  |
| 12 | Dominica |  |  |  |  |  |  | X |
| 13 | Dominican Republic |  |  |  | X |  |  |  |
| 14 | Ecuador |  |  |  |  |  |  | X |
| 15 | El Salvador |  |  |  |  | X | X |  |
| 16 | Guatemala |  |  |  |  |  | X |  |
| 17 | Guyana |  |  |  |  |  | X |  |
| 18 | Honduras |  |  |  | X |  |  |  |
| 19 | Jamaica |  |  |  |  |  | X |  |
| 20 | Mexico |  |  |  | X |  |  |  |
| 21 | Nicaragua |  |  |  |  |  | X |  |
| 22 | Panama |  |  |  |  |  |  | X |
| 23 | Paraguay |  |  |  | X |  |  |  |
| 24 | Peru |  |  |  |  |  | X |  |
| 25 | Saint Kitts and Nevis |  |  |  |  |  |  | X |
| 26 | Saint Lucia |  |  |  | X |  |  |  |
| 27 | Saint Vincent and the Grenadines |  |  |  |  |  |  | X |
| 28 | Suriname |  |  |  |  |  |  | X |
| 29 | Trinidad and Tobago |  |  |  |  | X | X |  |
| 30 | Uruguay |  |  |  |  |  |  | X |
| 31 | Venezuela |  |  | X | X |  |  |  |
|  | **Totals for Latin America and the Caribbean** | **1** | **0** | **1** | **9** | **3** | **10** | **11** |

**WEOG**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Party** | **Fully reported and on time** | **Fully reported, but late** | **Incomplete, but on time** | | **Incomplete and late** | | **Not reported** |
|  | **PART I incomplete** | **PART II incomplete** | **PART I incomplete** | **PART II incomplete** |
| 1 | Andorra | X |  |  |  |  |  |  |
| 2 | Australia | X |  |  |  |  |  |  |
| 3 | Austria |  |  |  | X |  |  |  |
| 4 | Belgium |  |  |  |  |  | X |  |
| 5 | Canada |  |  |  | X |  |  |  |
| 6 | Denmark |  |  |  | X |  |  |  |
| 7 | Finland | X |  |  |  |  |  |  |
| 8 | France |  |  |  |  |  | X |  |
| 9 | Germany |  |  |  | X |  |  |  |
| 10 | Greece |  |  |  |  |  | X |  |
| 11 | Iceland |  |  |  |  | X | X |  |
| 12 | Ireland |  | X |  |  |  |  |  |
| 13 | Israel |  |  |  |  | X | X |  |
| 14 | Italy |  |  |  | X |  |  |  |
| 15 | Liechtenstein |  |  |  |  |  |  | X |
| 16 | Luxembourg |  |  |  | X |  |  |  |
| 17 | Malta |  | X |  |  |  |  |  |
| 18 | Monaco |  |  |  |  | X | X |  |
| 19 | Netherlands |  |  |  |  |  | X |  |
| 20 | New Zealand |  |  |  | X |  |  |  |
| 21 | Norway |  |  |  |  |  | X |  |
| 22 | Portugal | X |  |  |  |  |  |  |
| 23 | Spain |  |  |  |  |  |  | X |
| 24 | Sweden |  |  |  |  |  | X |  |
| 25 | Switzerland |  | X |  |  |  |  |  |
| 26 | Turkey |  |  |  |  |  |  | X |
| 27 | United Kingdom of Great Britain and Northern Ireland |  |  |  |  |  | X |  |
|  | **Totals for Western Europe and others** | **4** | **3** | **0** | **7** | **3** | **10** | **3** |

VI. Overview of completeness of answers to each question and subquestion of the national report for the year 2014 (as of 15 June 2018)

| **Item in the questionnaire** | **Subject sought** | **Number of incomplete responses  (out of 104)** | **Percentage of incomplete responses  (out of 104)** |
| --- | --- | --- | --- |
| **Part I: Status of Information** | | | |
| 1a | **Competent authority** | 1 | 1% |
| 1b | **Focal point** | 0 | 0 |
| 2a | National definition of **waste** | 0 | 0 |
| 2b | National definition of **hazardous** waste | 0 | 0 |
| 2c | Regulate/control any **additional** hazardous wastes | 0 | 0 |
| 2d | Any wastes that require **special consideration** for TBM | 3 | 3% |
| 2e | Source or basis of definition | 2 | 2% |
| 2f | National definition of hazardous waste cover wastes other than listed in Annexes I, II and VIII | 4 | 4% |
| 2g(i) | Specify any requirements (procedures) concerning transboundary movements | 5 | 5% |
| 2g(ii) | Any waste other than those identified above that require special consideration | 3 | 3% |
| 3a | **Amendment** to the Basel Convention (Decision III/1) being implemented | 0 | 0 |
| 3b | **Restrictions** on the **export** of hazardous wastes and other wastes for **final disposal** | 0 | 0 |
| 3b(i) | Relevant legislation | 0 | 0 |
| 3b(ii) | Country/region and/or waste which could be covered by the restriction | 0 | 0 |
| 3c | **Restrictions** on the **export** of hazardous wastes and other wastes for **recovery** | 0 | 0 |
| 3c(i) | Relevant legislation | 0 | 0 |
| 3c(ii) | Country/region and/or waste which could be covered by the restriction | 0 | 0 |
| 3d | **Restrictions** on the **import** of hazardous wastes and other wastes for **disposal** | 0 | 0 |
| 3d(i) | Relevant legislation | 0 | 0 |
| 3d(ii) | Country/region and/or waste which could be covered by the restriction | 1 | 1% |
| 3e | **Restrictions** on the **import** of hazardous wastes and other wastes for **recovery** | 0 | 0 |
| 3e(i) | Relevant legislation | 2 | 2% |
| 3e(ii) | Country/region and/or waste which could be covered by the restriction | 3 | 3% |
| 3f | **Restrictions** on transit of hazardous wastes and other wastes for **recovery** | 0 | 0 |
| 3f(i) | Relevant legislation | 0 | 0 |
| 3f(ii) | Country/region and/or waste which could be covered by the restriction | 2 | 2% |
| 4a | **Notification and Movement document** forms of used and/or accepted | 0 | 0 |
| 4a(i) | Problems in the usage of the Notification and Movement document forms | 0 | 0 |
| 4a(ii) | Information on any other forms which are used and/or accepted | **5** | **5%** |
| 4b | **Languages** accepted to receive Notification and Movement document forms | 0 | 0 |
| 4c | **Additional information requirements** in addition to those listed under Annex V (A and B) of the Basel Convention | 1 | 1% |
| 4d | **Border control** for export/import/transit established | 0 | 0 |
| 4d(i) | **Harmonized system** on customs control of the WCO used | 1 | 1% |
| 5 | Measures taken for the reduction and/or elimination of the amount of hazardous wastes and other wastes **generated** | 0 | 0 |
| 5(i) | National strategies/policies | 0 | 0 |
| 5(ii) | Legislation, regulations and guidelines | 0 | 0 |
| 5(iii) | Economic instruments/initiatives | 2 | 2% |
| 5(iv) | Measures taken by industries/waste generators | 4 | 4% |
| 6 | Measures taken for the reduction of the amount of hazardous wastes and other wastes subject to the **transboundary movement** | 0 | 0 |
| 6(i) | National strategies/policies | 0 | 0 |
| 6(ii) | Legislation, regulations and guidelines | 2 | 2% |
| 6(iii) | Economic instruments/initiatives | 4 | 4% |
| 6(iv) | Measures taken by industries/waste generators | 3 | 3% |
| 7 | **Effect** on human health and the environment: Available statistics, studies, monitoring reports, etc or alternatively provide contact information | 3 | 3% |
| Table 1 | Bilateral, multilateral or regional **agreements** | **11** | **11%** |
| Table 2 | **Disposal facilities** or sources of information | 2 | 2% |
| Table 3 | **Recovery facilities** or sources of information | 6 | 6% |
| Table 4 | Sources of **technical assistance** | 1 | 1% |
| Table 5 | Sources of **financial assistance** | **7** | **7%** |

|  |  |  |  |
| --- | --- | --- | --- |
| **Part II: Annual Reporting** | | | |
| Table 6 | **Export** of hazardous wastes and other wastes in the specific year | 13 | 13% |
| Table 7 | **Import** of hazardous wastes and other wastes in the specific year | 21 | 20% |
| Table 8A | Total Amount of **Generation** of hazardous wastes and other wastes in 2011   * Total amount under Art. 1(1)a * Total amount under Art. 1(a)b * Total amount of other wastes (Annex II: Y46-Y47) | **62** | **60%** |
| Table 8B not mandatory | Generation of hazardous wastes and other wastes **by Y-categories** in the specific year | 40 | 38% |
| Table 9 | Disposals which **did not proceed as intended** | **41** | **39%** |
| Table 10 | **Accidents** occurring during the transboundary movement and disposal of hazardous wastes and other wastes | **41** | **39%** |

**Annex II**

**Draft classification of Parties’ compliance performance with their national reporting obligation for 2015 (status 15 June 2018)**

I. Graphics on reporting rates[[11]](#footnote-11)1

1. Overall reporting rates for the years 2009−2015[[12]](#footnote-12)2

2. Reporting rates for complete and on time or late reports (2014−2015)[[13]](#footnote-13)3

3. Reporting rates for complete or timely reports (2010−2015)[[14]](#footnote-14)4

II. Classification of Parties’ compliance performance with respect to the annual reporting obligation for the year 2015 – regional grouping

**Year 2015 (as of 15 June 2018)**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Number of State Parties per region** | **Number if State Parties not required to report for 2015** | **Number of State Parties required to report for 2015** | **Complete report submitted on time** | **Complete report submitted but late** | **Incomplete report submitted on time** | **Incomplete report submitted late** | **State Parties that submitted a report** | **State Parties that did not report** |
| **African States** | **53** | 2 | **51** | 3 | 4 | 6 | 9 | 22 | 29 |
| **Asia-Pacific States** | **52** | 3 | **49** | 1 | 4 | 8 | 7 | 20 | 29 |
| **Central and Eastern European States** | **22** | 0 | **22** | 5 | 5 | 3 | 4 | 17 | 5 |
| **Latin America and the Caribbean States** | **31** | 0 | **31** | 2 | 2 | 8 | 6 | 18 | 13 |
| **Western Europe and others States** | **27** | 0 | **27** | 0 | 5 | 11 | 6 | 22 | 5 |
| **All Parties (sum of above)** | **185** | **5**[[15]](#footnote-15)5 | **180** | **11** | **20** | **36** | **32** | **99** | **81** |

III. Classification of Parties’ compliance performance with respect to the annual reporting obligation for the year 2015– regional grouping: completeness of Parts I and II of the questionnaire (as of 15 June 2018)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **Number of State Parties required to report for 2015** | **Number of State Parties that did not report** | **Number of State Parties that reported (complete and incomplete)** | **Completeness of  Parts I and II** |
| African States | 51 | 29 | 22 | Both parts complete: 7 |
| Part I only complete: 7 |
| Part II only complete: 0 |
| Both parts incomplete: 8 |
|  | | | | |
| Asia-Pacific States | 49 | 29 | 20 | Both parts complete: 5 |
| Part I only complete: 9 |
| Part II only complete: 0 |
| Both parts incomplete: 6 |
|  | | | | |
| Central and Eastern European States | 22 | 5 | 17 | Both parts complete: 10 |
| Part I only complete: 6 |
| Part II only complete: 0 |
| Both parts incomplete: 1 |
|  | | | | |
| Latin America and the Caribbean States | 31 | 13 | 18 | Both parts complete: 4 |
| Part I only complete: 11 |
| Part II only complete: 0 |
| Both parts incomplete: 3 |
|  | | | | |
| Western Europe and others States | 27 | 5 | 22 | Both parts complete: 5 |
| Part I only complete: 16 |
| Part II only complete: 0 |
| Both parts incomplete: 1 |
| Totals: | 180 | 81 | 99 |  |

IV. Overview of reporting rates, timeliness and completeness of reporting for the year 2015 – Regional grouping (as of 15 June 2018)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Reporting year** | **Item** | **Total** | **Africa** | **Asia and Pacific** | **CEE** | **LAC** | **WEOG** |
| **2015** | Number of Parties required to report | 180 | 51 | 49 | 22 | 31 | 27 |
| Number of reports submitted | 99 | 22 | 20 | 17 | 18 | 22 |
| Percentage of Parties reporting | 55% | 43% | 41% | 77% | 58% | 81% |
| Number of reports submitted complete and on time | **11** | 2 | 1 | 5 | 2 | 0 |
| Percentage of reports submitted **complete and on time** (target: 10%) | 6% | 4% | 2% | 23% | 6% | 0% |
| Number of reports submitted complete but late | **20** | 5 | 4 | 5 | 2 | 5 |
| Number of reports submitted complete (on time and late) | **31** | **7** | **5** | **10** | **4** | **5** |
| Percentage of reports submitted **complete** **(on time or late)** (target: 20%) | 17% | 14% | 10% | 45% | 13% | 19% |

V. Classification of Parties’ compliance performance with respect to the annual reporting obligation for the year 2015 (as of 15 June 2018) - Breakdown on a Party basis

**Africa Region**

|  | **Party** | **Fully reported and on time** | **Fully reported, but late** | **Incomplete, but on time** | | **Incomplete and late** | | **Not reported** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **PART I incomplete** | **PART II incomplete** | **PART I incomplete** | **PART II incomplete** |
| 1 | Algeria |  |  | X | X |  |  |  |
| 2 | Benin |  |  |  |  |  |  | X |
| 3 | Botswana |  |  |  |  |  |  | X |
| 4 | Burkina Faso |  |  |  |  |  |  | X |
| 5 | Burundi |  |  |  |  |  |  | X |
| 6 | Cameroon |  |  |  |  |  |  | X |
| 7 | Cape Verde |  | X |  |  |  |  |  |
| 8 | Central African Republic |  | X |  |  |  |  |  |
| 9 | Chad |  |  |  |  |  |  | X |
| 10 | Comoros |  |  |  |  |  |  | X |
| 11 | Congo (Republic of the) |  |  |  |  | X | X |  |
| 12 | Cote d'Ivoire |  |  |  |  |  | X |  |
| 13 | Democratic Republic of Congo |  |  |  |  |  | X |  |
| 14 | Djibouti |  |  |  |  | X | X |  |
| 15 | Egypt | X |  |  |  |  |  |  |
| 16 | Equatorial Guinea |  |  |  |  |  |  | X |
| 17 | Eritrea |  |  |  | X |  |  |  |
| 18 | Ethiopia |  |  |  |  |  |  | X |
| 19 | Gabon |  |  |  |  |  |  | X |
| 20 | Gambia |  |  |  |  |  |  | X |
| 21 | Ghana |  |  |  |  |  |  | X |
| 22 | Guinea |  |  |  |  |  |  | X |
| 23 | Guinea-Bissau | X |  |  |  |  |  |  |
| 24 | Kenya |  |  |  |  |  |  | X |
| 25 | Lesotho |  |  |  |  |  |  | X |
| 26 | Liberia |  |  |  |  |  |  | X |
| 27 | Libyan Arab Jamahiriya |  |  |  |  |  | X |  |
| 28 | Madagascar |  |  | X | X |  |  |  |
| 29 | Malawi |  |  |  |  |  | X |  |
| 30 | Mali |  |  |  |  |  |  | X |
| 31 | Mauritania |  |  |  |  |  |  | X |
| 32 | Mauritius |  |  |  |  |  |  | X |
| 33 | Morocco |  |  |  |  |  | X |  |
| 34 | Mozambique |  |  | X | X |  |  |  |
| 35 | Namibia |  |  |  |  |  | X |  |
| 36 | Niger |  |  |  |  |  |  | X |
| 37 | Nigeria |  |  | X | X |  |  |  |
| 38 | Rwanda |  |  |  | X |  |  |  |
| 39 | Sao Tome and Principe |  |  |  |  |  |  | X |
| 40 | Senegal |  |  |  |  |  |  | X |
| 41 | Seychelles |  |  |  |  |  |  | X |
| 42 | Somalia |  |  |  |  |  |  | X |
| 43 | South Africa | X |  |  |  |  |  |  |
| 44 | Sudan |  |  |  |  |  |  | X |
| 45 | Swaziland |  | X |  |  |  |  |  |
| 46 | Togo |  | X |  |  |  |  |  |
| 47 | Tunisia |  |  |  |  |  | X |  |
| 48 | Uganda |  |  |  |  |  |  | X |
| 49 | United Republic of Tanzania |  |  |  |  |  |  | X |
| 50 | Zambia |  |  |  |  |  |  | X |
| 51 | Zimbabwe |  |  |  |  |  |  | X |
|  | **Totals for Africa** | **3** | **4** | **4** | **6** | **2** | **9** | **29** |

**Asia Region**

|  | **Party** | **Fully reported and on time** | **Fully reported, but late** | **Incomplete, but on time** | | **Incomplete and late** | | **Not reported** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **PART I incomplete** | **PART II incomplete** | **PART I incomplete** | **PART II incomplete** |
| 1 | Afganistan |  |  |  |  |  |  | X |
| 2 | Azerbaijan | X |  |  |  |  |  |  |
| 3 | Bahrain |  |  |  | X |  |  |  |
| 4 | Bangladesh |  |  |  |  |  |  | X |
| 5 | Bhutan |  | X |  |  |  |  |  |
| 6 | Brunei Darussalam |  |  |  |  | X | X |  |
| 7 | Cambodia |  |  |  |  |  |  | X |
| 8 | China |  | X |  |  |  |  |  |
| 9 | Cook Islands |  |  |  |  |  |  | X |
| 10 | Cyprus |  |  |  |  |  | X |  |
| 11 | Democratic People's Republic of Korea |  |  |  |  |  |  | X |
| 12 | India |  |  |  |  |  |  | X |
| 13 | Indonesia |  |  |  |  |  |  | X |
| 14 | Iran (Islamic Republic of) |  |  |  |  |  |  | X |
| 15 | Iraq |  |  |  | X |  |  |  |
| 16 | Japan |  |  |  |  |  |  | X |
| 17 | Jordan |  |  |  |  |  |  | X |
| 18 | Kazakhstan |  |  |  |  | X | X |  |
| 19 | Kiribati |  |  |  |  |  |  | X |
| 20 | Kuwait |  |  |  |  |  | X |  |
| 21 | Kyrgyzstan |  |  |  |  |  |  | X |
| 22 | Lao People's Democratic Republic |  |  |  |  |  |  | X |
| 23 | Lebanon |  |  |  |  |  |  | X |
| 24 | Malaysia |  |  | X | X |  |  |  |
| 25 | Maldives |  |  |  |  |  | X |  |
| 26 | Marshall Islands |  |  |  |  |  |  | X |
| 27 | Micronesia (Federated States of) |  |  |  |  |  |  | X |
| 28 | Mongolia |  |  |  |  |  |  | X |
| 29 | Nauru |  |  |  |  |  |  | X |
| 30 | Nepal |  |  |  |  |  |  | X |
| 31 | Oman |  |  | X | X |  |  |  |
| 32 | Palau |  |  |  | X |  |  |  |
| 33 | Pakistan |  |  |  |  |  |  | X |
| 34 | Papua New Guinea |  |  |  |  |  |  | X |
| 35 | Philippines |  |  |  | X |  |  |  |
| 36 | Qatar |  |  |  |  |  |  | X |
| 37 | Republic of Korea |  |  |  |  |  |  | X |
| 38 | Samoa |  |  |  |  |  |  | X |
| 39 | Saudi Arabia |  |  |  |  |  | X |  |
| 40 | Singapore |  | X |  |  |  |  |  |
| 41 | Sri Lanka |  | X |  |  |  |  |  |
| 42 | Syrian Arab Republic |  |  |  |  |  |  | X |
| 43 | Thailand |  |  |  | X |  |  |  |
| 44 | Tonga |  |  |  |  |  |  | X |
| 45 | Turkmenistan |  |  |  |  |  |  | X |
| 46 | United Arab Emirates |  |  | X | X |  |  |  |
| 47 | Uzbekistan |  |  |  |  |  |  | X |
| 48 | Viet Nam |  |  |  |  | X | X |  |
| 49 | Yemen |  |  |  |  |  |  | X |
|  | **Totals for Asia** | **1** | **4** | **3** | **8** | **3** | **7** | **29** |

**Eastern Europe Region**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Party** | **Fully reported and on time** | **Fully reported, but late** | **Incomplete, but on time** | | **Incomplete and late** | | **Not reported** |
|  | **PART I incomplete** | **PART II incomplete** | **PART I incomplete** | **PART II incomplete** |
| 1 | Albania |  |  |  |  |  |  | X |
| 2 | Armenia |  |  |  | X |  |  |  |
| 3 | Belarus |  |  |  |  |  |  | X |
| 4 | Bosnia and Herzegovina |  |  |  |  |  | X |  |
| 5 | Bulgaria | X |  |  |  |  |  |  |
| 6 | Croatia |  | X |  |  |  |  |  |
| 7 | Czech Republic |  | X |  |  |  |  |  |
| 8 | Estonia | X |  |  |  |  |  |  |
| 9 | Georgia |  |  |  |  |  | X |  |
| 10 | Hungary | X |  |  |  |  |  |  |
| 11 | Latvia |  |  |  | X |  |  |  |
| 12 | Lithuania |  | X |  |  |  |  |  |
| 13 | Montenegro |  |  |  | X |  |  |  |
| 14 | Poland | X |  |  |  |  |  |  |
| 15 | Republic of Moldova |  |  |  |  | X | X |  |
| 16 | Romania |  |  |  |  |  |  | X |
| 17 | Russian Federation |  | X |  |  |  |  |  |
| 18 | Serbia |  |  |  |  |  | X |  |
| 19 | Slovakia | X |  |  |  |  |  |  |
| 20 | Slovenia |  | X |  |  |  |  |  |
| 21 | The Former Yugoslav Republic of Macedonia |  |  |  |  |  |  | X |
| 22 | Ukraine |  |  |  |  |  |  | X |
|  | **Totals for Eastern Europe** | **5** | **5** | **0** | **3** | **1** | **4** | **5** |

**GRULAC**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Party** | **Fully reported and on time** | **Fully reported, but late** | **Incomplete, but on time** | | **Incomplete and late** | | **Not reported** |
|  | **PART I incomplete** | **PART II incomplete** | **PART I incomplete** | **PART II incomplete** |
| 1 | Antigua and Barbuda |  |  |  |  |  |  | X |
| 2 | Argentina |  | X |  |  |  |  |  |
| 3 | Bahamas |  |  |  |  |  |  | X |
| 4 | Barbados |  |  |  |  |  | X |  |
| 5 | Belize |  |  |  |  |  |  | X |
| 6 | Bolivia |  |  |  | X |  |  |  |
| 7 | Brazil |  |  |  | X |  |  |  |
| 8 | Chile |  |  |  |  |  |  | X |
| 9 | Colombia |  |  |  | X |  |  |  |
| 10 | Costa Rica |  |  |  | X |  |  |  |
| 11 | Cuba |  |  |  |  |  | X |  |
| 12 | Dominica |  |  |  |  |  |  | X |
| 13 | Dominican Republic |  |  |  | X |  |  |  |
| 14 | Ecuador |  |  |  |  |  |  | X |
| 15 | El Salvador |  |  |  |  |  |  | X |
| 16 | Guatemala | X |  |  |  |  |  |  |
| 17 | Guyana |  |  |  |  |  |  | X |
| 18 | Honduras |  | X |  |  |  |  |  |
| 19 | Jamaica |  |  |  |  |  |  | X |
| 20 | Mexico |  |  |  | X |  |  |  |
| 21 | Nicaragua |  |  |  | X |  |  |  |
| 22 | Panama |  |  |  |  |  |  | X |
| 23 | Paraguay |  |  |  | X |  |  |  |
| 24 | Peru |  |  |  |  |  | X |  |
| 25 | Saint Kitts and Nevis |  |  |  |  |  |  | X |
| 26 | Saint Lucia | X |  |  |  |  |  |  |
| 27 | Saint Vincent and the Grenadines |  |  |  |  |  |  | X |
| 28 | Suriname |  |  |  |  |  |  | X |
| 29 | Trinidad and Tobago |  |  |  |  | X | X |  |
| 30 | Uruguay |  |  |  |  | X | X |  |
| 31 | Venezuela |  |  |  |  | X | X |  |
|  | **Totals for Latin America and the Caribbean** | **2** | **2** | **0** | **8** | **3** | **6** | **13** |

**WEOG**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Party** | **Fully reported and on time** | **Fully reported, but late** | **Incomplete, but on time** | | **Incomplete and late** | | **Not reported** |
|  | **PART I incomplete** | **PART II incomplete** | **PART I incomplete** | **PART II incomplete** |
| 1 | Andorra |  | X |  |  |  |  |  |
| 2 | Australia |  |  |  | X |  |  |  |
| 3 | Austria |  |  |  | X |  |  |  |
| 4 | Belgium |  |  |  |  |  | X |  |
| 5 | Canada |  |  |  | X |  |  |  |
| 6 | Denmark |  |  |  | X |  |  |  |
| 7 | Finland |  | X |  |  |  |  |  |
| 8 | France |  |  |  |  |  | X |  |
| 9 | Germany |  |  |  | X |  |  |  |
| 10 | Greece |  |  |  |  |  | X |  |
| 11 | Iceland |  |  |  |  |  |  | X |
| 12 | Ireland |  | X |  |  |  |  |  |
| 13 | Israel |  |  |  |  |  |  | X |
| 14 | Italy |  |  |  | X |  |  |  |
| 15 | Liechtenstein |  |  |  |  |  |  | X |
| 16 | Luxembourg |  |  |  | X |  |  |  |
| 17 | Malta |  | X |  |  |  |  |  |
| 18 | Monaco |  |  |  |  |  |  | X |
| 19 | Netherlands |  |  |  |  |  |  | X |
| 20 | New Zealand |  |  |  | X |  |  |  |
| 21 | Norway |  |  |  | X |  |  |  |
| 22 | Portugal |  |  |  | X |  |  |  |
| 23 | Spain |  |  |  |  |  | X |  |
| 24 | Sweden |  |  |  |  |  | X |  |
| 25 | Switzerland |  | X |  |  |  |  |  |
| 26 | Turkey |  |  | X | X |  |  |  |
| 27 | United Kingdom of Great Britain and Northern Ireland |  |  |  |  |  | X |  |
|  | **Totals for Western Europe and others** | **0** | **5** | **1** | **11** | **0** | **6** | **5** |

VI. Overview of completeness of answers to each question and subquestion of the national report for the year 2015 (as of 15 June 2018)

| **Item in the questionnaire** | **Subject sought** | **Number of incomplete responses  (out of 99)** | | **Percentage of incomplete responses  (out of 99)** | |
| --- | --- | --- | --- | --- | --- |
| **Part I: Status of Information** | | | | | |
| 1a | **Competent authority** | | 0 | | 0 |
| 1b | **Focal point** | | 0 | | 0 |
| 2a | National definition of **waste** | | 0 | | 0 |
| 2b | National definition of **hazardous** waste | | 1 | | 1% |
| 2c | Regulate/control any **additional** hazardous wastes | | 1 | | 1% |
| 2d | Any wastes that require **special consideration** for TBM | | 0 | | 0 |
| 2e | Source or basis of definition | | 0 | | 0 |
| 2f | National definition of hazardous waste cover wastes other than listed in Annexes I, II and VIII | | 0 | | 0 |
| 2g(i) | Specify any requirements (procedures) concerning transboundary movements | | 1 | | 1% |
| 2g(ii) | Any waste other than those identified above that require special consideration | | 1 | | 1% |
| 3a | **Amendment** to the Basel Convention (Decision III/1) being implemented | | 0 | | 0 |
| 3b | **Restrictions** on the **export** of hazardous wastes and other wastes for **final disposal** | | 0 | | 0 |
| 3b(i) | Relevant legislation | | 0 | | 0 |
| 3b(ii) | Country/region and/or waste which could be covered by the restriction | | 0 | | 0 |
| 3c | **Restrictions** on the **export** of hazardous wastes and other wastes for **recovery** | | 0 | | 0 |
| 3c(i) | Relevant legislation | | 0 | | 0 |
| 3c(ii) | Country/region and/or waste which could be covered by the restriction | | 0 | | 0 |
| 3d | **Restrictions** on the **import** of hazardous wastes and other wastes for **disposal** | | 0 | | 0 |
| 3d(i) | Relevant legislation | | 0 | | 0 |
| 3d(ii) | Country/region and/or waste which could be covered by the restriction | | 1 | | 1% |
| 3e | **Restrictions** on the **import** of hazardous wastes and other wastes for **recovery** | | 0 | | 0 |
| 3e(i) | Relevant legislation | | 1 | | 1% |
| 3e(ii) | Country/region and/or waste which could be covered by the restriction | | 2 | | 2% |
| 3f | **Restrictions** on transit of hazardous wastes and other wastes for **recovery** | | 0 | | 0 |
| 3f(i) | Relevant legislation | | 0 | | 0 |
| 3f(ii) | Country/region and/or waste which could be covered by the restriction | | 1 | | 1% |
| 4a | **Notification and Movement document** forms of used and/or accepted | | 0 | | 0 |
| 4a(i) | Problems in the usage of the Notification and Movement document forms | | 0 | | 0 |
| 4a(ii) | Information on any other forms which are used and/or accepted | | 0 | | 0 |
| 4b | **Languages** accepted to receive Notification and Movement document forms | | 0 | | 0 |
| 4c | **Additional information requirements** in addition to those listed under Annex V (A and B) of the Basel Convention | | 0 | | 0 |
| 4d | **Border control** for export/import/transit established | | 0 | | 0 |
| 4d(i) | **Harmonized system** on customs control of the WCO used | | 0 | | 0 |
| 5 | Measures taken for the reduction and/or elimination of the amount of hazardous wastes and other wastes **generated** | | 0 | | 0 |
| 5(i) | National strategies/policies | | 0 | | 0 |
| 5(ii) | Legislation, regulations and guidelines | | 0 | | 0 |
| 5(iii) | Economic instruments/initiatives | | 0 | | 0 |
| 5(iv) | Measures taken by industries/waste generators | | 1 | | 1% |
| 6 | Measures taken for the reduction of the amount of hazardous wastes and other wastes subject to the **transboundary movement** | | 0 | | 0 |
| 6(i) | National strategies/policies | | 0 | | 0 |
| 6(ii) | Legislation, regulations and guidelines | | 0 | | 0 |
| 6(iii) | Economic instruments/initiatives | | 0 | | 0 |
| 6(iv) | Measures taken by industries/waste generators | | 0 | | 0 |
| 7 | **Effect** on human health and the environment: Available statistics, studies, monitoring reports, etc or alternatively provide contact information | | 0 | | 0 |
| Table 1 | Bilateral, multilateral or regional **agreements** | | **7** | | **7%** |
| Table 2 | **Disposal facilities** or sources of information | | 1 | | 1% |
| Table 3 | **Recovery facilities** or sources of information | | 5 | | 5% |
| Table 4 | Sources of **technical assistance** | | 2 | | 2% |
| Table 5 | Sources of **financial assistance** | | **7** | | **7%** |

|  |  |  |  |
| --- | --- | --- | --- |
| **Part II: Annual Reporting** | | | |
| Table 6 | **Export** of hazardous wastes and other wastes in the specific year | 10 | 10% |
| Table 7 | **Import** of hazardous wastes and other wastes in the specific year | 19 | 19% |
| Table 8A | Total Amount of **Generation** of hazardous wastes and other wastes in 2011   * Total amount under Art. 1(1)a * Total amount under Art. 1(a)b * Total amount of other wastes (Annex II: Y46-Y47) | **52** | **53%** |
| Table 8B not mandatory | Generation of hazardous wastes and other wastes **by Y-categories** in the specific year | 43 | 43% |
| Table 9 | Disposals which **did not proceed as intended** | **36** | **36%** |
| Table 10 | **Accidents** occurring during the transboundary movement and disposal of hazardous wastes and other wastes | **36** | **36%** |

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1. \* UNEP/CHW/CC.13/1. [↑](#footnote-ref-1)
2. This document has not been formally edited. [↑](#footnote-ref-2)
3. See UNEP/CHW.12/9/INF/16/Rev.1, adopted by decision BC-12/6 and amended by decision BC-13/9. [↑](#footnote-ref-3)
4. Central African Republic, Guinea-Bissau, South Africa, Azerbaijan, Singapore, Armenia, Bulgaria, Estonia, Lithuania, Poland, Argentina, Andorra, Australia, Finland and Portugal. [↑](#footnote-ref-4)
5. Egypt, Guinea-Bissau, South Africa, Azerbaijan, Bulgaria, Estonia, Hungary, Poland, Slovakia, Guatemala and Saint Lucia. [↑](#footnote-ref-5)
6. 1 The information presented was collected at the time the respective classifications were undertaken. [↑](#footnote-ref-6)
7. 2 By decision BC-10/11, the Conference of the Parties for the first time requested the Committee to classify parties compliance performance with national reporting, starting with the year 2009. This mandate was then extended by decisions BC-11/8, BC-12/7 and BC-13/9, with slight adjustments to the mandate of the Committee. [↑](#footnote-ref-7)
8. 3 The classification in table 2 is based on the targets decided by the Conference of the Parties in its thirteenth meeting. In its decision BC-13/9, the Conference of the Parties set the following interim targets: 10 per cent of reports due for 2014 and 2015 are complete and submitted on time; and 20% of reports due for 2014 and 2015 are complete as submitted but late. [↑](#footnote-ref-8)
9. 4 In its decisions BC-11/8 and BC-12/7, the Conference of the Parties had set a 20% reporting target for completeness of national reports and a 30% reporting target for timeless of national reports, starting with the year 2010. For information and comparison purposes, the present tables show the evolution of the compliance performance for 2014, using the targets used between 2010 and 2013. [↑](#footnote-ref-9)
10. The Secretariat did not include in the tables 6 Parties which did not have the obligation to report for the year 2014. The Parties are: Angola, Myanmar, Sao Tome and Principe, Sierra Leone, State of Palestine and Tajikistan. [↑](#footnote-ref-10)
11. 1 The information presented was collected at the time the respective classifications were undertaken. [↑](#footnote-ref-11)
12. 2 By decision BC-10/11, the Conference of the Parties for the first time requested the Committee to classify parties compliance performance with national reporting, starting with the year 2009. This mandate was then extended by decisions BC-11/8, BC-12/7 and BC-13/9, with slight adjustments to the mandate of the Committee. [↑](#footnote-ref-12)
13. 3 The classification in table 2 is based on the targets decided by the Conference of the Parties in its thirteenth meeting. In its decision BC-13/9, the Conference of the Parties set the following interim targets: 10 per cent of reports due for 2014 and 2015 are complete and submitted on time; and 20% of reports due for 2014 and 2015 are complete as submitted but late. [↑](#footnote-ref-13)
14. 4 In its decisions BC-11/8 and BC-12/7, the Conference of the Parties had set a 20% reporting target for completeness of national reports and a 30% reporting target for timeless of national reports, starting with the year 2010. For information and comparison purposes, the present tables show the evolution of the compliance performance for 2014, using the targets used between 2010 and 2013. [↑](#footnote-ref-14)
15. 5 The Secretariat did not include in the tables 5 Parties which did not have the obligation to report for the year 2015. The Parties are: Angola, Myanmar, Sierra Leone, State of Palestine and Tajikistan. [↑](#footnote-ref-15)