

ANNEX 4STRATEGIC FRAMEWORK QUESTIONNAIRE

Basel Convention Strategic Framework Evaluation

Introduction

At its tenth meeting in October 2011, the Conference of the Parties to the Basel Convention adopted decision BC-10/2 (pages 25-30) on the Strategic Framework for the implementation of the Basel Convention for 2012 – 2021, the annex of which contains the Strategic Framework. Section VI of the annex makes provision for mid-term and final evaluations of the Strategic Framework by the Conference of the Parties and reports by the Secretariat. Decision OEWG-8/1 adopted by the eighth meeting of the Open-ended Working Group subsequently mandated the Secretariat to develop a format to enable it to prepare a report for the creation of a baseline for the evaluations.

This questionnaire was developed by the Secretariat to assist parties in providing information relevant to the indicators contained in section V of the annex to decision BC – 10/2 (see also paragraph 2 of decision OEWG-8/1). As a first step, information will be collected and used to prepare a report for the creation of a baseline for the mid-term and final evaluations of the strategic framework. The Secretariat, assisted by parties, will then prepare reports on the continued relevance of and progress in relation to the Strategic Framework for the implementation of the Basel Convention for the purpose of:

- a. A mid-term evaluation of the Strategic Framework to be considered by the Conference of the Parties at its thirteenth meeting (2017);
- b. A final evaluation of the Strategic Framework to be considered by the Conference of the Parties at its fifteenth meeting (2021).

In preparing the initial report for the creation of a baseline, additional information will be drawn from that already available within annual reports submitted by parties in accordance with article 13 of the Convention (see paragraph 3 of decision OEWG-8/1). All efforts have been made to streamline data collection as far as possible, however please be aware that there may be some duplication of information between that submitted in annual reports and information requested for submission in this questionnaire.

In completing this questionnaire, parties are invited to provide information for the year 2011 to the Secretariat. The questions are organised by relevance to the objectives and indicators in section V of decision BC-10/2. For those objectives for which there is no related question, information will be collected through the other available information e.g. national reports.

Basel Convention Strategic Framework Evaluation

Instructions for completing and submitting the questionnaire

Parties are requested to complete the questionnaire using data for the year 2011 and to submit it to the Secretariat by 31 December 2012. This will enable the Secretariat to prepare a report for the creation of a baseline for the mid-term and final evaluations of the Strategic Framework for consideration by the eleventh meeting of the Conference of the Parties.

To allow access to the questionnaire, the Secretariat has provided a user name and password to each party's Focal Point, designated in accordance with Articles 2 and 5 of the Basel Convention. It is recognised that various entities may be involved in the implementation and enforcement of the Basel Convention at the national level. Please note, however, that only

the party's Focal Point, has been provided with access to submit the questionnaire to the Secretariat. As such, the Focal Point may wish to ensure coordination with and compile input from relevant stakeholders in completing the questionnaire.

How to save and submit the questionnaire:

Click "Save progress" at the top or at the bottom of each page to save the information at any time. You can log out by closing the browser and return later to make changes or complete the questionnaire. To be able to "Save progress" your browser cookies must be activated. Once completed the questionnaire, click "Review and submit" at the top or at the bottom of the last page. Review the answers. If necessary, click "Back to survey" to modify or complete the answers. Finally, submit the questionnaire by clicking "Submit form" at the top or at the bottom of the last page. On the next page that will be displayed you will be able to download a Word document containing a copy of your answers by clicking on "download answers" hyperlink.

Technical support and questions:

Please contact the Secretariat of the Basel Convention should you require any assistance:

E-mail: carla.valle@unep.org

Tel.: +41-22-917-86-86

Contact information:

First Name: _____
 Last Name: _____
 Job Title _____
 Email: _____
 Phone Number: _____
 Institution Name: _____

Basel Convention Strategic Framework Evaluation

Goal 1: Effective implementation of parties' obligations on transboundary movements of hazardous and other wastes

Objective 1.1

1. Has your country used or referred to Basel Convention technical guidelines?

Yes
 No

Objective 1.2

2. Does your country have an adequate level of administrative and technical capacity (in the form of customs, police, environmental enforcement and port authorities, among others) to prevent and combat illegal traffic?

Yes
 No
 In progress

2.1. Does your country have an adequate judicial capacity to deal with cases of illegal traffic?

- Yes
- No
- In progress

2.2. Has your country developed and executed or contributed to the development and execution of training programmes for customs, police, environmental enforcement, port authorities or other officials to prevent and combat illegal traffic of hazardous wastes or other wastes?

- Yes
- No
- In preparation

2.3 Please identify specific training programmes your country has developed and executed or to which it has contributed.

For administrative, technical and judicial [] _____
staff:

For customs, police, environmental [] _____
enforcement, port authorities or other
officials:

2.4. Does your country carry out controls and inspections on hazardous waste and other waste facilities?

- Yes
- No

2.4.1. If yes, how many such controls and inspections were carried out, or do you estimate were carried out, in your country in 2011 for which there are records?

Number/Estimate of controls and _____
inspections:

Basel Convention Strategic Framework Evaluation

Goal 2: Strengthening the environmentally sound management of hazardous and other wastes

Objective 2.1

3. Does your country have a national hazardous waste management strategy or plan in place?

- Yes
- No
- In preparation

3.1. Has your country developed guidelines or carried out programmes, projects or activities aimed at the environmentally sound management of wastes?

- Yes
- No

3.1.1. If yes, please provide an example:

Example _____

Objective 2.2

4. Has your country developed and implemented national strategies, plans, programmes or other systems and actions for:

	Yes	No	In preparation
(i) measuring hazardous waste generation?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
(ii) reducing the generation and hazard potential of hazardous and other wastes?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

4.1. Does your country survey or otherwise collect information on:

	Yes	No	In preparation
(i) Generation of hazardous and other wastes?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
(ii) Management of hazardous and other wastes?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
(iii) Disposal of hazardous and other wastes?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Objective 2.3

5. Has your country developed and implemented national strategies, plans or programmes for hazardous waste minimization?

- Yes
- No
- In preparation

5.1. Has your country received capacity-building support for reducing hazardous waste generation?

- Yes
- No

5.1.1. If your country has received capacity-building support, have you identified any reductions in hazardous waste generation?

Yes

No

5.2. Has your country received capacity-building support for hazardous waste minimization?

Yes

No

Objective 2.4

6. Has your country jointly with other parties or with other stakeholders (regional and international organizations, conventions, industry bodies, etc.) engaged in programmes, projects or activities aimed at the environmentally sound management of priority waste streams (e.g. persistent organic pollutants waste, used oils, used lead acid batteries, e-waste, clinical and medical waste, etc.)?

Yes

No

In preparation

6.1. Have these programmes been monitored and assessed?

Yes

No

In preparation

Objective 2.5

7. Has your country undertaken training and awareness-raising activities to enhance and promote the sustainable use of resources?

Yes

No

In preparation

7.1. Do your national waste management policies, regulations and programmes require the separation of hazardous wastes from non-hazardous other wastes?

Yes

No

7.2. Does your country have a national inventory or inventories on the generation and disposal of hazardous wastes and other wastes?

Yes

No

In preparation

7.3. If your country does have such an inventory or inventories, how is the data collected (e.g. from generators through a regular reporting requirement or through a survey) and with what frequency?

	At least once a year	Once every two years	Once every three or more years	Not regularly
Regular reporting requirement	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Survey	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

7.4. Does your country collect data or prepare estimates of the percentage of Basel Convention wastes that are reused, recycled and recovered (i.e. the quantities of wastes reused, recycled and recovered expressed as a percentage of total wastes generated)?

- Yes
- No
- In preparation

7.5. If available, please provide examples of selected Basel Convention waste streams (e.g. e-waste, used lead-acid batteries, used oils, obsolete stocks of pesticides, PCBs, biomedical and healthcare wastes) that are generated or estimated to be generated and the actual or estimated percentage of waste that is reused, recycled, recovered (including energy recovery) and/or finally disposed of.

How to add a waste stream:

- 1. Enter the waste stream name on the box "Selected waste stream"**
- 2. Enter the corresponding percentage number in each of the four waste treatment options (% reuse, % recycling, % recovery, % final disposal).**
- 3. Click "Add waste stream" button to register the waste stream and its treatment distribution.**
- 4. To add a new waste stream click on the "Add waste stream" hyperlink at the right of the waste stream row added through step 3.**
- 5. You can edit, delete or add any waste stream before submitting the questionnaire, up to six waste streams.**

Selected waste stream: _____

% of reuse _____

% of recycling _____

% of recovery _____

% of final disposal _____

Basel Convention Strategic Framework Evaluation

Goal 3: Promoting the implementation of the environmentally sound management of hazardous and other wastes as an essential contribution to the attainment of sustainable livelihood, the Millennium Development Goals and the protection of human health and the environment

Objective 3.1

8. Does your country have a national sustainable development plan or strategy?

- Yes
- No
- In preparation

8.1. Has your country integrated waste and hazardous waste issues into this plan or strategy?

- Yes
- No
- In preparation

Objective 3.2

9. Have you or a representative of your country participated or do you anticipate participating in any joint activities on common issues undertaken by the bodies under the Basel, Rotterdam and Stockholm conventions e.g. synergies workshops, training on two or more of the conventions, etc.?

- Yes
- No

If yes, please identify which activities:

Activities _____

Basel Convention Strategic Framework Evaluation

Concluding questions

10. Please provide any other information that you consider relevant for the environmentally sound management of hazardous and other wastes during the period of the strategic framework (2012 – 2021), including information on significant initiatives that are in preparation or being considered so as to meet obligations under the Convention.

Additional information _____

11. Please provide any additional comments on steps that you believe are important and could be useful for the successful achievement of the strategic framework principles, strategic goals and objectives.

Additional comments

12. Should you wish to submit information in support of the answers provided in the questionnaire (e.g. examples of national hazardous waste management strategies or plans, details of programmes, projects or activities aimed at promoting the environmentally sound management of priority waste streams), please upload the relevant files. You are allowed to upload up to three files of 10 MB each.

The Secretariat shall, with the permission of the party concerned, make such information available on the Basel Convention website.

Press button to choose the file:

End of the questionnaire